# **CARDIAC RHYTHM MANAGEMENT**

# **Product Performance Report**

Important Patient Management Information for Physicians

2022

1st Edition – Issue 86



# **CRM Product Performance Report**

# 2022 1<sup>st</sup>Edition Issue 86

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Cutoff date for this edition is 31 January 2022 for Lead Study data and 07 July 2022 for all other data, unless otherwise stated.

# **Our Commitment to Quality**

Medtronic was founded in 1949 and has grown to become a global leader in medical technology. Seeing what a difference medical technology could make in the lives of patients inspired our founder to develop the Medtronic Mission.

The third tenet of the mission is all about quality:

"To strive without reserve for the greatest possible reliability and quality in our products, to be the unsurpassed standard of comparison, and to be recognized as a company of dedication, honesty, integrity, and service."

Regardless of function, all CRM employees play a role in product quality. Whether designing new therapies, sourcing components, manufacturing products, hiring talented people, assigning financial resources to project teams, or serving in one of the hundreds of other roles, every employee has an influence on product quality.

Product performance information is received from many sources through various channels. Medtronic monitors information from many sources from Research and Development through Manufacturing and Field Performance Vigilance.

When a device is returned to Medtronic, laboratory technicians and engineers assess overall device function. Analysis of returned product is performed according to written procedures. These procedures determine the minimum analysis required. The analysis required varies depending on the type of device, age of the device, the associated information received with the device, actual experience with models of similar design, and other factors. Additional analysis is performed as necessary to investigate a performance concern from a customer, or to collect specific reliability data.

When a malfunction is identified, failure analysis is performed to provide the detailed information necessary to investigate possible causes and actions. Medtronic CRM maintains in-house expertise and performs its failure analysis using facilities it owns and supports. This capability permits detailed failure analysis.

Analysis results are compared to original manufacturing records and design intent. Clinical observations are added to laboratory findings to help determine root cause. Each event is then compared to other events. If a pattern is detected, actions are taken to identify a common root cause, assess patient risk and an appropriate course of action.

Medtronic instituted the industry's first product performance reports in 1983 by publishing data on our chronic lead studies. Pacemakers and other devices followed as our performance reporting has constantly evolved based on customer needs and feedback. One thing has been a constant. It is our sincere commitment to communicate clearly, offering timely and appropriate product performance data and reliability information. This has always been and will continue to be our goal.

# **Contact Information**

We invite our customers to use these telephone numbers to call with suggestions, inquiries, or specific problems related to our products.

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Outside the United States:

Your Medtronic representative or international technical center at the number above

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# Introduction

For 38 years, Medtronic has monitored performance via both returned product analysis and multicenter clinical studies.

This Product Performance Report (PPR) presents device survival estimates, advisory summaries, performance notes, and other information pertinent to assessing the performance of Medtronic implantable pulse generators (IPGs), implantable cardioverter defibrillators (ICDs), cardiac resynchronization therapy (CRT) devices, and implantable pacing and defibrillation leads.

This Product Performance Report has been prepared in accordance with International Standard ISO 5841-2:2000(E). As Transcatheter Pacing Systems (TPS) combine the pacing functions of an IPG with the therapy delivery functions of an implantable lead into a single device implanted inside the heart, TPS is subject to complications similar to pacing leads and malfunctions or battery depletion events similar to an implanted pulse generator (IPG). The TPS performance report has been developed to align with these guidelines to the extent possible due to the unique difference between TPS compared to a typical implantable device or lead.

The survival estimates provided in this report are considered to be representative of worldwide performance.

#### **Survival Estimates**

Medtronic, like other companies, monitors CRT, ICD, and IPG device performance using returned product analysis. We also monitor CRT, ICD, and IPG device performance using an active multicenter clinical study.

Returned product analysis is a passive approach to assessing product performance. This approach provides a suitable measure of product performance only when a significant number of explanted products are returned to the manufacturer. Returned product analysis provides a measure of hardware performance, but not necessarily the total clinical performance (e.g., the incidence of complications such as infection, erosion, muscle stimulation, etc. are not estimated).

The survival estimates provided in this report for CRT, ICD, and IPG devices are based on returned product analysis. This approach is suitable because a significant number of explanted generators are returned for analysis.

Lead performance is monitored differently. In contrast to CRT, ICD, and IPG devices, a very small percentage of leads are returned to the manufacturer due to the difficulty of explanting them. For leads, an active clinical study provides more accurate survival estimates compared to estimates based solely on returned product analysis.

Survival estimates for leads are based on clinical observations recorded via Medtronic's PAN Registry. This multicenter clinical study is designed to record clinical observations representative of the total clinical experience. Therefore, the lead survival estimates include both lead hardware failure and lead-related medical complications, and do not differentiate a lead hardware failure from other clinical events such as exit block, perforation, dislodgement, or concurrent pulse generator failure.

Transcatheter Pacing Systems are monitored differently. Transcatheter pacing systems (TPS) combine the pacing functions of an IPG with the therapy delivery functions of an implantable lead into a single device implanted inside the heart. To account for the shortfalls of returned product analysis due to a very small percentage of devices being returned, a study of de-identified product data on the Medtronic CareLink<sup>TM</sup> network is used. The number of devices enrolled and transmitting actively enables a population large enough to give a representative volume of normal battery depletions and to provide insight into the complications that may occur after the device was successfully implanted. TPS survival estimates include both product failures and device-related medical complications and do not differentiate product failures from these complications such as perforation, dislodgement or elevated pacing thresholds.

# Introduction continued

#### **ICD Charge Times**

Since May 2000, Medtronic has provided important information on charge time performance of ICDs. The information provided in this report shows how ICD charge time can vary during the time a device is implanted. The information is presented in graphical format showing charge time as a function of implant time. The data for charge times are collected from devices enrolled in the PAN registry.

#### **Customer Communications - Advisory Summaries**

This Product Performance Report includes summaries of all Customer Communications classified as Advisories applicable to the performance of the products included in the report. An advisory is added to the report when any product affected by the advisory remains in service and at risk of experiencing the behavior described in the advisory. The advisory will remain in the report until Medtronic estimates no product affected by the advisory remains active, or the risk of experiencing the behavior described in the advisory has passed.

For most advisories, the products subject to the advisory retain essentially the same survival probability as the products of the same model(s) not affected by the advisory. For those advisories where the survival probabilities of the affected and non-affected populations do differ significantly, Medtronic will provide separate survival data for each population. The separate survival data will remain in the report until Medtronic estimates no affected product remains in active service.

#### **Customer Communications - Performance Notes**

This report concludes with a number of Customer Communications classified as Non-Advisory Performance Notes developed by Medtronic to provide additional product performance information relevant to follow-up practice and patient management.

#### **Customer Communications - Product Education Briefs**

These communications are educational in nature and typically elaborate on information found in the Instructions for Use (IFU) or other approved labeling materials. A product education brief typically serves to clarify information found in labeling that may be misunderstood or misinterpreted by physicians or healthcare professionals. Product education briefs do not provide new patient management guidance, but may be used to reinforce existing recommendations already discussed in the IFU.

#### **How You Can Help**

Medtronic urges all physicians to return explanted products and to notify Medtronic when a product is no longer in use, regardless of the reason for explant or removal from use. The procedures for returning products vary by geographic location.

Mailer kits with prepaid US postage are available for use within the United States to send CRTs, ICDs, IPGs, ICMs, and leads to Medtronic's Cardiac Rhythm Management (CRM) Returned Product Analysis Lab. These mailers are sized to accommodate the devices and leads from a single patient or clinical event and are designed to meet US postal regulations for mailing biohazard materials.

If the product being returned is located outside the United States, please contact your local Medtronic representative for instructions.

Medtronic also requests the return of explanted products from non-clinical sources, such as funeral homes, and will assume responsibility for storage and disposal of the product once received.

Mailer kits can be obtained by contacting the Returned Product Lab. For information on how to contact the Lab, refer to the Contact Information page of this report.

# Introduction continued

We continually strive to improve this CRM Product Performance Report. In keeping with this philosophy, we ask for your suggestions on the content and format of this report, as well as any information you have regarding the performance of Medtronic products. For information on how to comment on this report, see the Contact Information page.

#### **Overview of Survival Analysis**

Medtronic uses the Cutler-Ederer actuarial life table method for devices, standard actuarial method for TPS and Kaplan-Meier for leads to estimate the length of time over which they will perform within performance limits established by Medtronic. This probability to perform within performance limits over time is called the survival probability.

Devices and leads are followed until an event occurs where the device or lead ceases to operate within performance limits. The length of time from implant to the event is recorded for individual devices and leads in the population sample. The population sample for CRT, ICD, and IPG devices is made up of patients whose devices are registered as implanted in the United States. For leads, the population sample is the patients enrolled in our multicenter, international prospective Product Surveillance Registry. For TPS, the population is the de-identified devices on the Medtronic CareLink<sup>TM</sup> network.

For CRTs, IPGs and ICDs, the events can be normal battery depletion or a device malfunction. For leads, the events are complications as defined in the study protocol. For TPS, the events are complications or malfunctions as defined in the methods for estimating.

The actuarial life table method allows Medtronic to account for devices and leads removed from service for reasons unrelated to performance and for device and leads still in service. Devices and leads removed for reasons unrelated to performance or are still in service are said to be suspended. Examples of devices and leads removed from service for reasons unrelated to performance include:

- Removed to upgrade the device or lead
- No longer in service due to the death of the patient for reasons unrelated to the device or leads
- Implanted in patients who are lost to follow-up

For each suspension, the device or lead has performed within performance limits for a period of time, after which its performance is unknown.

#### **Confidence Intervals**

Since survival curves are based on a sample of the device and lead population, they are only estimates of survival. The larger the effective sample size, the more confident the estimate. A confidence interval can be calculated to assess the confidence in an estimate. In the Product Performance Report, Medtronic provides a 95% confidence interval. This can be interpreted as meaning that 95% of the time, the true survival of the device will fall somewhere in the interval.

#### **Survival Curves in the Product Performance Report**

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 for CRTs, ICDs, and IPGs, and when the number entered is less than 50 for leads. The survival charts in the Product Performance Report show the effective sample size for each year interval where Medtronic has experience. When the effective sample size reaches 100 for CRTs, ICDs, and IPGs or when the number entered reaches 50 for leads, the next data point is added to the survival curve.

# Introduction continued

Although the report provides tabular data in one-year intervals, the device curves are actually computed and plotted using the Cutler-Ederer method and 1-month intervals (for CRT, ICD, and IPG devices), the TPS curves are actually computed and plotted using the standard actuarial method and 1-month intervals, and leads curves are computed and plotted using Kaplan-Meier, which uses individual survival times.

A number of references are available for additional information on survival analysis using the Cutler-Ederer life table method and for the Kaplan-Meier method.

<sup>&</sup>lt;sup>1</sup>Lee, Elisa T.(2003) Statistical Methods for Survival Data Analysis – 3rd Edition (Wiley Series in Probability and Statistics).

<sup>&</sup>lt;sup>2</sup> Klein, John P., Moeschberger, Melvin L. Survival Analysis Techniques for Censored and Truncated Data, New York: Springer-Verlag New York, Inc., 1997.

### Method for Estimating CRT, ICD, and IPG Device Performance

The performance of CRT, ICD, and IPG devices is expressed in terms of device survival estimates, where "survival" refers to the function of the device, not the survival of the patient. These survival estimates are intended to illustrate the probability that a device will survive for a given number of years without malfunction or battery depletion.

The survival estimates are determined from the analysis of Medtronic Cardiac Rhythm Management (CRM's) United States device registration data and US returned product analysis data. These data are presented graphically and numerically.

Because this analysis is based on returned product analysis, the performance data does not reflect any device-related medical complications such as erosion, infection, muscle stimulation, or muscle inhibition.

#### Categorization of Depleted and Malfunctioning Devices for Survival Analysis

For survival estimation, every device returned to Medtronic CRM and analyzed in the CRM Returned Product Analysis laboratory is assigned to one of three categories. The device 1) is functioning normally, 2) has reached normal battery depletion, or 3) has malfunctioned. This categorization is combined with data from our device registry for the total number of implants and the implant durations to create the survival curves presented on the following pages.

#### **Definition of Malfunction**

Medtronic CRM considers a device as having malfunctioned whenever the analysis shows that any parameter was outside the performance limits established by Medtronic while implanted and in service. To be considered a malfunction or battery depletion, the device must have been returned to Medtronic and analyzed.

Devices damaged after explant, damaged due to failure to heed warnings or contraindications in the labeling, or damaged due to interaction with other implanted devices (including leads) are not considered device malfunctions.

A device subject to a safety advisory is not considered to have malfunctioned unless it has been returned to Medtronic CRM and found, through analysis, to have performed outside the performance limits established by Medtronic.

Not all malfunctions expose the patient to a loss of therapy. Some malfunctions included in the following survival estimates may not have been detected at all by the physician or the patient. All malfunctions, however, are included in the survival estimates and provide important feedback to our product development organization.

To provide insight into the nature of malfunctions, each malfunction is categorized as Malfunction with Compromised Therapy Function or Malfunction without Compromised Therapy Function.

For this report, Normal Battery Depletion, Malfunction with Compromised Therapy Function, and Malfunction without Compromised Therapy Function are defined as follows:

#### Normal Battery Depletion – The condition when:

- (a) a device is returned with no associated complaint and the device has reached its elective replacement indicator(s) with implant time that meets or exceeds the nominal (50 percentile) predicted longevity at default (labeled) settings, or
- (b) a device is returned and the device has reached its elective replacement indicator(s) with implant time exceeding 80% of the expected longevity calculated using the available device setting information, or
- (c) a device is taken out of service without an associated complaint and with evidence the battery reached its elective replacement indicator(s).

### Method for Estimating CRT, ICD, and IPG Device Performance continued

Medtronic CRM establishes expected longevity by statistically characterizing the power consumed by the device and the power available from the device battery. This characterization is applied to a number of parameter configurations. The statistical mean value minus three standard deviations is used as the expected longevity for determining if a battery depleted normally. The actual longevity achieved for any device while implanted will depend on the actual programmed parameters and patient factors, and may differ significantly from these estimates.

#### Malfunction with Compromised Therapy Function

The condition when a device is found to have malfunctioned in a manner that compromised pacing or defibrillation therapy (including complete loss or partial degradation), while implanted and in service, as confirmed by returned product analysis.

Examples: Sudden loss of battery voltage; accelerated current drain such that low battery was not detected before loss of therapy; sudden malfunction during defibrillation therapy resulting in aborted delivery of therapy, intermittent malfunction where therapy is compromised while in the malfunction state.

#### Malfunction without Compromised Therapy Function

The condition when a device is found to have malfunctioned in a manner that did not compromise pacing or defibrillation therapy, while implanted and in service, as confirmed by returned product analysis.

Examples: Error affecting diagnostic functions, telemetry function, data storage; malfunction of a component that causes battery to lose power quickly enough to cause premature battery depletion, but slowly enough that the condition is detected through normal follow-up before therapy is lost; mechanical problems with connector header that do not affect therapy.

#### **Expanded Malfunction Detail**

The malfunctions are further divided into categories that identify the subject area of the malfunction. The malfunctions are divided into the following subject areas:

Electrical Component – Findings linked to electrical components such as integrated circuits, resistors, capacitors, diodes, etc.

Electrical Interconnect – Findings linked to the connections between electrical components such as wires, solder joints, wire bonds, etc.

Battery - Findings linked to the battery and its components

Software/Firmware - Findings linked to software or firmware function

Possible Early Battery Depletion – Findings where the actual reported implant time is less than 80% of the expected longevity calculated using the available device setting information with no device malfunction observed. There may not be sufficient device setting information to determine conclusively if battery depletion was normal or premature in the absence of a specific root cause finding. However, returned devices meeting the above criteria are conservatively classified as Possible Early Battery Depletion malfunctions.

Other – Findings related to other components such as insulators, grommets, setscrews, and packaging, and findings where analysis is inconclusive.

#### **Returned Product Analysis Process**

Analysis of returned product is performed according to written procedures. These procedures determine the minimum analysis required. The analysis required varies depending on the type of device, age of the device, the associated information received with the device, actual experience with models of similar design, and other factors. Additional analysis is performed as necessary to investigate a performance concern from a customer, or to collect specific reliability data.

When a device is returned with a performance concern from a customer, the general analysis process includes a preliminary analysis of the device in its as-received condition, followed by an automated functional test using test equipment equivalent to the equipment used in manufacturing.

When a malfunction is identified, failure analysis is performed to provide the detailed information necessary to investigate possible causes and actions. Medtronic CRM maintains in-house expertise and performs its failure analysis using facilities it owns and supports. This capability permits detailed failure analysis.

#### **Statistical Methods for Survival Analysis**

Of the several different statistical methods available for survival analysis, the Standard Actuarial Method, with suspensions assumed distributed evenly within the intervals (Cutler-Ederer Method), is used to determine survival estimates for CRT, IPG and ICD devices. Implant times are calculated from the implant date to the earlier of the explant date or the cutoff date of the report. From this data an estimate of the probability of device survival is calculated at each monthly interval.

On the following pages, each graph includes a survival curve where events include malfunctions and normal battery depletions (labeled as "Including Normal Battery Depletion"). This survival curve is a good representation of the probability a device will survive a period of time without malfunction and without battery depletion. For example, if a device survival probability is 95% after 5 years of service, then the device has a 5% chance of being removed due to battery depletion or malfunction in the first 5 years following implant.

In addition, a second curve is included to show survival excluding normal battery depletion (labeled as "Excluding Normal Battery Depletion"). This curve is a good representation of the probability for a device to survive without malfunction. This curve includes only malfunctions as events and excludes normal battery depletion.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 for CRT, ICD, and IPG devices. The survival charts in the Product Performance Report show the effective sample size for each year interval where we have experience. When the effective sample size reaches 100, the next data point is added to the survival curve.

Although the report provides tabular data in one-year intervals, the curves are actually computed and plotted using one-month intervals.

The data in the tables are rounded to the nearest tenth of one percent. Occasionally, a graph may show 100% survival, but have one or more malfunctions or battery depletions. This occurs because, even with the malfunctions or battery depletions, the data rounds to 100%.

#### Sample Size and How the Population and Population Samples Are Defined

The population sample from which the survival estimates are derived is comprised of the devices registered as implanted in the United States as of the report cutoff date. The number of registered implants, as well as an estimate of the number that remain in active service, is listed for each model. To be included in the population, the device must have been registered with Medtronic's registration system and implanted for at least one day.

This sample based on US implants is considered to be representative of the worldwide population, and therefore the survival estimates shown in this report should be representative of the performance worldwide of these models.

A CRT, ICD, or IPG model or model family will be included in this report when it has accumulated at least 10,000 implant months and will remain in the report as long as at least 500 devices remain active.

#### Methods Used to Adjust for Underreporting of Malfunction and Battery Depletion

The tables on the following pages show the actual number of malfunctions and battery depletions recorded by the analysis lab for US registered devices. Since not all devices are returned to Medtronic CRM for analysis, these numbers underestimate the true number of malfunctions and battery depletions. To more accurately estimate the device survival probabilities, the number of malfunctions and battery depletions used to plot each interval of the "Including Normal Battery Depletion" survival curves is adjusted (multiplied) by a factor that is based on an estimate of the magnitude of underreporting. The magnitude of underreporting is estimated by comparing data in Medtronic's Device Registration Tracking Application (DTrak) with data from Returned Product Analysis.

The DTrak system is an important element of Medtronic's Quality System. The DTrak system is designed to meet or exceed the US FDA's device tracking requirements set forth by the Safe Medical Devices Act. In the United States, over 98% of Medtronic's CRT, ICD, and IPG implants become registered in the DTrak system.

Because pacemakers do not cure the patient's underlying health problem, when a pacemaker stops functioning (due to either normal battery replacement or malfunction) it is replaced with a new pacemaker. Therefore, the replacement recorded in the DTrak system is a good indication that the previous pacemaker experienced either battery depletion or malfunction. The fraction of replaced devices that are subsequently returned can be used to estimate the correction factor for the under reporting of the combination of battery depletion and malfunction.

Note that devices of patients who have expired do not factor into the calculation of the correction. It is possible some proportion of these devices experienced battery depletion or malfunction. Since these are not counted into the correction factor based on the return rate of replaced devices, a correction factor based only on the return rate of replaced devices may still underestimate the true rate of battery depletion and malfunction. However, devices that are replaced because the patient is receiving a system upgrade or are removed because the patient no longer needs it (e.g., due to heart transplant) do contribute to the calculation of the correction factor and therefore impart an opposite bias.

Also note that this method of calculating the correction factor cannot distinguish between devices that are removed due to malfunction and those due to normal battery depletion. It might seem intuitive that devices that unexpectedly malfunction should be much more likely to be returned to the manufacturer than a device with ordinary normal battery depletion. But this has not been conclusively demonstrated. Therefore, this method only provides a correction factor reflecting the combination of battery depletion and malfunction.

No adjustment for underreporting is applied to the malfunction-free survival curve because a method for estimating malfunction-only underreporting has not been developed.

# Method for Estimating CRT, ICD, and IPG Device Performance continued

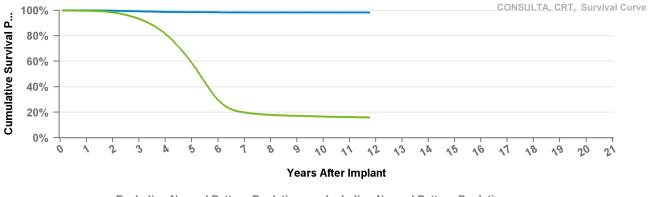
# Adjustments to Registered Implants to Compensate for Unreported Devices Removed from Service

Devices are at times removed from service for reasons other than device malfunction or battery depletion. Examples are devices removed from service due to non-device related patient mortality and devices removed due to changes in the patient's medical condition. Because an accurate estimate of device survival depends on an accurate estimate of the number of devices in service, it is important not to overstate the number of devices in service.

Medtronic addresses this under reporting to ensure the number of devices in service is not overstated. Regular updates obtained from third party sources such as the Social Security Administration are used to update Medtronic's DTrak data about patients who have died but whose deaths had not been reported to Medtronic. In addition, the patient mortality rate derived from our DTrak system is monitored and compared to published mortality rates for comparable patient populations. If, during calculation of the survival curves, the patient mortality indicated by the data in DTrak is significantly different from published rates, an adjustment is applied to correct the difference. The correction factor is also applied to account for devices that were removed and not reported or returned.

# D204TRM Consulta CRT-D

US Market Release	09Jan2012	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	2,048	Battery Malfunction	1
Estimated Active USA Implants	260	Electrical Component	1
Normal Battery Depletions	722	Poss Early Battery Depltn	1
		Therapy Function Compromised	0



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	8	9	10	11	mo mo
Excluding NBD	100.0%	99.7%	99.3%	98.8%	98.7%	98.5%	98.4%	98.4%	98.4%	98.4%	98.3%	98.3%
Including NBD	99.7%	98.4%	93.3%	81.3%	58.8%	29.6%	19.9%	17.9%	17.2%	16.6%	16.2%	15.8%
Effective Sample Size	56119	50208	42857	33036	19328	7393	3968	3225	2777	2103	1277	134

# D214TRM Consulta CRT-D

**US Market Release** 

**CE Approval Date** 

22Jul2010

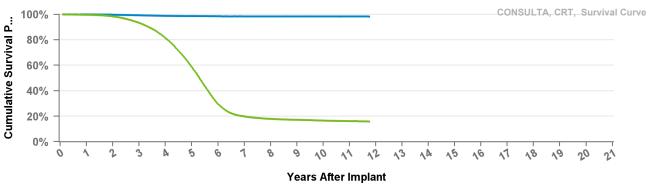
**Therapy Function Not Compromised** 

Registered USA Implants

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

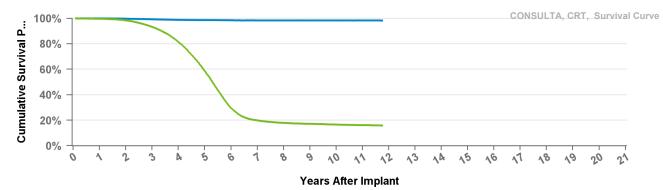
**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	10	11	at 141 mo
Excluding NBD	100.0%	99.7%	99.3%	98.8%	98.7%	98.5%	98.4%	98.4%	98.4%	98.4%	98.3%	98.3%
Including NBD	99.7%	98.4%	93.3%	81.3%	58.8%	29.6%	19.9%	17.9%	17.2%	16.6%	16.2%	15.8%
Effective Sample Size	56119	50208	42857	33036	19328	7393	3968	3225	2777	2103	1277	134

### D224TRK Consulta CRT-D

US Market Release	15Sep2008	Total Malfunctions	604
CE Approval Date		Therapy Function Not Compromised	573
Registered USA Implants	65,128	Battery Malfunction	2
Estimated Active USA Implants	5,087	Electrical Component	67
Normal Battery Depletions	18,935	Electrical Interconnect	1
		Other Malfunction	1
		Poss Early Battery Depltn	496
		Software Malfunction	6
		Therapy Function Compromised	31
		Battery Malfunction	5
		Electrical Component	26



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 141 mo
Excluding NBD	100.0%	99.7%	99.3%	98.8%	98.7%	98.5%	98.4%	98.4%	98.4%	98.4%	98.3%	98.3%
Including NBD	99.7%	98.4%	93.3%	81.3%	58.8%	29.6%	19.9%	17.9%	17.2%	16.6%	16.2%	15.8%
Effective	56119	50208	42857	33036	19328	7393	3968	3225	2777	2103	1277	134

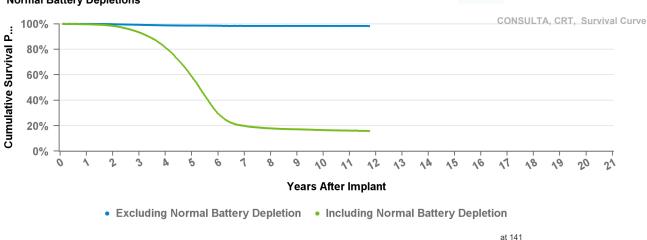
### D234TRK Consulta CRT-D

US Market Release Total Malfunctions
CE Approval Date 14Mar2008 Therapy Function Not Compromised

Registered USA Implants 2

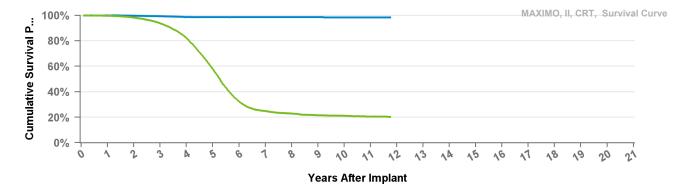
Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



# D264TRM Maximo II CRT-D

US Market Release	09Jan2012	Total Malfunctions	1
CE Approval Date	22Jul2010	Therapy Function Not Compromised	1
Registered USA Implants	15	Other Malfunction	1
Estimated Active USA Implants	2	Therapy Function Compromised	0
Normal Battery Depletions	5		

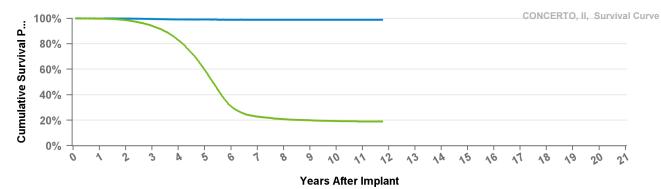


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 141 mo
Excluding NBD	100.0%	99.7%	99.3%	98.7%	98.6%	98.5%	98.5%	98.5%	98.5%	98.5%	98.5%	98.5%
Including NBD	99.7%	98.3%	93.7%	82.1%	58.1%	32.2%	24.9%	22.9%	21.6%	21.2%	20.6%	20.3%
Effective Sample Size	12498	11085	9498	7255	3986	1647	1074	898	763	581	331	101

### D274TRK Concerto II CRT-D

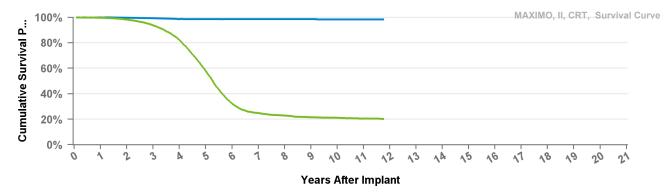
US Market Release	15Aug2009	Total Malfunctions	187
CE Approval Date		Therapy Function Not Compromised	176
Registered USA Implants	30,189	Battery Malfunction	1
Estimated Active USA Implants	2,623	Electrical Component	22
Normal Battery Depletions	8,002	Poss Early Battery Depltn	152
		Software Malfunction	1
		Therapy Function Compromised	11
		Battery Malfunction	1
		Electrical Component	10



Years	1	2	3	4	5	6	7	8	9	10	11	at 141 mo
Excluding NBD	100.0%	99.8%	99.5%	99.1%	99.1%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%
Including NBD	99.8%	98.6%	94.1%	82.7%	59.5%	30.8%	22.8%	20.9%	20.0%	19.4%	19.1%	19.0%
Effective Sample Size	25091	22505	19399	14876	8261	3116	1890	1561	1386	1259	858	124

# D284TRK Maximo II CRT-D

US Market Release	17Sep2008	Total Malfunctions	135
CE Approval Date	14Mar2008	Therapy Function Not Compromised	130
Registered USA Implants	14,989	Electrical Component	6
Estimated Active USA Implants	1,351	Poss Early Battery Depltn	124
Normal Battery Depletions	4,076	Therapy Function Compromised	5
		Electrical Component	5



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 141 mo
Excluding NBD	100.0%	99.7%	99.3%	98.7%	98.6%	98.5%	98.5%	98.5%	98.5%	98.5%	98.5%	98.5%
Including NBD	99.7%	98.3%	93.7%	82.1%	58.1%	32.2%	24.9%	22.9%	21.6%	21.2%	20.6%	20.3%
Effective Sample Size	12498	11085	9498	7255	3986	1647	1074	898	763	581	331	101

## D294TRK Concerto II CRT-D

US Market Release

CE Approval Date

Registered USA Implants

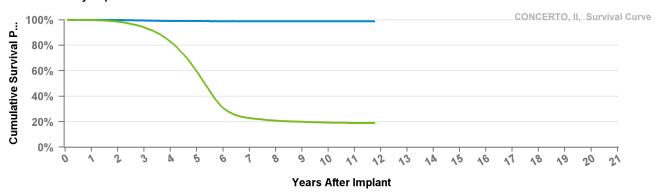
**Estimated Active USA Implants** 

Normal Battery Depletions

**Total Malfunctions** 

20Aug2008 Therapy Function Not Compromised

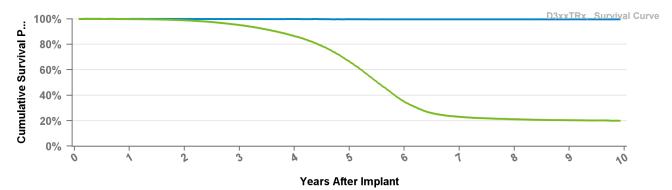
**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	10	11	at 141 mo
Excluding NBD	100.0%	99.8%	99.5%	99.1%	99.1%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%	99.0%
Including NBD	99.8%	98.6%	94.1%	82.7%	59.5%	30.8%	22.8%	20.9%	20.0%	19.4%	19.1%	19.0%
Effective Sample Size	25091	22505	19399	14876	8261	3116	1890	1561	1386	1259	858	124

# D314TRG Protecta XT CRT-D

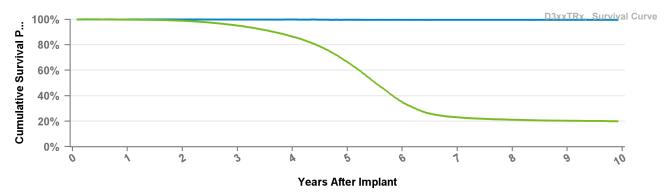
US Market Release	25Mar2011	Total Malfunctions	93
CE Approval Date		Therapy Function Not Compromised	74
Registered USA Implants	41,865	Battery Malfunction	7
Estimated Active USA Implants	4,744	Electrical Component	40
Normal Battery Depletions	10,485	Other Malfunction	2
		Poss Early Battery Depltn	25
		Therapy Function Compromised	19
		Battery Malfunction	11
		Electrical Component	8



Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

# D314TRM Protecta XT CRT-D

US Market Release	09Nov2011	Total Malfunctions	20
CE Approval Date		Therapy Function Not Compromised	17
Registered USA Implants	12,196	Battery Malfunction	4
Estimated Active USA Implants	1,478	Electrical Component	8
Normal Battery Depletions	3,502	Poss Early Battery Depltn	5
		Therapy Function Compromised	3
		Battery Malfunction	1
		Electrical Component	2

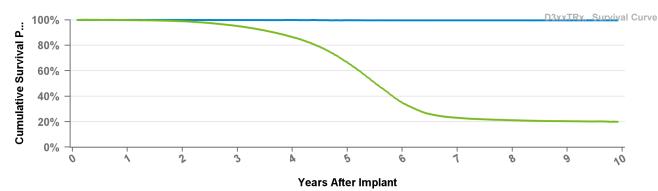


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

# D334TRG Protecta CRT-D

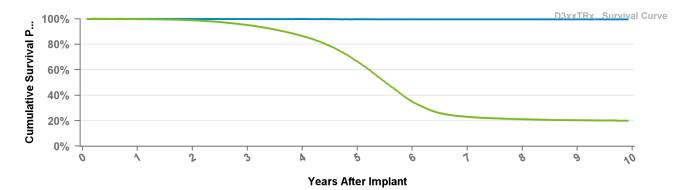
US Market Release	25Mar2011	Total Malfunctions	14
CE Approval Date		Therapy Function Not Compromised	11
Registered USA Implants	8,103	Electrical Component	8
Estimated Active USA Implants	996	Poss Early Battery Depltn	3
Normal Battery Depletions	2,161	Therapy Function Compromised	3
		Battery Malfunction	1
		Electrical Component	1
		Electrical Interconnect	1



Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

# D334TRM Protecta CRT-D

US Market Release	09Nov2011	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	6
Registered USA Implants	1,785	Battery Malfunction	3
Estimated Active USA Implants	253	Electrical Component	1
Normal Battery Depletions	571	Poss Early Battery Depltn	2
		Therapy Function Compromised	2
		Battery Malfunction	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	mo	
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%	
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%	
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126	

## D354TRG Protecta XT CRT-D

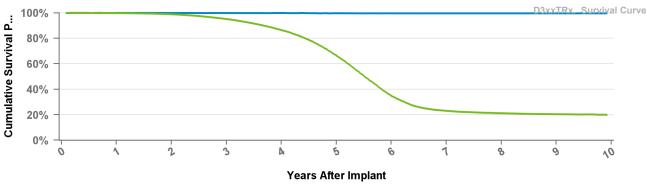
US Market Release Total Malfunctions

CE Approval Date 25Mar2010 Therapy Function Not Compromised

Registered USA Implants 1

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

#### **D354TRM** Protecta XT CRT-D

**US Market Release** 

15Jul2010

**CE Approval Date Registered USA Implants** 

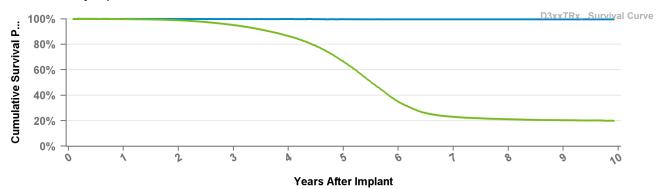
**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Total Malfunctions** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

### **D364TRG**

### Protecta CRT-D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

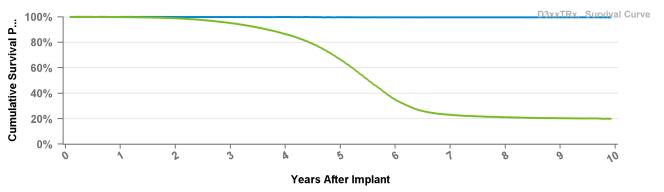
25Mar2010 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

### **D364TRM**

### Protecta CRT-D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

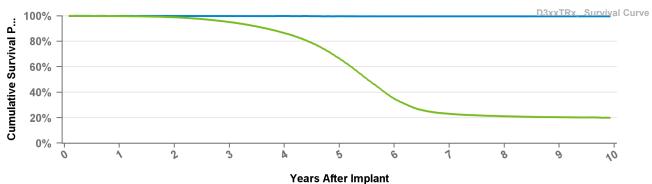
15Jul2010 **Therapy Function Not Compromised** 

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

# D384TRG

# Cardia CRT-D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

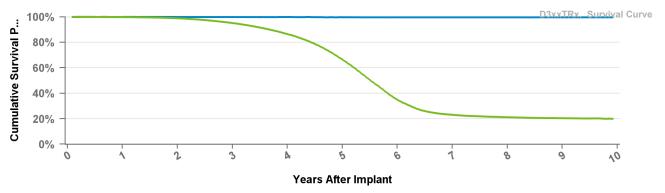
12Jan2011 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

### D394TRG Egida CRT-D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

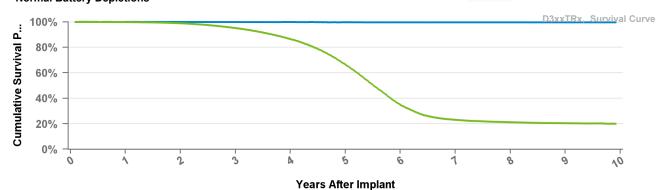
12Jan2011 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

Normal Battery Depletions

**Therapy Function Compromised** 

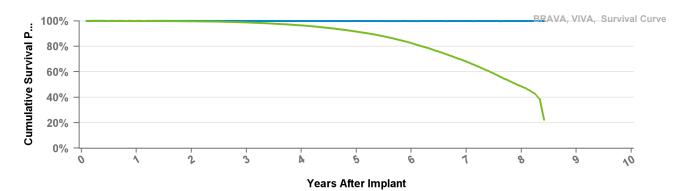


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 119 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	98.9%	95.1%	86.4%	66.5%	34.8%	23.1%	21.2%	20.4%	20.0%
Effective Sample Size	54155	48926	42282	33500	21003	8784	4746	3858	2934	126

### DTBA1D1 Viva XT

**US Market Release** 29Jan2013 Total Malfunctions 124 **CE Approval Date Therapy Function Not Compromised** 87 **Registered USA Implants** 110,485 **Battery Malfunction** 17 **Estimated Active USA Implants** 40,680 **Electrical Component** 63 **Normal Battery Depletions** 9,954 Other Malfunction 5 2 Poss Early Battery Depltn **Therapy Function Compromised** 37 **Battery Malfunction** 29



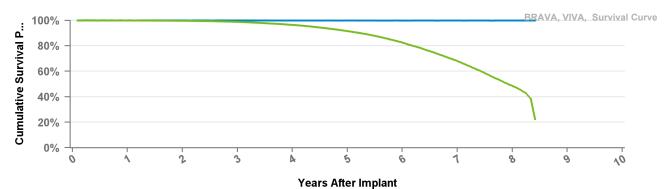
**Electrical Component** 

8

Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

# DTBA1D4 Viva XT

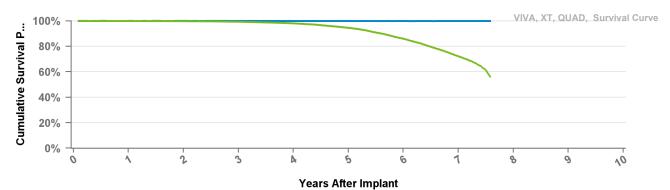
US Market Release	29Jan2013	Total Malfunctions	65
CE Approval Date		Therapy Function Not Compromised	51
Registered USA Implants	37,710	Battery Malfunction	11
Estimated Active USA Implants	13,040	Electrical Component	34
Normal Battery Depletions	4,579	Poss Early Battery Depltn	6
		Therapy Function Compromised	14
		Battery Malfunction	8
		Electrical Component	6



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

# DTBA1Q1 Viva Quad XT

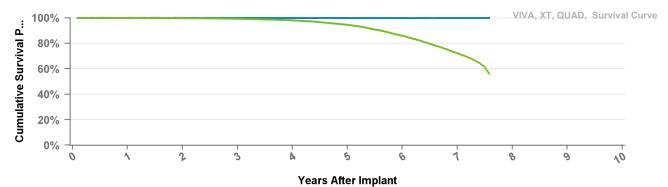
US Market Release	03Jul2014	Total Malfunctions	24
CE Approval Date		Therapy Function Not Compromised	16
Registered USA Implants	21,328	Battery Malfunction	6
Estimated Active USA Implants	9,180	Electrical Component	6
Normal Battery Depletions	1,467	Other Malfunction	2
		Poss Early Battery Depltn	2
		Therapy Function Compromised	8
		Battery Malfunction	6
		Electrical Component	2



Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.8%	99.3%	98.0%	94.5%	86.0%	72.2%	56.2%
Effective Sample Size	33797	31335	28767	25697	21575	15117	5159	439

# DTBA1QQ Viva Quad XT

US Market Release	03Jul2014	Total Malfunctions	86
CE Approval Date		Therapy Function Not Compromised	66
Registered USA Implants	53,303	Battery Malfunction	21
Estimated Active USA Implants	26,056	Electrical Component	34
Normal Battery Depletions	4,162	Electrical Interconnect	2
		Other Malfunction	3
		Poss Early Battery Depltn	6
		Therapy Function Compromised	20
		Battery Malfunction	16
		Electrical Component	4



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 91 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.8%	99.3%	98.0%	94.5%	86.0%	72.2%	56.2%
Effective Sample Size	33797	31335	28767	25697	21575	15117	5159	439

# DTBA2D1 Viva XT

US Market Release

CE Approval Date

Total Malfunctions

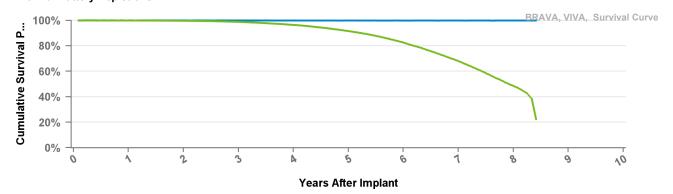
Registered USA Implants

Estimated Active USA Implants

Normal Battery Depletions

29Aug2016 Therapy Function Not Compromised

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

#### DTBA2D4 Viva XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

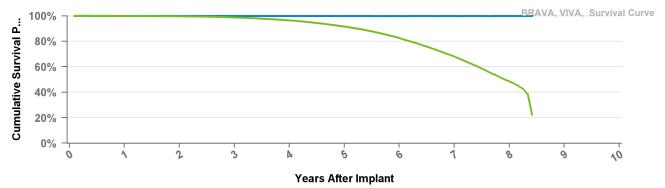
08Aug2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

### DTBA2Q1

# Viva Quad XT

**US Market Release** 

**Total Malfunctions** 

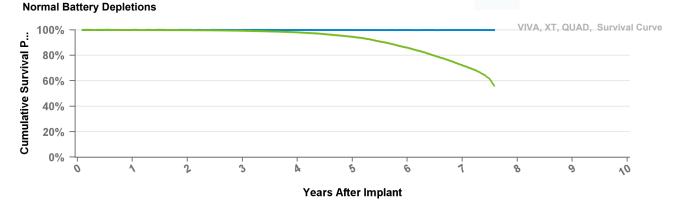
**CE Approval Date** 

12Sep2013 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 91 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.8%	99.3%	98.0%	94.5%	86.0%	72.2%	56.2%
Effective Sample Size	33797	31335	28767	25697	21575	15117	5159	439

# DTBA2QQ Viva Quad XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

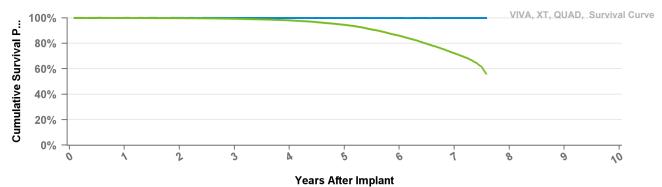
08Aug2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



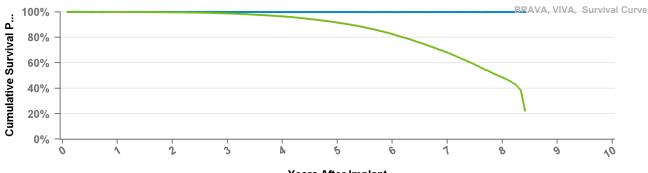
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	at 91 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.8%	99.3%	98.0%	94.5%	86.0%	72.2%	56.2%
Effective	33797	31335	28767	25697	21575	15117	5159	439

### DTBB1D1

### Viva S

US Market Release	29Jan2013	Total Malfunctions	37
CE Approval Date		Therapy Function Not Compromised	29
Registered USA Implants	27,546	Battery Malfunction	16
Estimated Active USA Implants	8,285	Electrical Component	7
Normal Battery Depletions	3,392	Other Malfunction	2
		Poss Early Battery Depltn	4
		Therapy Function Compromised	8
		Battery Malfunction	6
		Electrical Component	2

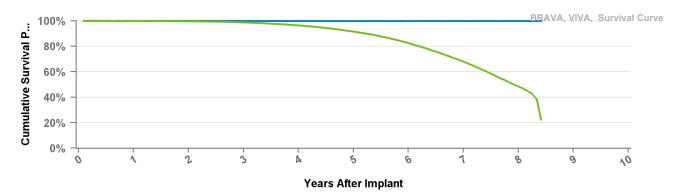


Years After Implant

Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

### DTBB1D4 Viva S

US Market Release	29Jan2013	Total Malfunctions	18
CE Approval Date		Therapy Function Not Compromised	12
Registered USA Implants	8,822	Battery Malfunction	6
Estimated Active USA Implants	2,553	Electrical Component	4
Normal Battery Depletions	1,308	Other Malfunction	2
		Therapy Function Compromised	6
		Battery Malfunction	6

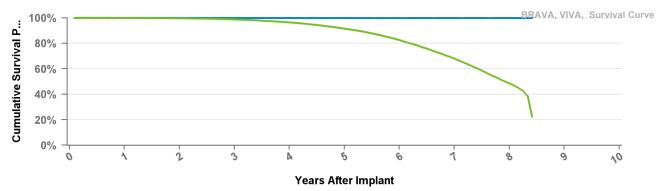


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

# DTBB1Q1 Viva Quad S

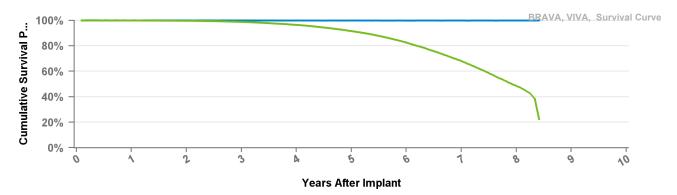
US Market Release	03Jul2014	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	4,537	Electrical Component	2
Estimated Active USA Implants	1,862	Therapy Function Compromised	0
Normal Battery Depletions	429		



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

# DTBB1QQ Viva Quad S

US Market Release	03Jul2014	Total Malfunctions	17
CE Approval Date		Therapy Function Not Compromised	13
Registered USA Implants	9,863	Battery Malfunction	2
Estimated Active USA Implants	4,560	Electrical Component	4
Normal Battery Depletions	942	Other Malfunction	3
		Poss Early Battery Depltn	4
		Therapy Function Compromised	4
		Electrical Component	4



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

# DTBB2D1

# Viva S

US Market Release Total Malfunctions

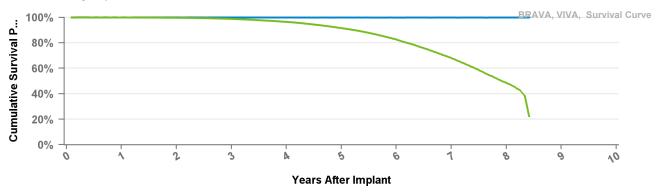
CE Approval Date 08Aug2012 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

### DTBB2D4 Viva S

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

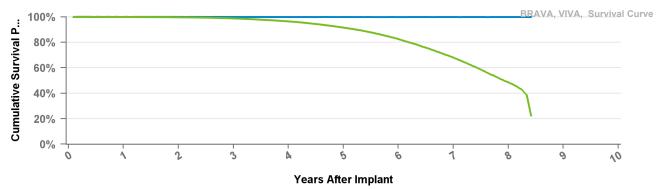
08Aug2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

### DTBB2QQ

# Viva Quad S

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

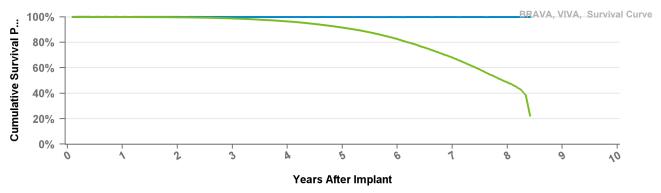
08Aug2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

### DTBC2D1

### Brava

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

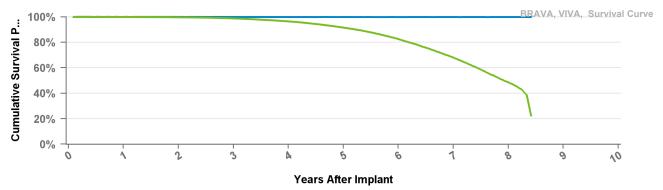
08Aug2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective	86474	78556	70556	61290	49285	32884	15812	3785	103

#### DTBC2D4

#### Brava

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

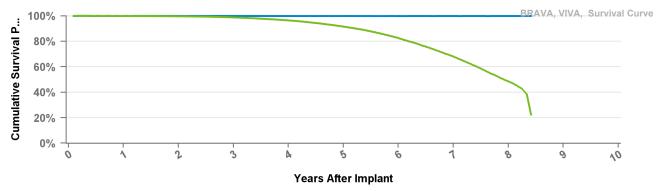
08Aug2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

### DTBC2Q1 Brava Quad

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

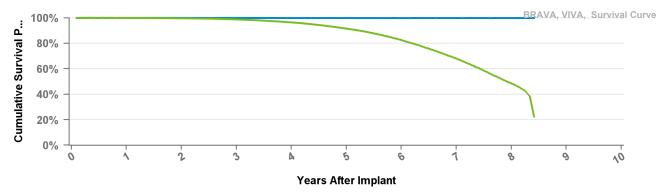
12Sep2013 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective	86474	78556	70556	61290	49285	32884	15812	3785	103

### DTBC2QQ

### **Brava Quad**

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

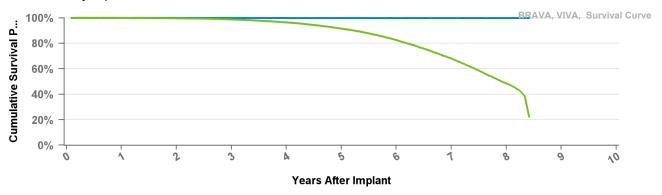
08Aug2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

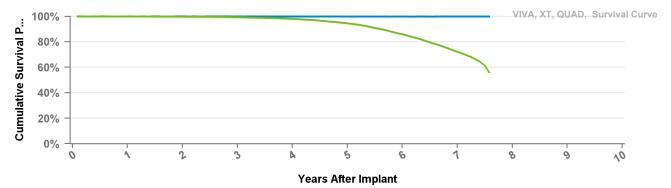
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 101 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.7%	98.8%	96.4%	91.6%	82.6%	68.0%	48.5%	22.2%
Effective Sample Size	86474	78556	70556	61290	49285	32884	15812	3785	103

# DTBX1QQ Viva Quad C

US Market Release	03Jul2014	Total Malfunctions	2
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	1,276	Electrical Component	2
Estimated Active USA Implants	150	Therapy Function Compromised	0
Normal Battery Depletions	380		



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.8%	99.3%	98.0%	94.5%	86.0%	72.2%	56.2%
Effective Sample Size	33797	31335	28767	25697	21575	15117	5159	439

### DTBX2QQ

### Viva Quad C

US Market Release

**CE Approval Date** 

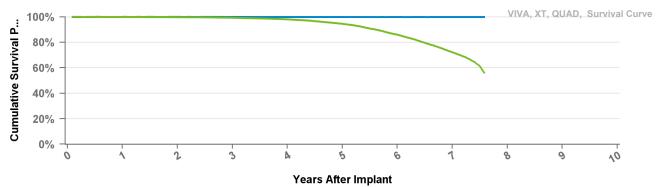
Registered USA Implants
Estimated Active USA Implants

**Normal Battery Depletions** 

03Jul2014 Total Malfunctions

**Therapy Function Not Compromised** 

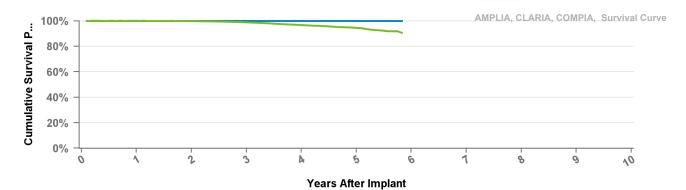
**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	at 91 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%
Including NBD	99.9%	99.8%	99.3%	98.0%	94.5%	86.0%	72.2%	56.2%
Effective Sample Size	33797	31335	28767	25697	21575	15117	5159	439

#### DTMA1D1 Claria MRI

US Market Release	05Dec2016	Total Malfunctions	9
CE Approval Date		Therapy Function Not Compromised	7
Registered USA Implants	16,069	Battery Malfunction	4
Estimated Active USA Implants	12,644	Electrical Interconnect	1
Normal Battery Depletions	132	Other Malfunction	2
		Therapy Function Compromised	2
		Battery Malfunction	2



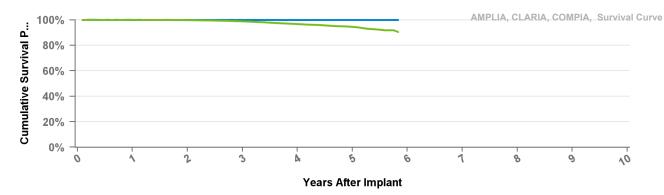
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

### DTMA1D4

### Claria MRI

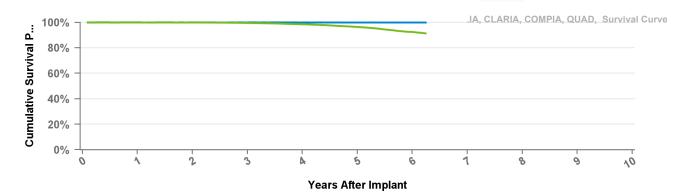
US Market Release	05Dec2016	Total Malfunctions	6
CE Approval Date		Therapy Function Not Compromised	4
Registered USA Implants	14,200	Battery Malfunction	1
Estimated Active USA Implants	11,645	Electrical Component	3
Normal Battery Depletions	111	Therapy Function Compromised	2
		Electrical Component	1
		Electrical Interconnect	1



Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

# DTMA1Q1 Claria MRI

US Market Release	05Dec2016	Total Malfunctions	5
CE Approval Date		Therapy Function Not Compromised	5
Registered USA Implants	10,900	Electrical Interconnect	2
Estimated Active USA Implants	8,858	Other Malfunction	1
Normal Battery Depletions	37	Poss Early Battery Depltn	2
		Therapy Function Compromised	0

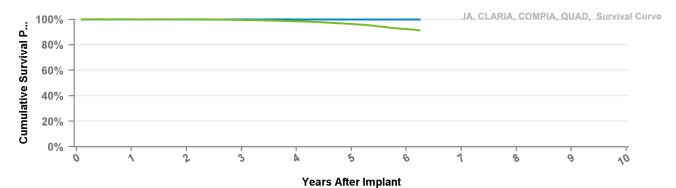


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

### DTMA1QQ Claria MRI

US Market Release	05Dec2016	Total Malfunctions	22
CE Approval Date		Therapy Function Not Compromised	17
Registered USA Implants	69,735	Battery Malfunction	1
Estimated Active USA Implants	59,855	Electrical Component	9
Normal Battery Depletions	296	Electrical Interconnect	1
		Other Malfunction	5
		Poss Early Battery Depltn	1
		Therapy Function Compromised	5
		Flectrical Component	5



Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

## DTMA2D1

## Claria MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

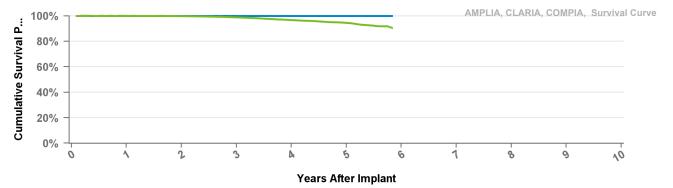
29Aug2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

### DTMA2D4

### Claria MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

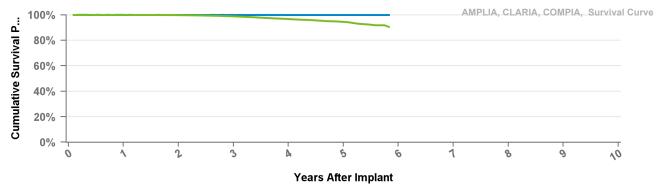
19Feb2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

### DTMA2Q1

## Claria MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

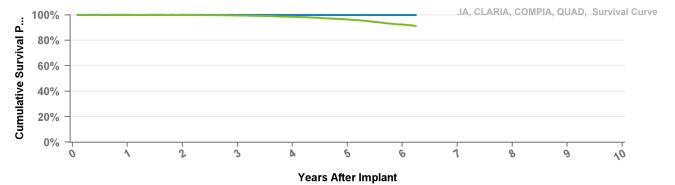
29Aug2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective	89914	70736	48306	28876	13183	2033	339

## DTMA2QQ

### Claria MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

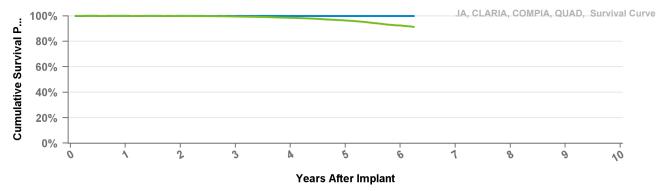
19Feb2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

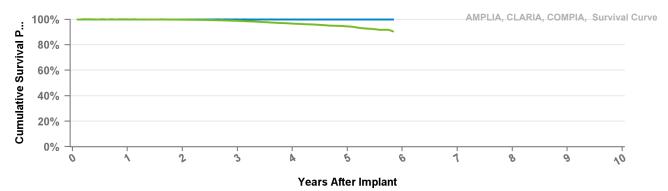
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

#### DTMB1D1 **Amplia MRI**

US Market Release	05Dec2016	Total Malfunctions	5
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	8,499	Battery Malfunction	2
Estimated Active USA Implants	6,097	Other Malfunction	1
Normal Battery Depletions	101	Therapy Function Compromised	2
		Battery Malfunction	2

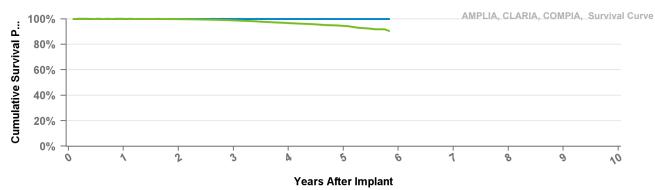


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

#### DTMB1D4 **Amplia MRI**

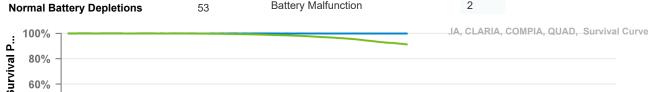
US Market Release	01Feb2016	Total Malfunctions	5
CE Approval Date		Therapy Function Not Compromised	3
Registered USA Implants	9,100	Electrical Component	3
Estimated Active USA Implants	6,151	Therapy Function Compromised	2
Normal Battery Depletions	183	Poss Early Battery Depltn	2



Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

#### DTMB1Q1 **Amplia MRI**





**Battery Malfunction** 

2

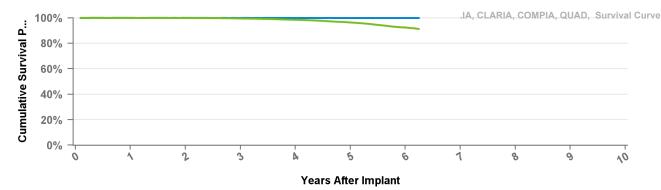
Cumulative Survival P... 40% 20% 0% 5 6 10 **Years After Implant** 

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective	89914	70736	48306	28876	13183	2033	339

#### DTMB1QQ **Amplia MRI**

US Market Release	01Feb2016	Total Malfunctions	59
CE Approval Date		Therapy Function Not Compromised	47
Registered USA Implants	47,026	Battery Malfunction	16
Estimated Active USA Implants	34,113	Electrical Component	17
Normal Battery Depletions	753	Other Malfunction	8
		Poss Early Battery Depltn	6
		Therapy Function Compromised	12
		Battery Malfunction	12



Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

### DTMB2D1

## **Amplia MRI**

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

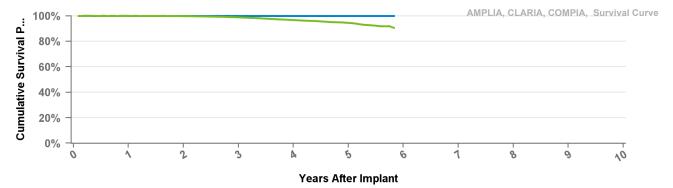
29Aug2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

### DTMB2D4

## Amplia MRI

**US Market Release** 

**Total Malfunctions** 

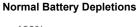
**CE Approval Date** 

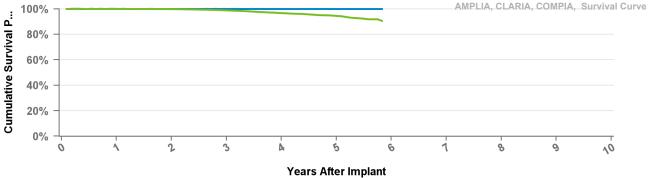
19Feb2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 





Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

### DTMB2Q1

## **Amplia MRI**

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

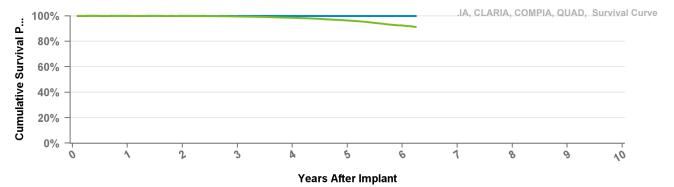
29Aug2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

## DTMB2QQ

## Amplia MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

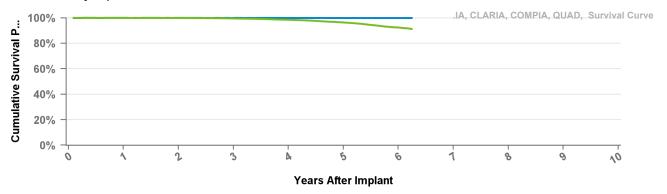
19Feb2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

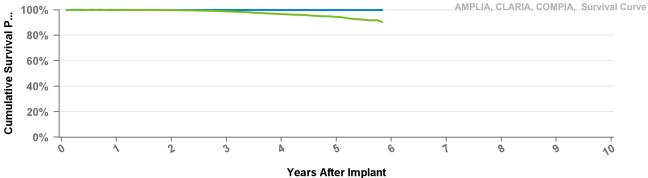


Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

## DTMC1D1 Compia MRI

US Market Release 05Dec2016 Total Malfunctions
CE Approval Date Therapy Function Not Compromised
Registered USA Implants 1,211
Estimated Active USA Implants 920 Therapy Function Compromised

Normal Battery Depletions 16

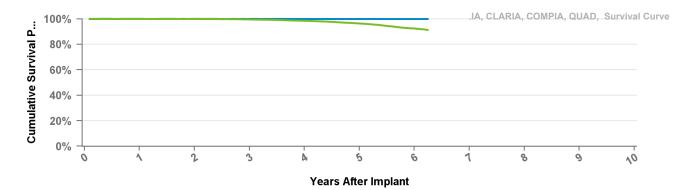


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

## DTMC1QQ Compia MRI

US Market Release	01Feb2016	Total Malfunctions	6
CE Approval Date		Therapy Function Not Compromised	6
Registered USA Implants	5,802	Battery Malfunction	2
Estimated Active USA Implants	4,417	Electrical Component	4
Normal Battery Depletions	122	Therapy Function Compromised	0



Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

#### DTMC2D1 Compia MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

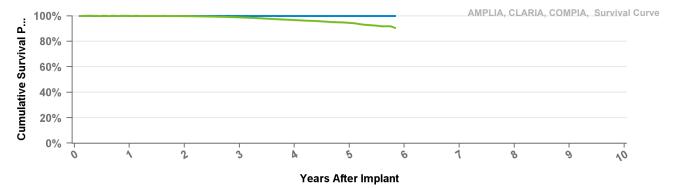
29Aug2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

### DTMC2D4

## Compia MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

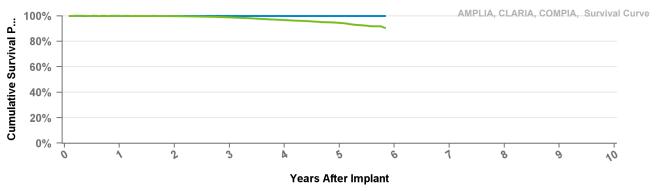
19Feb2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



- Excluding Normal Battery Depletion Including Normal Battery Depletion

Years	1	2	3	4	5	at 70 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	98.8%	96.7%	94.5%	90.4%
Effective Sample Size	30739	23123	15721	8717	2679	134

## DTMC2QQ Compia MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

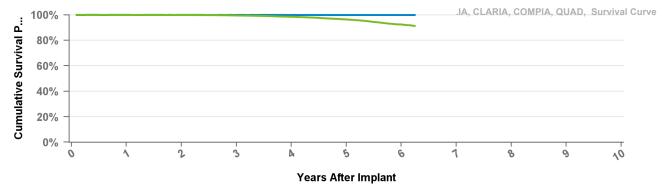
19Feb2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 75 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.9%	99.5%	98.5%	96.4%	92.5%	91.2%
Effective Sample Size	89914	70736	48306	28876	13183	2033	339

### DTPA2D1

### Cobalt XT HF

US Market Release

23Apr2020 Total Malfunctions

**CE Approval Date** 

18Dec2019 Therapy Function Not Compromised

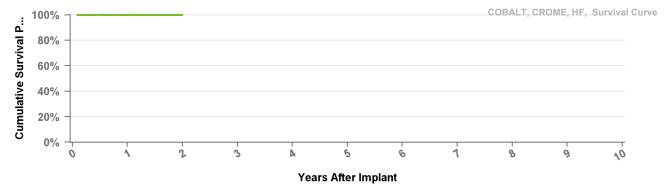
**Registered USA Implants** 

1,375

**Estimated Active USA Implants** 

1,330 Therapy Function Compromised

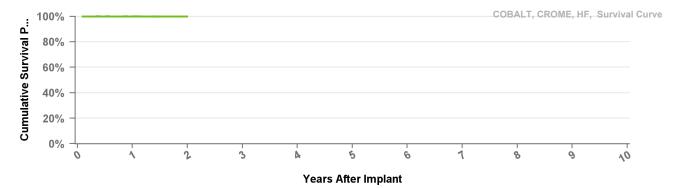
**Normal Battery Depletions** 



Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

## DTPA2D4 Cobalt XT HF

US Market Release	23Apr2020	Total Malfunctions	1
CE Approval Date	18Dec2019	Therapy Function Not Compromised	1
Registered USA Implants	1,249	Electrical Interconnect	1
Estimated Active USA Implants	1,200	Therapy Function Compromised	0
Normal Battery Depletions			

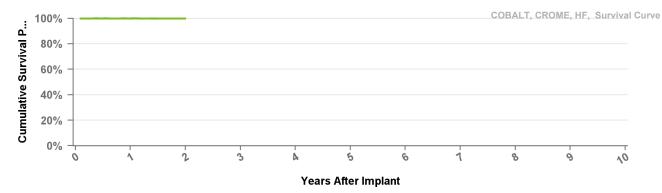


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

		at 24
Years	1	mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

## DTPA2Q1 Cobalt XT HF Quad

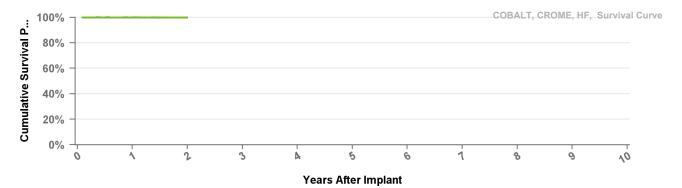
US Market Release	23Apr2020	Total Malfunctions	1
CE Approval Date	18Dec2019	Therapy Function Not Compromised	1
Registered USA Implants	989	Software Malfunction	1
Estimated Active USA Implants	959	Therapy Function Compromised	0
Normal Battery Depletions			



Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

## DTPA2QQ Cobalt XT HF Quad



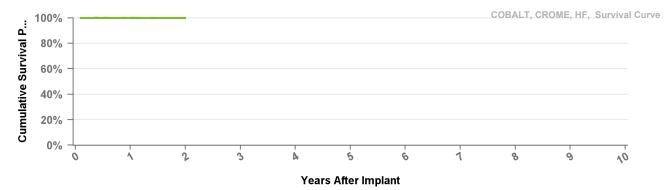


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective	12209	214
Sample Size		

## DTPB2D1 Cobalt HF

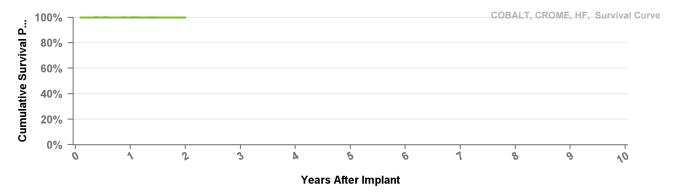
US Market Release	23Apr2020	Total Malfunctions	2
CE Approval Date	18Dec2019	Therapy Function Not Compromised	0
Registered USA Implants	2,052		
Estimated Active USA Implants	1,958	Therapy Function Compromised	2
Normal Battery Depletions		Electrical Component	1
		Electrical Interconnect	1



Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

### DTPB2D4 Cobalt HF

US Market Release	23Apr2020	Total Malfunctions	5
CE Approval Date	18Dec2019	Therapy Function Not Compromised	4
Registered USA Implants	2,015	Electrical Interconnect	3
Estimated Active USA Implants	1,939	Software Malfunction	1
Normal Battery Depletions		Therapy Function Compromised	1
		Electrical Component	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

	at 24
1	mo
100.0%	99.9%
99.9%	99.8%
12209	214
	100.0%

## DTPB2Q1

## Cobalt HF Quad

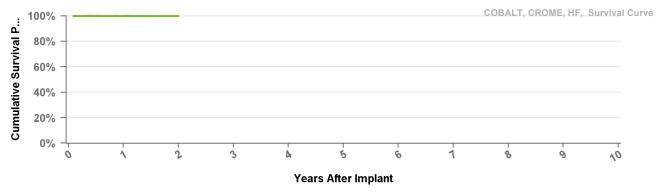
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 1,400

Estimated Active USA Implants 1,340 Therapy Function Compromised

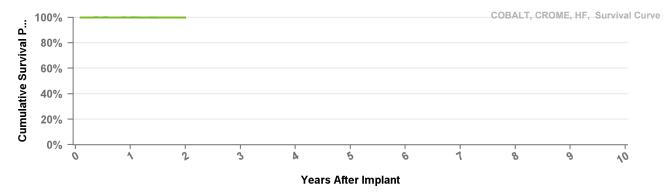
**Normal Battery Depletions** 



Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

## DTPB2QQ Cobalt HF Quad

US Market Release	23Apr2020	Total Malfunctions	4
CE Approval Date	18Dec2019	Therapy Function Not Compromised	1
Registered USA Implants	10,689	Electrical Interconnect	1
Estimated Active USA Implants	10,344	Therapy Function Compromised	3
Normal Battery Depletions	2	Electrical Component	2
		Electrical Interconnect	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

	at 24
1	mo
100.0%	99.9%
99.9%	99.8%
12209	214
	100.0%

## DTPC2D1

## Crome HF

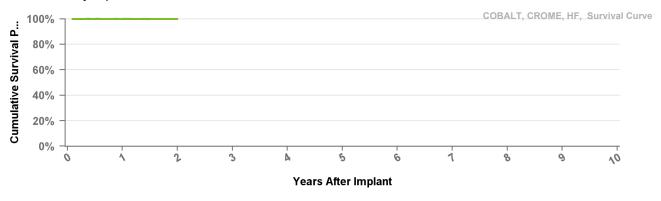
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 167

Estimated Active USA Implants 158 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

### DTPC2D4 Crome HF

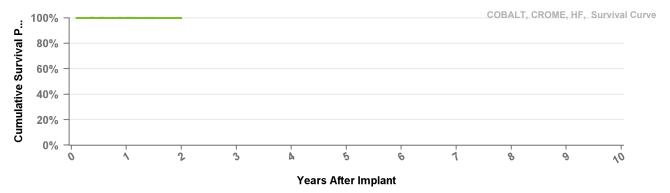
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 144

Estimated Active USA Implants 139 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

#### DTPC2Q1

### Crome HF Quad

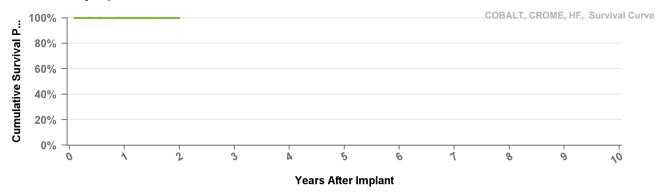
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 79

Estimated Active USA Implants 76 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

## DTPC2QQ Crome HF Quad

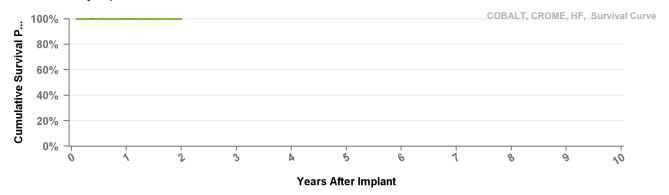
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 706

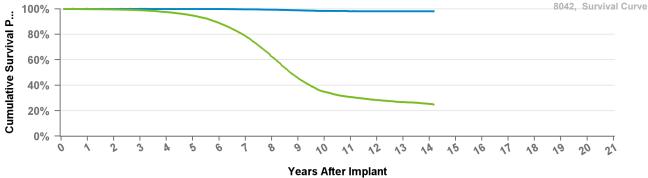
Estimated Active USA Implants 675 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 24 mo
Excluding NBD	100.0%	99.9%
Including NBD	99.9%	99.8%
Effective Sample Size	12209	214

#### 8042 InSync III **US Market Release** 25Feb2003 Total Malfunctions 116 **CE Approval Date** 07Feb2001 Therapy Function Not Compromised 67 **Registered USA Implants** 39,276 **Battery Malfunction** 55 **Estimated Active USA Implants** 1,892 **Electrical Component** 2 **Normal Battery Depletions** 5,231 **Electrical Interconnect** 3 Other Malfunction 5 2 Poss Early Battery Depltn **Therapy Function Compromised** 49 **Battery Malfunction** 37 **Electrical Interconnect** 12

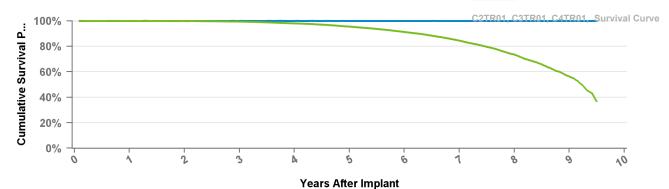


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	13	14	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.7%	99.3%	98.9%	98.5%	98.2%	98.2%	98.2%	98.2%	98.2%
Including NBD	99.8%	99.5%	99.0%	97.5%	94.7%	88.9%	78.6%	62.5%	45.6%	35.0%	30.8%	28.4%	26.8%	25.4%	24.8%
Effective	30379	26386	22919	19722	16587	12730	9032	5895	3394	2126	1597	1104	550	166	121

# C2TR01 Syncra CRT-P

US Market Release	22Mar2011	Total Malfunctions	7
CE Approval Date	11May2010	Therapy Function Not Compromised	6
Registered USA Implants	10,234	Other Malfunction	1
Estimated Active USA Implants	2,687	Poss Early Battery Depltn	5
Normal Battery Depletions	795	Therapy Function Compromised	1
		Poss Early Battery Depltn	1



Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.5%	98.0%	95.5%	91.2%	84.5%	73.5%	56.2%	36.8%
Effective	26185	23392	20951	18287	15556	12215	8427	4480	1287	165

### C3TR01 (

## Consulta CRT-P

US Market Release

**Total Malfunctions** 

**CE Approval Date** 

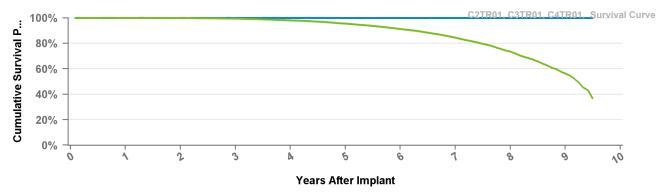
11May2010 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

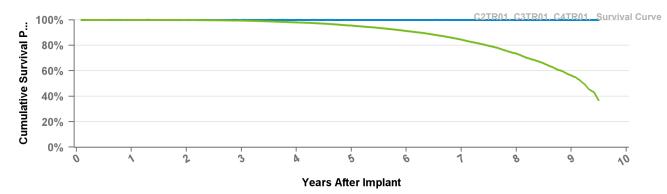


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.5%	98.0%	95.5%	91.2%	84.5%	73.5%	56.2%	36.8%
Effective	26185	23392	20951	18287	15556	12215	8427	4480	1287	165

## C4TR01 Consulta CRT-P

US Market Release	22Mar2011	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	5
Registered USA Implants	23,404	Poss Early Battery Depltn	5
Estimated Active USA Implants	7,370	Therapy Function Compromised	3
Normal Battery Depletions	1,622	Electrical Component	2
		Poss Farly Battery Depitn	1



Years	1	2	3	4	5	6	7	8	9	at 114 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.8%	99.5%	98.0%	95.5%	91.2%	84.5%	73.5%	56.2%	36.8%
Effective Sample Size	26185	23392	20951	18287	15556	12215	8427	4480	1287	165

## C5TR01 Viva CRT-P

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

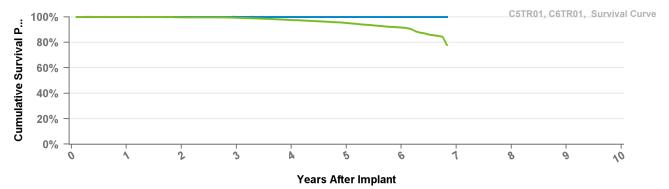
04Apr2014 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

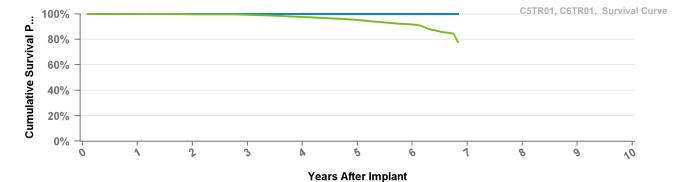


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 82 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	99.3%	97.5%	95.2%	91.6%	77.8%
Effective Sample Size	7371	6608	5921	5147	4182	1845	116

## C6TR01 Viva CRT-P

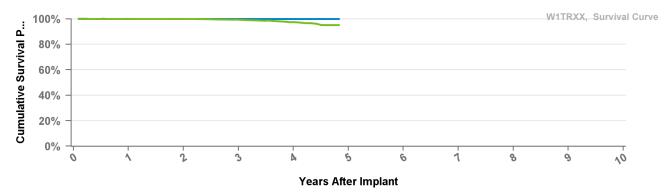
**US Market Release** 09Jul2014 **Total Malfunctions** 5 **CE Approval Date Therapy Function Not Compromised** 5 **Registered USA Implants** 9,197 Poss Early Battery Depltn 5 **Estimated Active USA Implants** 4,879 **Therapy Function Compromised** 0 **Normal Battery Depletions** 263



Years	1	2	3	4	5	6	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%
Including NBD	99.9%	99.7%	99.3%	97.5%	95.2%	91.6%	77.8%
Effective Sample Size	7371	6608	5921	5147	4182	1845	116

## W1TR01 Percepta CRTP MRI

US Market Release	06May2017	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	10,586	Other Malfunction	1
Estimated Active USA Implants	9,117	Therapy Function Compromised	2
Normal Battery Depletions	33	Electrical Component	2

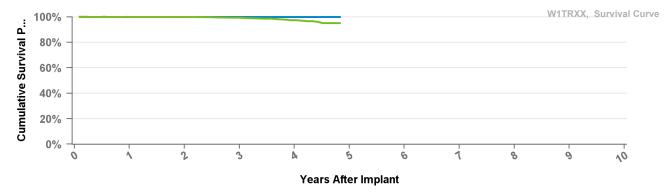


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 58 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.4%	97.5%	95.2%
Effective	10730	6956	3813	1269	119

## W1TR02 Serena CRTP MRI

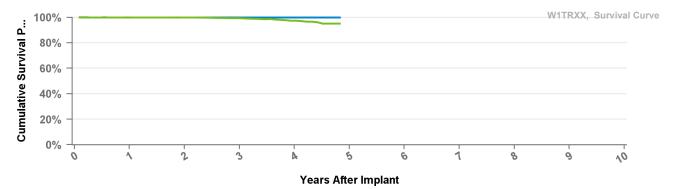
US Market Release	06May2017	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	2,158	Other Malfunction	1
Estimated Active USA Implants	1,816	Therapy Function Compromised	0
Normal Battery Depletions	4		



Years	1	2	3	4	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.4%	97.5%	95.2%
Effective Sample Size	10730	6956	3813	1269	119

## W1TR03 Solara CRTP MRI

US Market Release	06May2017	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	3,086	Electrical Component	1
Estimated Active USA Implants	2,488	Therapy Function Compromised	0
Normal Rattery Depletions	17		



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 58 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.4%	97.5%	95.2%
Effective Sample Size	10730	6956	3813	1269	119

## W1TR04

# Percepta CRTP MRI

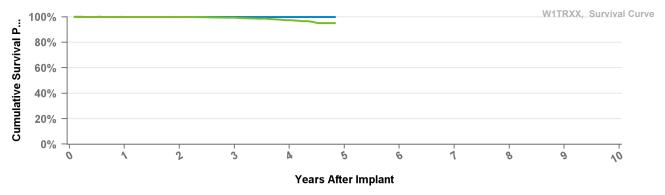
US Market Release Total Malfunctions

CE Approval Date 10Feb2017 Therapy Function Not Compromised

**Registered USA Implants** 

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	at 58 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.4%	97.5%	95.2%
Effective Sample Size	10730	6956	3813	1269	119

## W1TR05 Serena CRTP MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

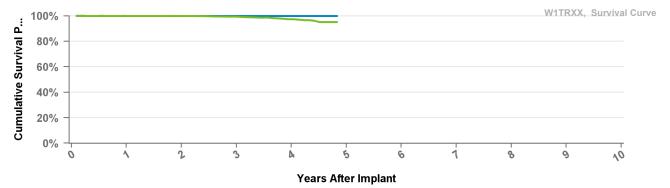
10Feb2017 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 58 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.4%	97.5%	95.2%
Effective	10730	6956	3813	1269	119

#### **W1TR06**

## Solara CRTP MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

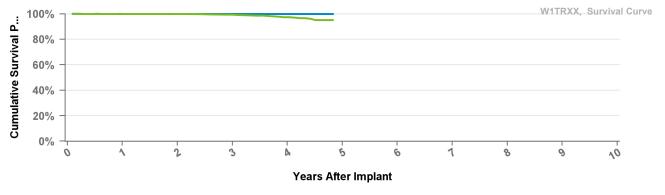
10Feb2017 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

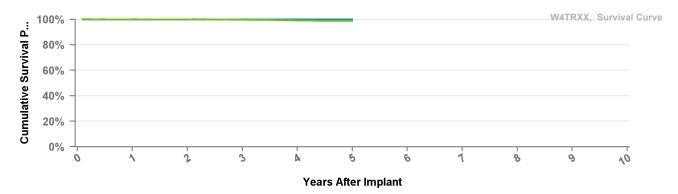
**Normal Battery Depletions** 



Years	1	2	3	4	at 58 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.4%	97.5%	95.2%
Effective Sample Size	10730	6956	3813	1269	119

## W4TR01 Percepta Quad CRTP MRI SureScan

US Market Release	06May2017	Total Malfunctions	5
CE Approval Date		Therapy Function Not Compromised	4
Registered USA Implants	39,469	Electrical Component	3
Estimated Active USA Implants	33,949	Other Malfunction	1
Normal Battery Depletions	30	Therapy Function Compromised	1
		Electrical Component	1

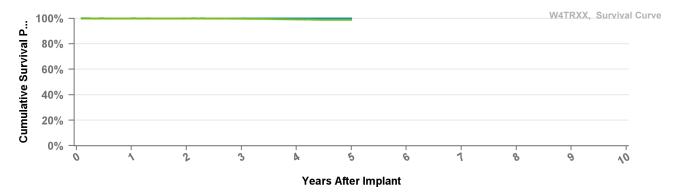


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.2%	98.9%
Effective	36662	23629	13813	5696	233

## W4TR02 Serena Quad CRTP MRI SureScan

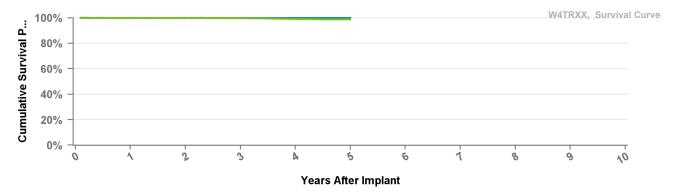
US Market Release	06May2017	Total Malfunctions	1
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	6,210	Electrical Component	1
Estimated Active USA Implants	5,177	Therapy Function Compromised	0
Normal Battery Depletions	8		



Years	1	2	3	4	at 60 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.2%	98.9%
Effective Sample Size	36662	23629	13813	5696	233

## W4TR03 Solara Quad CRTP MRI SureScan

US Market Release	06May2017	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	0
Registered USA Implants	8,494		
Estimated Active USA Implants	6,871	Therapy Function Compromised	3
Normal Battery Depletions	14	Electrical Component	2
		Poss Early Battery Depltn	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.2%	98.9%
Effective Sample Size	36662	23629	13813	5696	233

# W4TR04

# Percepta Quad CRT-P MRI SureScan

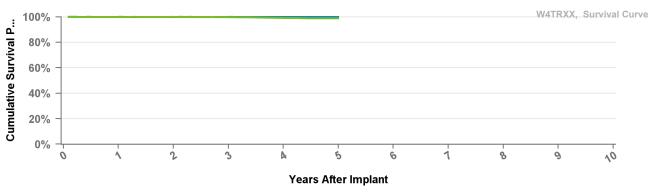
US Market Release Total Malfunctions

CE Approval Date 10Feb2017 Therapy Function Not Compromised

**Registered USA Implants** 

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	at 60 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.2%	98.9%
Effective Sample Size	36662	23629	13813	5696	233

### **W4TR05**

## Serena Quad CRTP MRI SureScan

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

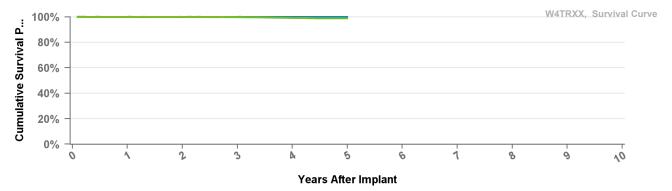
10Feb2017 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 60 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.2%	98.9%
Effective Sample Size	36662	23629	13813	5696	233

#### **W4TR06**

## Solara Quad CRTP MRI SureScan

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

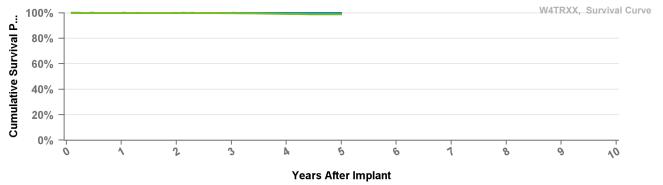
10Feb2017 Therapy Function Not Compromised

Registered USA Implants

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	at 60 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.2%	98.9%
Effective Sample Size	36662	23629	13813	5696	233

#### 7232Cx Maximo VR **US Market Release** 73 06Oct2003 Total Malfunctions 28Oct2003 Therapy Function Not Compromised 58 **CE Approval Date Registered USA Implants** 43,623 **Electrical Component** 29 **Estimated Active USA Implants** 2,784 Other Malfunction 2 **Normal Battery Depletions** 10,359 Poss Early Battery Depltn 25 Software Malfunction 2 **Therapy Function Compromised** 15 **Electrical Component** 12 **Electrical Interconnect** 1 Other Malfunction 1 Poss Early Battery Depltn 1 7232, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% **Years After Implant** • Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	at 195 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%	99.7%	99.7%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.3%	98.9%	97.6%	92.7%	85.8%	75.4%	52.3%	27.1%	22.2%	21.0%	20.0%	19.3%	18.2%	17.3%	17.2%
Effective Sample Size	38518	35192	31910	28424	24991	21599	18227	14640	9018	3678	2486	1995	1560	1203	835	315	155

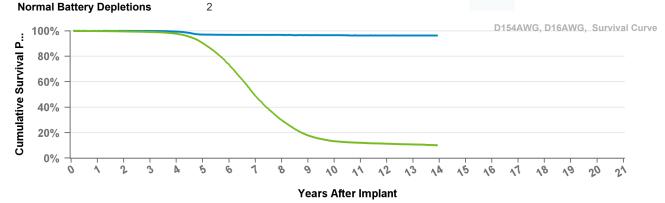
#### Virtuoso DR **D164AWG**

**US Market Release Total Malfunctions CE Approval Date** 07Mar2006 Therapy Function Not Compromised **Registered USA Implants** 3

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	10	11	12	13	at 167 mo
Excluding NBD	100.0%	100.0%	99.9%	99.4%	97.1%	96.9%	96.8%	96.8%	96.7%	96.6%	96.5%	96.4%	96.3%	96.3%
Including NBD	99.8%	99.6%	99.1%	97.8%	90.3%	73.4%	49.0%	29.8%	17.9%	13.4%	12.1%	11.4%	10.8%	10.2%
Effective Sample Size	63553	58489	53186	47916	40407	29758	17338	8869	4434	2797	2301	1918	1355	168

## D164VWC Virtuoso VR

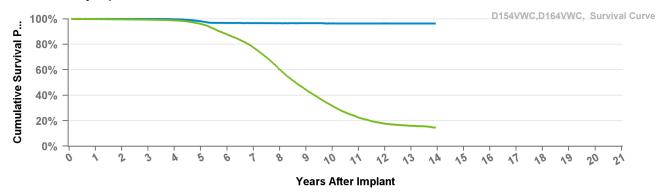
US Market Release Total Malfunctions

CE Approval Date 07Mar2006 Therapy Function Not Compromised

Registered USA Implants 1

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 

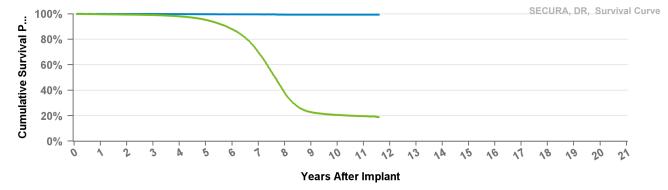


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	13	at 167 mo
Excluding NBD	100.0%	100.0%	99.9%	99.7%	98.1%	96.8%	96.7%	96.6%	96.5%	96.5%	96.4%	96.4%	96.4%	96.4%
Including NBD	99.8%	99.6%	99.4%	98.8%	96.0%	87.7%	77.4%	60.2%	44.2%	31.7%	22.5%	17.7%	16.0%	14.6%
Effective Sample Size	28534	26119	23723	21525	19155	16189	13272	9309	6078	3918	2437	1562	988	173

## D204DRM Secura DR

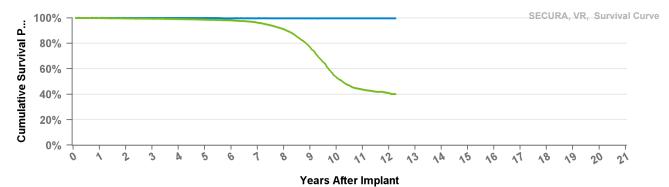
US Market Release	09Jan2012	Total Malfunctions	5
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	1,850	Other Malfunction	1
Estimated Active USA Implants	320	Therapy Function Compromised	4
Normal Battery Depletions	316	Battery Malfunction	2
		Electrical Component	2



Years	1	2	3	4	5	6	7	8	9	10	11	at 139 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.4%	99.4%	99.4%	99.4%	99.4%
Including NBD	99.7%	99.4%	99.1%	98.0%	95.3%	87.9%	69.9%	38.2%	22.8%	20.6%	19.7%	18.9%
Effective Sample Size	44535	41180	38101	34980	31054	25082	16311	6680	3045	2112	1272	252

## D204VRM Secura VR

US Market Release	02May2012	Total Malfunctions	3
CE Approval Date		Therapy Function Not Compromised	1
Registered USA Implants	1,152	Electrical Component	1
Estimated Active USA Implants	384	Therapy Function Compromised	2
Normal Battery Depletions	50	Battery Malfunction	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 147 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.3%	99.0%	98.6%	98.0%	96.2%	91.1%	77.0%	53.3%	43.7%	41.0%	40.3%
Effective Sample Size	17637	16329	15176	14071	12958	11841	10609	8560	5341	2340	1250	308	100

## D214DRM Secura DR

US Market Release

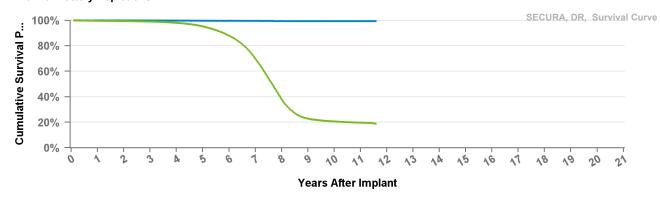
CE Approval Date 22Jul2010 Therapy Function Not Compromised

Registered USA Implants

**Estimated Active USA Implants** 

Normal Battery Depletions

Therapy Function Compromised



Years	1	2	3	4	5	6	7	8	9	10	11	at 139 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.4%	99.4%	99.4%	99.4%	99.4%
Including NBD	99.7%	99.4%	99.1%	98.0%	95.3%	87.9%	69.9%	38.2%	22.8%	20.6%	19.7%	18.9%
Effective Sample Size	44535	41180	38101	34980	31054	25082	16311	6680	3045	2112	1272	252

## **D214VRM**

## Secura VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

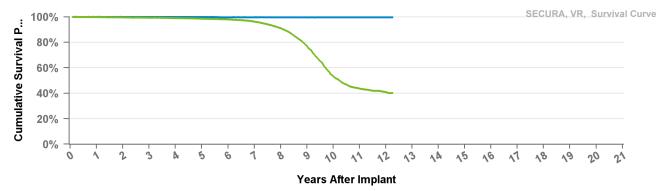
17Dec2010 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

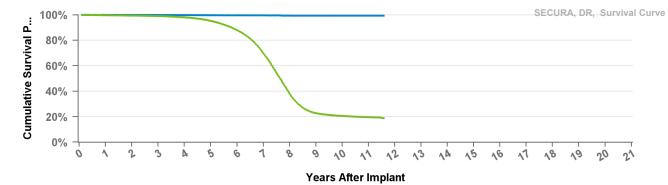
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	10	11	12	at 147 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.3%	99.0%	98.6%	98.0%	96.2%	91.1%	77.0%	53.3%	43.7%	41.0%	40.3%
Effective Sample Size	17637	16329	15176	14071	12958	11841	10609	8560	5341	2340	1250	308	100

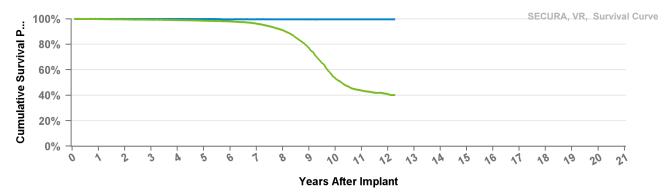
# D224DRG Secura DR

US Market Release	15Sep2008	Total Malfunctions	152
CE Approval Date		Therapy Function Not Compromised	115
Registered USA Implants	49,639	Battery Malfunction	14
Estimated Active USA Implants	5,441	Electrical Component	38
Normal Battery Depletions	10,278	Other Malfunction	4
		Poss Early Battery Depltn	50
		Software Malfunction	9
		Therapy Function Compromised	37
		Battery Malfunction	21
		Electrical Component	13
		Other Malfunction	1
		Poss Early Battery Depltn	1
		Software Malfunction	1



Years	1	2	3	4	5	6	7	8	9	10	11	at 139 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.4%	99.4%	99.4%	99.4%	99.4%
Including NBD	99.7%	99.4%	99.1%	98.0%	95.3%	87.9%	69.9%	38.2%	22.8%	20.6%	19.7%	18.9%
Effective	44535	41180	38101	34980	31054	25082	16311	6680	3045	2112	1272	252

#### D224VRC Secura VR **US Market Release** 15Sep2008 Total Malfunctions 52 **CE Approval Date Therapy Function Not Compromised** 35 **Registered USA Implants** 19,672 **Battery Malfunction** 14 **Estimated Active USA Implants** 3,108 **Electrical Component** 10 **Normal Battery Depletions** 2,086 Other Malfunction 1 Poss Early Battery Depltn 8 Software Malfunction 2 **Therapy Function Compromised** 17 **Battery Malfunction** 9 **Electrical Component** 6 Poss Early Battery Depltn 1 Software Malfunction 1



Years	1	2	3	4	5	6	7	8	9	10	11	12	at 147 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.3%	99.0%	98.6%	98.0%	96.2%	91.1%	77.0%	53.3%	43.7%	41.0%	40.3%
Effective Sample Size	17637	16329	15176	14071	12958	11841	10609	8560	5341	2340	1250	308	100

### **D234DRG**

## Secura DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

14Mar2008 Therapy Function Not Compromised

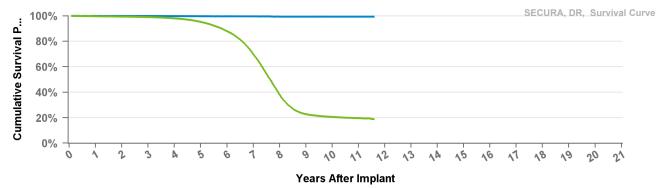
**Registered USA Implants** 

2 1

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 139 mo
Excluding NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.4%	99.4%	99.4%	99.4%	99.4%
Including NBD	99.7%	99.4%	99.1%	98.0%	95.3%	87.9%	69.9%	38.2%	22.8%	20.6%	19.7%	18.9%
Effective Sample Size	44535	41180	38101	34980	31054	25082	16311	6680	3045	2112	1272	252

## **D234VRC**

### Secura VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

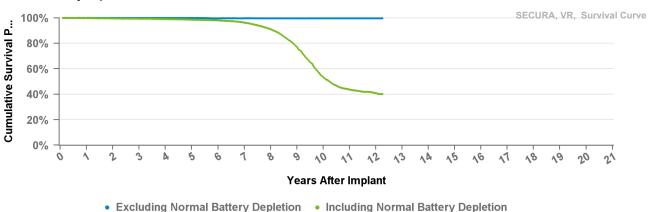
14Mar2008 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	10	11	12	at 147 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.3%	99.0%	98.6%	98.0%	96.2%	91.1%	77.0%	53.3%	43.7%	41.0%	40.3%
Effective Sample Size	17637	16329	15176	14071	12958	11841	10609	8560	5341	2340	1250	308	100

### **D264DRM**

## Maximo II DR

**US Market Release** 

09Jan2012 Total Malfunctions

**CE Approval Date** 

22Jul2010 Therapy Function Not Compromised

**Registered USA Implants** 

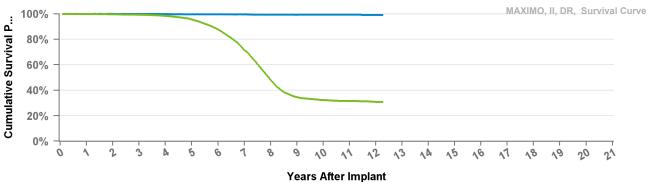
6

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 147 mo
Excluding NBD	100.0%	100.0%	99.9%	99.8%	99.7%	99.7%	99.5%	99.3%	99.3%	99.3%	99.3%	99.2%	99.2%
Including NBD	99.9%	99.6%	99.3%	98.4%	95.6%	87.6%	71.6%	48.2%	34.6%	32.4%	31.6%	30.8%	30.8%
Effective	17235	15933	14782	13615	12095	9578	5979	2798	1638	1238	824	291	146

## D264VRM

### Maximo II VR

**US Market Release** 

02May2012 Total Malfunctions

**CE Approval Date** 

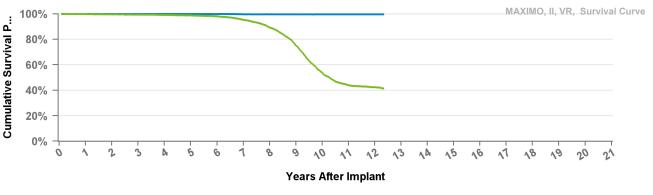
17Dec2010 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

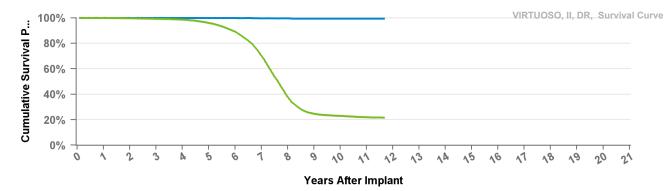
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	10	11	12	at 148 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.4%	99.2%	98.8%	98.1%	95.3%	89.2%	75.2%	53.7%	44.0%	42.5%	41.4%
Effective Sample Size	10874	10126	9423	8721	8029	7334	6487	5246	3277	1547	823	311	125

# D274DRG Virtuoso II DR

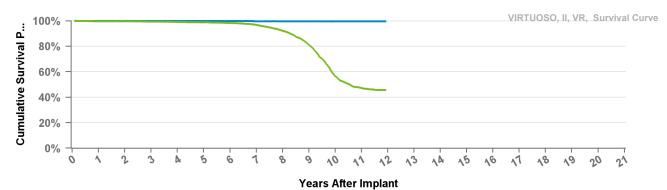
US Market Release	15Aug2009	Total Malfunctions	47
CE Approval Date		Therapy Function Not Compromised	29
Registered USA Implants	22,251	Battery Malfunction	10
Estimated Active USA Implants	2,583	Electrical Component	11
Normal Battery Depletions	4,309	Poss Early Battery Depltn	7
		Software Malfunction	1
		Therapy Function Compromised	18
		Battery Malfunction	15
		Electrical Component	2
		Other Malfunction	1



Years	1	2	3	4	5	6	7	8	9	10	11	at 140 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.5%	99.5%	99.5%	99.5%	99.5%
Including NBD	99.9%	99.7%	99.2%	98.6%	96.0%	89.2%	70.4%	38.0%	24.7%	23.1%	22.0%	21.6%
Effective Sample Size	19000	17629	16324	14965	13156	10489	6728	2919	1528	1286	850	163

## D274VRC Virtuoso II VR

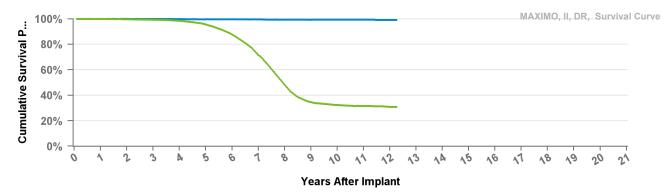
US Market Release	15Aug2009	Total Malfunctions	21
CE Approval Date		Therapy Function Not Compromised	13
Registered USA Implants	9,131	Battery Malfunction	6
Estimated Active USA Implants	1,391	Electrical Component	4
Normal Battery Depletions	871	Poss Early Battery Depltn	2
		Software Malfunction	1
		Therapy Function Compromised	8
		Battery Malfunction	7
		Electrical Component	1



Years	1	2	3	4	5	6	7	8	9	10	11	at 143 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%	99.5%	99.5%	99.5%
Including NBD	99.7%	99.7%	99.4%	99.2%	98.8%	98.3%	96.8%	92.1%	81.0%	56.7%	47.3%	45.6%
Effective Sample Size	7680	7162	6655	6138	5665	5131	4569	3749	2500	1291	732	145

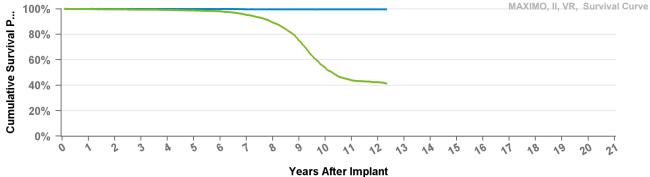
# D284DRG Maximo II DR

US Market Release	17Sep2008	Total Malfunctions	71				
CE Approval Date	14Mar2008	Therapy Function Not Compromised	54				
Registered USA Implants	19,953	Battery Malfunction	7				
Estimated Active USA Implants	2,392	Electrical Component	15				
Normal Battery Depletions	3,621	Other Malfunction	2				
		Poss Early Battery Depltn	30				
	Therapy Function Compromised						
	Battery Malfunction						
	Electrical Component						
		Poss Early Battery Depltn	1				



Years	1	2	3	4	5	6	7	8	9	10	11	12	mo
Excluding NBD	100.0%	100.0%	99.9%	99.8%	99.7%	99.7%	99.5%	99.3%	99.3%	99.3%	99.3%	99.2%	99.2%
Including NBD	99.9%	99.6%	99.3%	98.4%	95.6%	87.6%	71.6%	48.2%	34.6%	32.4%	31.6%	30.8%	30.8%
Effective Sample Size	17235	15933	14782	13615	12095	9578	5979	2798	1638	1238	824	291	146

#### **D284VRC** Maximo II VR **US Market Release** 32 17Sep2008 Total Malfunctions 14Mar2008 Therapy Function Not Compromised 23 **CE Approval Date Registered USA Implants** 12,861 **Battery Malfunction** 10 **Estimated Active USA Implants** 2,239 **Electrical Component** 6 **Normal Battery Depletions** 1,553 Poss Early Battery Depltn 4 Software Malfunction 3 **Therapy Function Compromised** 9 **Battery Malfunction** 6 2 **Electrical Component** Software Malfunction 1 100% 80%



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 148 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.7%	99.6%	99.6%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.6%	99.4%	99.2%	98.8%	98.1%	95.3%	89.2%	75.2%	53.7%	44.0%	42.5%	41.4%
Effective Sample Size	10874	10126	9423	8721	8029	7334	6487	5246	3277	1547	823	311	125

### D294DRG Virtuoso II DR

US Market Release

20Aug2

CE Approval Date

20Aug2008 Therapy Function Not Compromised

**Total Malfunctions** 

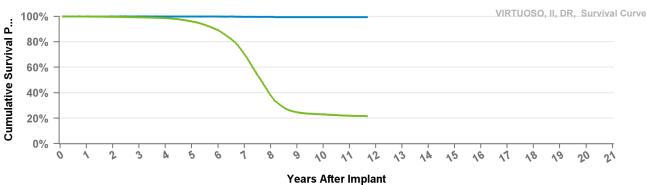
**Registered USA Implants** 

1

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	10	11	at 140 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.5%	99.5%	99.5%	99.5%	99.5%
Including NBD	99.9%	99.7%	99.2%	98.6%	96.0%	89.2%	70.4%	38.0%	24.7%	23.1%	22.0%	21.6%
Effective Sample Size	19000	17629	16324	14965	13156	10489	6728	2919	1528	1286	850	163

## D294VRC Virtuoso II VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

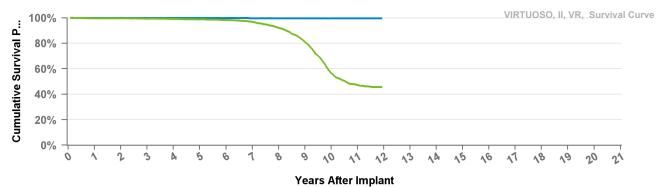
20Aug2008 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

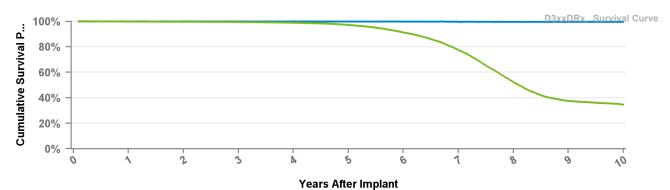


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 143 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.7%	99.7%	99.7%	99.5%	99.5%	99.5%
Including NBD	99.7%	99.7%	99.4%	99.2%	98.8%	98.3%	96.8%	92.1%	81.0%	56.7%	47.3%	45.6%
Effective	7680	7162	6655	6138	5665	5131	4569	3749	2500	1291	732	145

# D314DRG Protecta XT DR

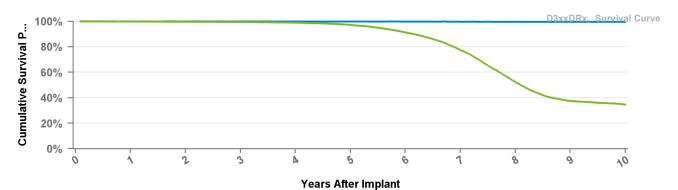
US Market Release	25Mar2011	Total Malfunctions	77
CE Approval Date		Therapy Function Not Compromised	40
Registered USA Implants	34,745	Battery Malfunction	8
Estimated Active USA Implants	4,878	Electrical Component	26
Normal Battery Depletions	4,485	Electrical Interconnect	1
		Other Malfunction	1
		Poss Early Battery Depltn	4
		Therapy Function Compromised	37
		Battery Malfunction	30
		Electrical Component	7



Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

## D314DRM Protecta XT DR

US Market Release	09Nov2011	Total Malfunctions	25
CE Approval Date		Therapy Function Not Compromised	17
Registered USA Implants	13,914	Battery Malfunction	3
Estimated Active USA Implants	2,325	Electrical Component	12
Normal Battery Depletions	1,881	Other Malfunction	2
		Therapy Function Compromised	8
		Battery Malfunction	7
		Flectrical Component	1

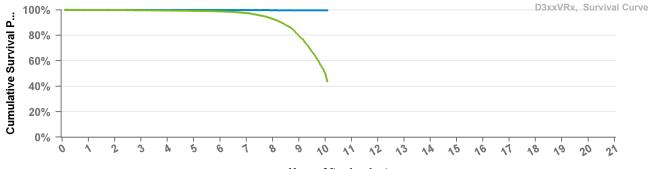


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

# D314VRG Protecta XT VR

US Market Release	25Mar2011	Total Malfunctions	31
CE Approval Date		Therapy Function Not Compromised	21
Registered USA Implants	14,092	Battery Malfunction	11
Estimated Active USA Implants	3,433	Electrical Component	9
Normal Battery Depletions	977	Other Malfunction	1
		Therapy Function Compromised	10
		Battery Malfunction	9
		Electrical Component	1

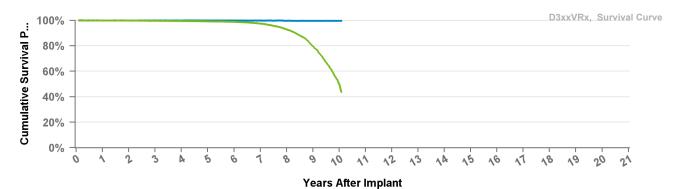


#### **Years After Implant**

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

# D314VRM Protecta XT VR

US Market Release	02May2012	Total Malfunctions	8
CE Approval Date		Therapy Function Not Compromised	4
Registered USA Implants	7,333	Battery Malfunction	1
<b>Estimated Active USA Implants</b>	2,370	Electrical Component	2
Normal Battery Depletions	407	Poss Early Battery Depltn	1
		Therapy Function Compromised	4
		Battery Malfunction	2
		Electrical Component	2

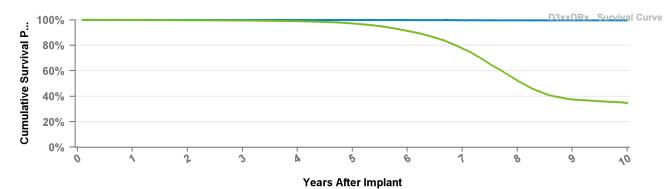


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective Sample Size	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

## D334DRG Protecta DR

US Market Release	25Mar2011	Total Malfunctions	20
CE Approval Date		Therapy Function Not Compromised	9
Registered USA Implants	10,704	Battery Malfunction	2
Estimated Active USA Implants	1,528	Electrical Component	6
Normal Battery Depletions	1,800	Poss Early Battery Depltn	1
		Therapy Function Compromised	11
		Battery Malfunction	8
		Flectrical Component	3

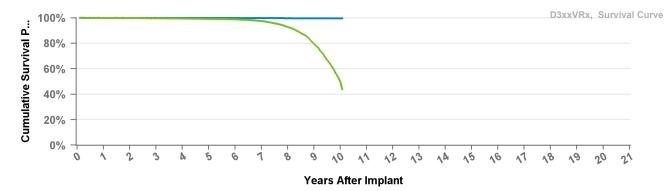


Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

#### **D334DRM** Protecta DR **US Market Release** 09Nov2011 Total Malfunctions 1 **Therapy Function Not Compromised** 0 **CE Approval Date Registered USA Implants** 2,997 **Therapy Function Compromised** 1 **Estimated Active USA Implants** 530 **Battery Malfunction** 1 **Normal Battery Depletions** 563 D3xxDRx, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 3 6 10 **Years After Implant** Including Normal Battery Depletion Excluding Normal Battery Depletion at 120 2 Years 3 5 6 8 9 mo 99.6% **Excluding NBD** 100.0% 99.9% 99.9% 99.9% 99.9% 99.8% 99.7% 99.6% 99.6%

#### Including NBD 99.7% 52.3% 37.5% 34.5% Effective 54190 50306 46256 42264 37898 30955 20177 8817 4095 224 Sample Size

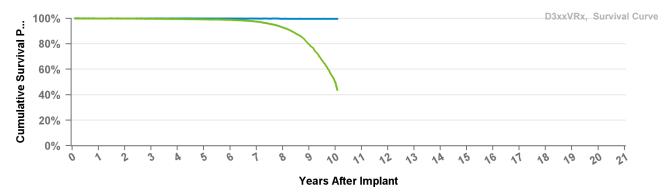
D334VKG	Protecta vi	<b>~</b>		
US Market Release		25Mar2011	Total Malfunctions	12
<b>CE Approval Date</b>			Therapy Function Not Compromised	6
Registered USA Imp	lants	6,488	Battery Malfunction	2
Estimated Active US	A Implants	1,818	Electrical Component	4
Normal Battery Depl	etions	509	Therapy Function Compromised	6
			Battery Malfunction	4
			Electrical Component	2



Years	1	2	3	4	5	6	7	8	9	10	mo mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective Sample Size	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

#### **D334VRM** Protecta VR

US Market Release	02May2012	Total Malfunctions	4
CE Approval Date		Therapy Function Not Compromised	2
Registered USA Implants	2,167	Battery Malfunction	1
Estimated Active USA Implants	752	Other Malfunction	1
Normal Battery Depletions	147	Therapy Function Compromised	2
		Battery Malfunction	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective Sample Size	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

### **D354DRG**

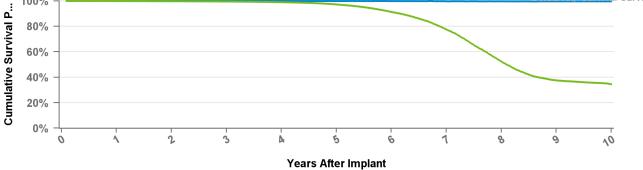
**Normal Battery Depletions** 

## Protecta XT DR

**US Market Release Total Malfunctions CE Approval Date** 25Mar2010 Therapy Function Not Compromised **Registered USA Implants** 1 **Therapy Function Compromised Estimated Active USA Implants** 

1

D3xxDRx, Survival Curve 100% 80%



Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

## D354DRM Protecta XT DR

**US Market Release** 

Total Malfunctions

**CE Approval Date** 

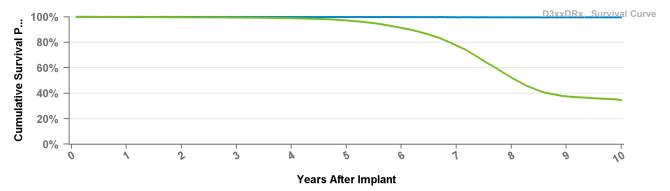
Registered USA Implants

**Estimated Active USA Implants** 

**Therapy Function Not Compromised** 

**Therapy Function Compromised** 

Normal Battery Depletions



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

15Jul2010

### **D354VRG**

### Protecta XT VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

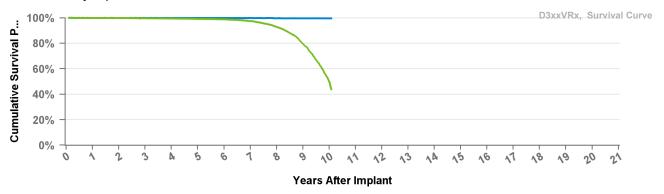
25Mar2010 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective Sample Size	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

## D354VRM Protecta XT VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

17Dec2010 Therapy Function Not Compromised

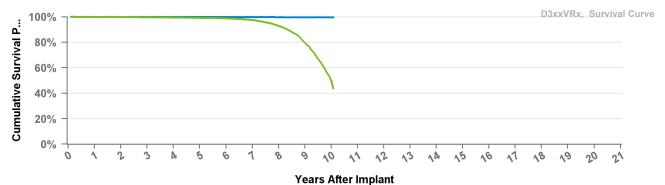
**Registered USA Implants** 

1

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

### **D364DRG**

### Protecta DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

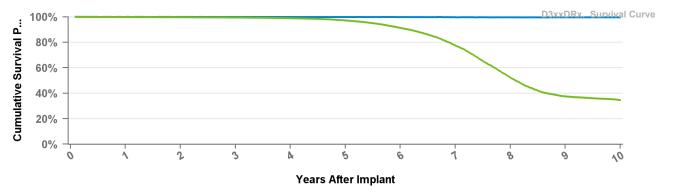
25Mar2010 Therapy Function Not Compromised

Registered USA Implants

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

### **D364DRM**

## Protecta DR

**US Market Release** 

CE Approval Date

15Jul2010

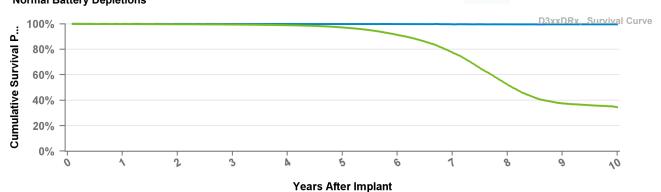
**Total Malfunctions** 

**Therapy Function Not Compromised** 

Registered USA Implants
Estimated Active USA Implants

Normal Battery Depletions

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

## **D364VRG**

### Protecta VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

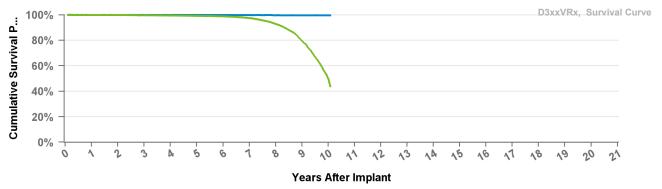
25Mar2010 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective Sample Size	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

### D364VRM

## Protecta VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

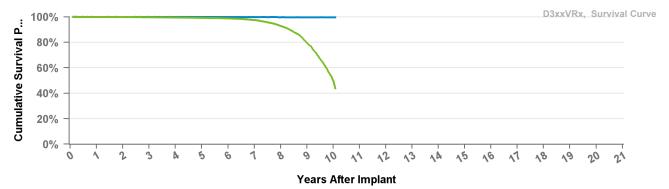
17Dec2010 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

### **D384DRG**

### Cardia DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

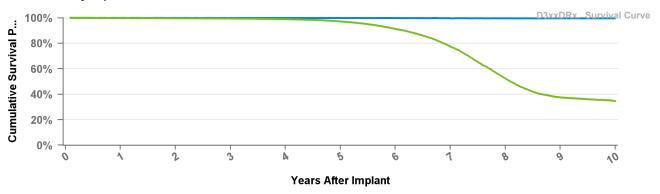
12Jan2011 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

### **D384VRG**

# Cardia VR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

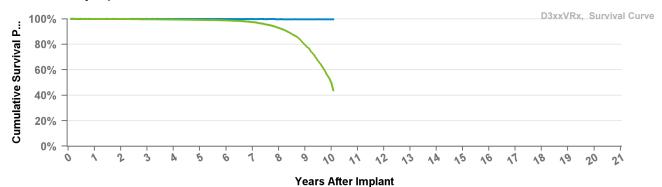
12Jan2011 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

### **D394DRG**

# Egida DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

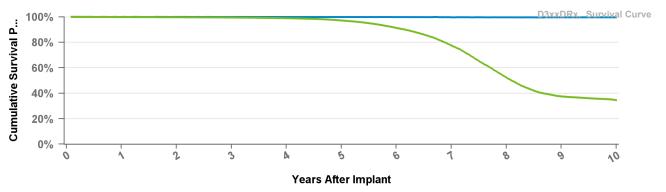
12Jan2011 Therapy Function Not Compromised

**Registered USA Implants** 

Estimated Active USA Implants

**Therapy Function Compromised** 

Normal Battery Depletions



Years	1	2	3	4	5	6	7	8	9	at 120 mo
Excluding NBD	100.0%	99.9%	99.9%	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Including NBD	99.8%	99.7%	99.5%	99.0%	97.2%	91.3%	77.5%	52.3%	37.5%	34.5%
Effective Sample Size	54190	50306	46256	42264	37898	30955	20177	8817	4095	224

## D394VRG Egida VR

US Market Release

Total Malfunctions

**CE Approval Date** 

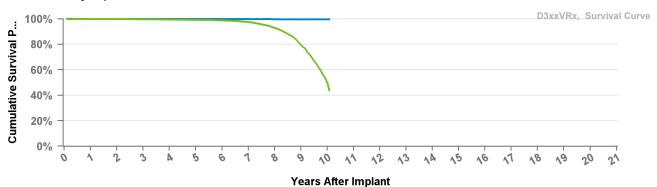
12Jan2011 Therapy Function Not Compromised

Registered USA Implants

**Estimated Active USA Implants** 

Therapy Function Compromised

**Normal Battery Depletions** 

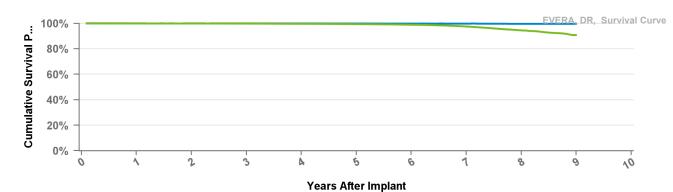


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 121 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.7%	99.5%	99.2%	98.8%	97.5%	92.8%	79.7%	50.1%	43.7%
Effective Sample Size	25789	23970	22229	20562	19006	17426	15599	12527	6970	623	351

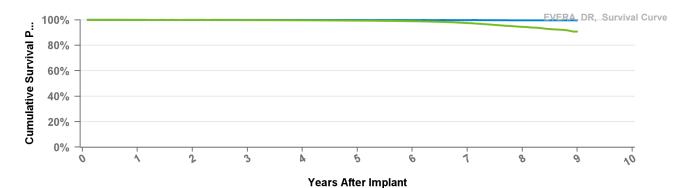
## DDBB1D1 Evera XT

**US Market Release** 03Apr2013 Total Malfunctions 142 **Therapy Function Not Compromised CE Approval Date** 78 **Registered USA Implants** 82,050 **Battery Malfunction** 50 **Estimated Active USA Implants** 46,997 **Electrical Component** 24 **Normal Battery Depletions** 988 Other Malfunction 4 **Therapy Function Compromised** 64 **Battery Malfunction** 56 **Electrical Component** 3 2 **Electrical Interconnect** Other Malfunction 3



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

#### DDBB1D4 **Evera XT US Market Release** 03Apr2013 Total Malfunctions 126 **Therapy Function Not Compromised** 76 **CE Approval Date Registered USA Implants** 59,381 **Battery Malfunction** 56 **Estimated Active USA Implants** 35,035 **Electrical Component** 14 **Normal Battery Depletions** 674 **Electrical Interconnect** 2 Other Malfunction 2 Poss Early Battery Depltn 2 **Therapy Function Compromised** 50



**Battery Malfunction** 

**Electrical Component** 

42

8

• Excluding Normal Battery Depletion • Including Normal Battery Depletion

**Total Malfunctions** 

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

# DDBB2D1 Evera XT

**US Market Release** 

CE Approval Date

17Dec2012 Therapy Function Not Compromised

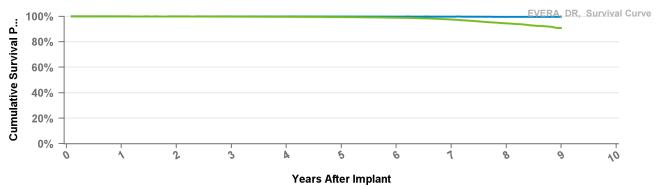
2

**Registered USA Implants** 

**Estimated Active USA Implants** 

Normal Battery Depletions

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

## DDBB2D4 Evera XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

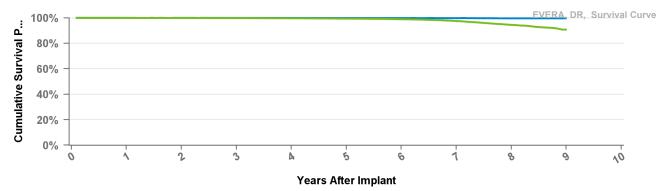
17Dec2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

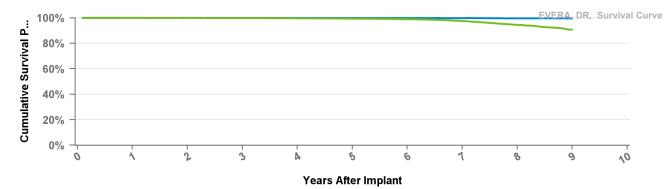


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective	197897	171084	138453	106095	76189	49440	28267	11880	120

# DDBC3D1 Evera S

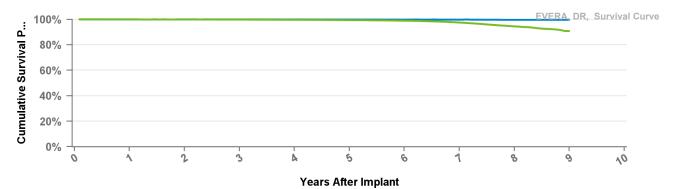
US Market Release	03Apr2013	Total Malfunctions	32
CE Approval Date	17Dec2012	Therapy Function Not Compromised	18
Registered USA Implants	15,930	Battery Malfunction	12
Estimated Active USA Implants	9,137	Electrical Component	6
Normal Battery Depletions	235	Therapy Function Compromised	14
		Battery Malfunction	10
		Electrical Component	4



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

# DDBC3D4 Evera S

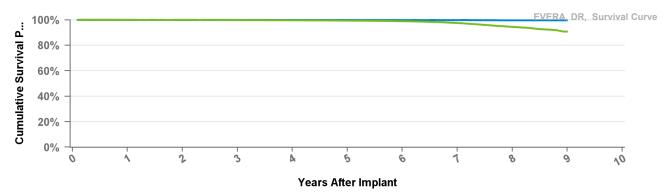
US Market Release	03Apr2013	Total Malfunctions	24
CE Approval Date	17Dec2013	Therapy Function Not Compromised	10
Registered USA Implants	11,789	Battery Malfunction	6
Estimated Active USA Implants	7,105	Electrical Component	4
Normal Battery Depletions	121	Therapy Function Compromised	14
		Battery Malfunction	10
		Electrical Component	2
		Poss Early Battery Depltn	2



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

# DDMB1D1 Evera MRI XT

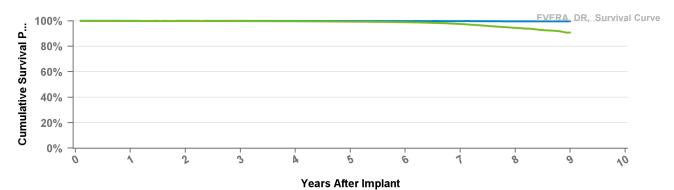
US Market Release	12Oct2016	Total Malfunctions	31
CE Approval Date		Therapy Function Not Compromised	24
Registered USA Implants	42,715	Battery Malfunction	14
Estimated Active USA Implants	34,422	Electrical Component	6
Normal Battery Depletions	43	Electrical Interconnect	2
		Other Malfunction	2
		Therapy Function Compromised	7
		Battery Malfunction	4
		Electrical Component	3



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective	197897	171084	138453	106095	76189	49440	28267	11880	120

# DDMB1D4 Evera MRI XT

US Market Release	11Sep2015	Total Malfunctions	104
CE Approval Date		Therapy Function Not Compromised	62
Registered USA Implants	125,749	Battery Malfunction	28
Estimated Active USA Implants	101,330	Electrical Component	28
Normal Battery Depletions	128	Electrical Interconnect	3
		Other Malfunction	3
		Therapy Function Compromised	42
		Battery Malfunction	36
		Electrical Component	6



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

# DDMB2D1 Evera MRI XT

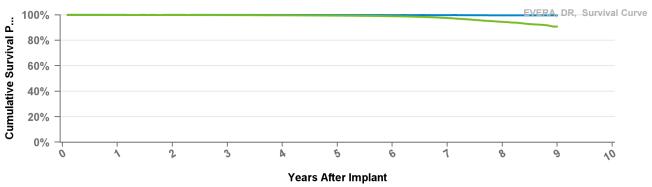
US Market Release Total Malfunctions

CE Approval Date 05Sep2016 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

## DDMB2D4 Evera MRI XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

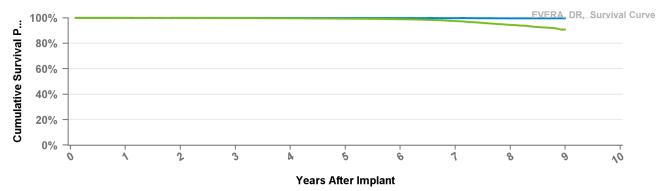
31Mar2014 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

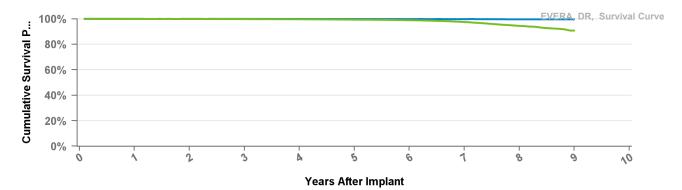


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

## DDMC3D1 Evera MRI S

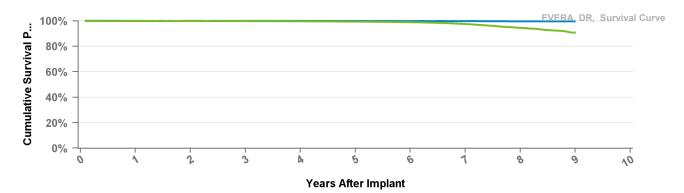
US Market Release	12Oct2016	Total Malfunctions	3
CE Approval Date	05Sep2016	Therapy Function Not Compromised	3
Registered USA Implants	3,876	Battery Malfunction	2
Estimated Active USA Implants	3,125	Electrical Component	1
Normal Battery Depletions	3	Therapy Function Compromised	0



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

## DDMC3D4 Evera MRI

US Market Release	11Sep2015	Total Malfunctions	7
CE Approval Date	31Mar2014	Therapy Function Not Compromised	4
Registered USA Implants	8,598	Battery Malfunction	2
Estimated Active USA Implants	6,926	Electrical Component	2
Normal Battery Depletions	8	Therapy Function Compromised	3
		Battery Malfunction	2
		Electrical Component	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

### DDMD3D1 Primo

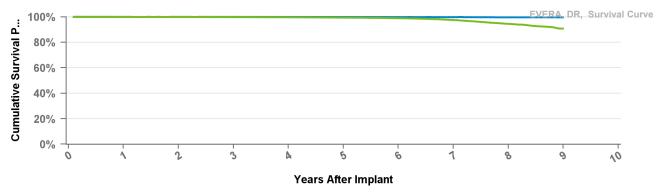
US Market Release 01Mar2018 Total Malfunctions

CE Approval Date 10Nov2017 Therapy Function Not Compromised

Registered USA Implants 328

Estimated Active USA Implants 302 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

### DDMD3D4

#### Primo

US Market Release

01Mar2018 Total Malfunctions

**CE Approval Date** 

10Nov2017 Therapy Function Not Compromised

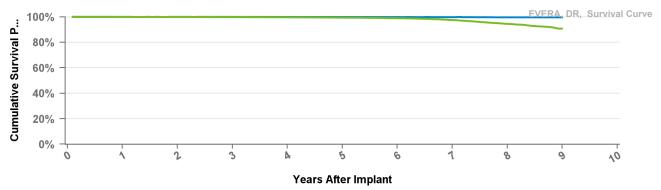
**Registered USA Implants** 

871

Estimated Active USA Implants

809 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

### DDME3D1

#### Mirro

US Market Release

01Mar2018 Total Malfunctions

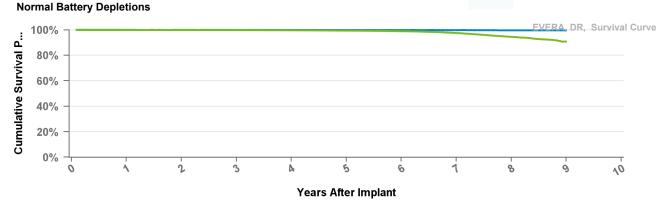
CE Approval Date

10Nov2017 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

### DDME3D4

### Mirro

**US Market Release** 

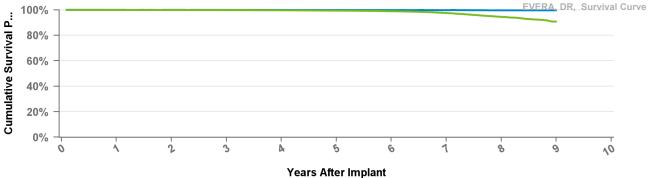
01Mar2018 Total Malfunctions

**CE Approval Date** 

**Registered USA Implants Estimated Active USA Implants**  10Nov2017 Therapy Function Not Compromised

**Therapy Function Compromised** 





• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 108 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.9%	99.8%	99.8%	99.7%	99.7%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.4%	98.9%	97.5%	94.5%	90.8%
Effective Sample Size	197897	171084	138453	106095	76189	49440	28267	11880	120

### DDPA2D1

### Cobalt XT

**US Market Release CE Approval Date** 

23Apr2020 Total Malfunctions

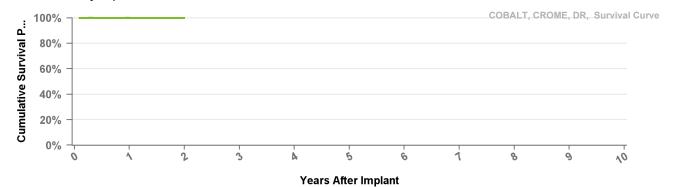
18Dec2019 Therapy Function Not Compromised

**Registered USA Implants** 

846

**Estimated Active USA Implants Normal Battery Depletions** 

**Therapy Function Compromised** 826



Years	1	at 24 mo
Excluding NBD	100.0%	100.0%
Including NBD	99.9%	99.9%
Effective Sample Size	7516	183

## DDPA2D4 Cobalt XT

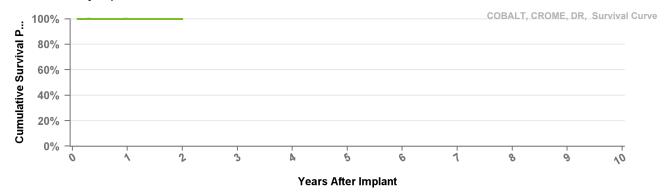
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 6,559

Estimated Active USA Implants 6,370 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	at 24 mo
Excluding NBD	100.0%	100.0%
Including NBD	99.9%	99.9%
Effective Sample Size	7516	183

#### DDPB3D1

#### Cobalt

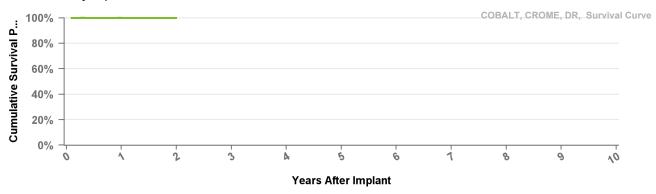
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 1,447

Estimated Active USA Implants 1,398 Therapy Function Compromised

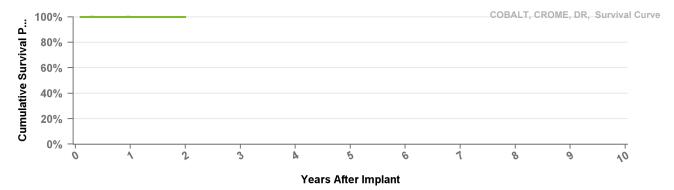
**Normal Battery Depletions** 



Years	1	at 24 mo
Excluding NBD	100.0%	100.0%
Including NBD	99.9%	99.9%
Effective Sample Size	7516	183

### DDPB3D4 Cobalt

US Market Release	23Apr2020	Total Malfunctions	2
CE Approval Date	18Dec2019	Therapy Function Not Compromised	1
Registered USA Implants	7,943	Other Malfunction	1
Estimated Active USA Implants	7,632	Therapy Function Compromised	1
Normal Battery Depletions	1	Electrical Component	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

	at 24
1	mo
100.0%	100.0%
99.9%	99.9%
7516	183
	100.0%

## DDPC3D1 Crome

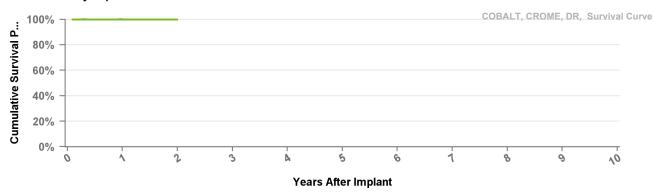
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 98

Estimated Active USA Implants 94 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 24 mo
Excluding NBD	100.0%	100.0%
Including NBD	99.9%	99.9%
Effective Sample Size	7516	183

### DDPC3D4

### Crome

**US Market Release** 

23Apr2020 Total Malfunctions

**CE Approval Date** 

18Dec2019 Therapy Function Not Compromised

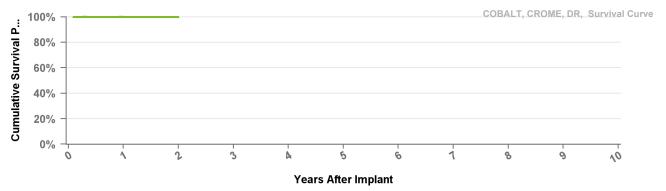
**Registered USA Implants** 

476

**Estimated Active USA Implants** 

**Therapy Function Compromised** 453

**Normal Battery Depletions** 



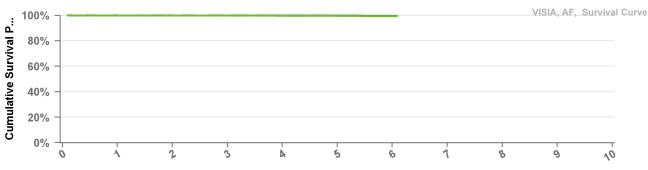
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	at 24 mo
Excluding NBD	100.0%	100.0%
Including NBD	99.9%	99.9%
Effective Sample Size	7516	183

#### **DVAB1D1**

### Visia AF

US Market Release	19Jan2016	Total Malfunctions	6
CE Approval Date		Therapy Function Not Compromised	4
Registered USA Implants	5,056	Battery Malfunction	4
Estimated Active USA Implants	3,617	Therapy Function Compromised	2
Normal Battery Depletions	11	Battery Malfunction	2



#### **Years After Implant**

Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

#### **DVAB1D4** Visia AF **US Market Release** 2 19Jan2016 **Total Malfunctions Therapy Function Not Compromised** 0 **CE Approval Date Registered USA Implants** 3,440 2 **Therapy Function Compromised Estimated Active USA Implants** 2,516 **Battery Malfunction** 2 **Normal Battery Depletions** VISIA, AF, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 5 1 3 6 0, **Years After Implant** Excluding Normal Battery Depletion • Including Normal Battery Depletion at 73

Years 2 3 4 5 6 mo **Excluding NBD** 100.0% 100.0% 100.0% 99.9% 99.8% 99.8% 99.8% Including NBD 99.9% 99.9% 99.8% 99.6% 99.5% 99.5% Effective 64731 52702 38168 23964 10838 415 104 Sample Size

# DVAB2D1 Visia AF XT

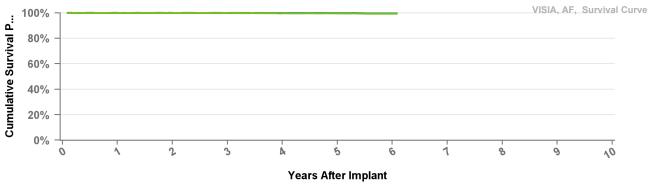
US Market Release Total Malfunctions

CE Approval Date 19Oct2015 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

### DVAC3D1

## Visia AF S

**US Market Release CE Approval Date** 

19Jan2016 Total Malfunctions

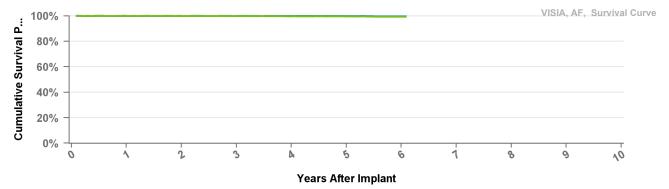
19Oct2015 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

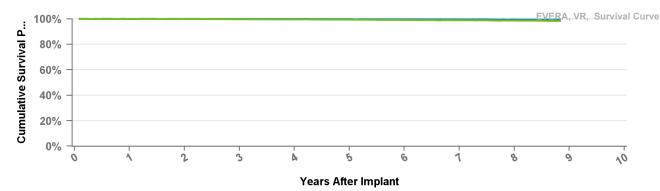


 Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

#### DVBB1D1 Evera XT

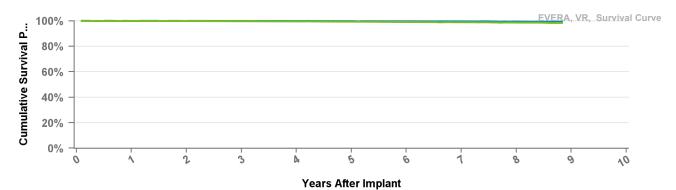
**US Market Release** 03Apr2013 Total Malfunctions 115 **CE Approval Date Therapy Function Not Compromised** 85 **Registered USA Implants** 32,227 **Battery Malfunction** 68 **Estimated Active USA Implants** 18,997 **Electrical Component** 17 **Normal Battery Depletions** 52 **Therapy Function Compromised** 30 **Battery Malfunction** 26 **Electrical Component** 4



Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

## DVBB1D4 Evera XT

US Market Release	03Apr2013	Total Malfunctions	133
CE Approval Date		Therapy Function Not Compromised	87
Registered USA Implants	43,927	Battery Malfunction	60
Estimated Active USA Implants	27,831	Electrical Component	16
Normal Battery Depletions	78	Other Malfunction	9
		Poss Early Battery Depltn	2
		Therapy Function Compromised	46
		Battery Malfunction	44
		Flectrical Component	2



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

## DVBB2D1 Evera XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

Sample Size

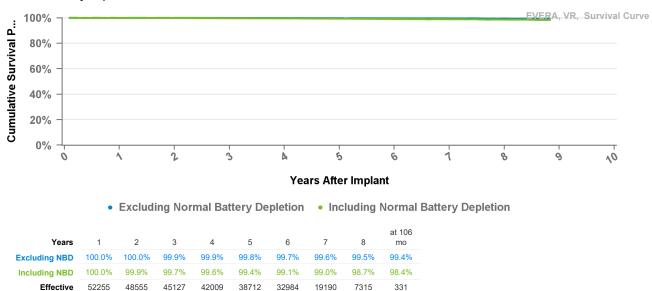
17Dec2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



## DVBB2D4 Evera XT

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

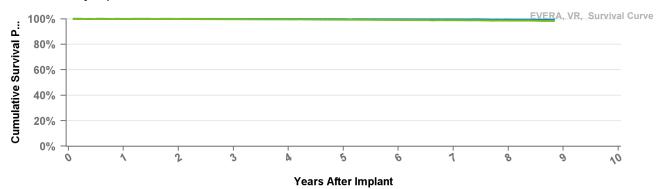
17Dec2012 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 

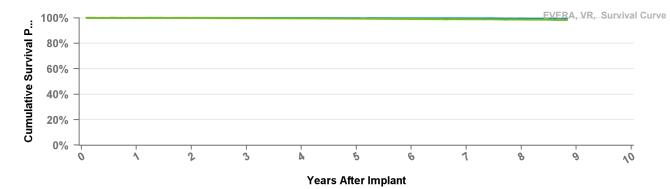


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

## DVBC3D1 Evera S

**US Market Release** 03Apr2013 Total Malfunctions 50 **CE Approval Date** 17Dec2012 Therapy Function Not Compromised 34 **Registered USA Implants** 8,961 **Battery Malfunction** 30 **Estimated Active USA Implants** 5,472 **Electrical Component** 4 **Normal Battery Depletions** 14 **Therapy Function Compromised** 16 **Battery Malfunction** 14 **Electrical Component** 2



Years	1	2	3	4	5	6	7	8	mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

#### DVBC3D4 Evera S **US Market Release** 28 03Apr2013 Total Malfunctions 17Dec2012 Therapy Function Not Compromised 18 **CE Approval Date Registered USA Implants** 11,081 **Battery Malfunction** 12 **Estimated Active USA Implants** 7,235 **Electrical Component** 6 **Normal Battery Depletions** 14 **Therapy Function Compromised** 10 **Battery Malfunction** 10 EVERA, VR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 3 5 1 2 6 0 8 9 10 Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 106 Years 1 2 3 5 6 8 mo 100.0% 100.0% 99.9% 99.8% 99.6% 99.4% **Excluding NBD** 99.9% 99.7% 99.5% Including NBD 100.0% 99.9% 99.7% 99.6% 99.4% 99.1% 99.0% 98.7% 98.4% Effective 52255 48555 45127 42009 38712 32984 19190 7315 331 Sample Size **DVFB1D1** Visia MRI AF **US Market Release Total Malfunctions** 18 12Oct2016 **CE Approval Date Therapy Function Not Compromised** 15 **Registered USA Implants Battery Malfunction** 19,727 12 **Estimated Active USA Implants** 16,602 **Electrical Component** 1 **Normal Battery Depletions** 10 Other Malfunction 2 **Therapy Function Compromised** 3 **Battery Malfunction** 2 **Electrical Component** 1 VISIA, AF, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 1 3 6 0, **Years After Implant** • Including Normal Battery Depletion Excluding Normal Battery Depletion at 73

3

100.0%

99.9%

38168

4

99.9%

99.8%

23964

5

99.8%

99.6%

10838

6

99.8%

99.5%

415

mo

99.8%

99.5%

104

2

100.0%

99.9%

52702

100.0%

100.0%

64731

Years

**Excluding NBD** 

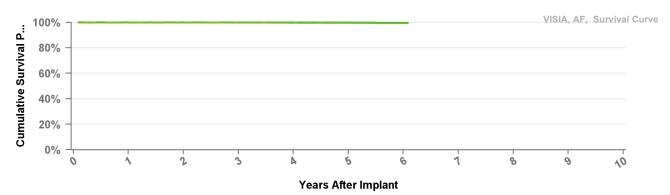
Including NBD

Sample Size

Effective

## DVFB1D4 Visia MRI AF

US Market Release	19Jan2016	Total Malfunctions	77
CE Approval Date		Therapy Function Not Compromised	57
Registered USA Implants	64,333	Battery Malfunction	42
Estimated Active USA Implants	52,868	Electrical Component	12
Normal Battery Depletions	15	Other Malfunction	3
		Therapy Function Compromised	20
		Battery Malfunction	16
		Electrical Component	4



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

# DVFB2D1 Visia MRI AF XT

US Market Release Total Malfunctions

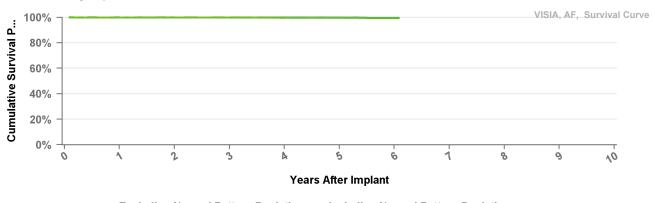
CE Approval Date 05Sep2016 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

## DVFB2D4 Visia MRI AF XT

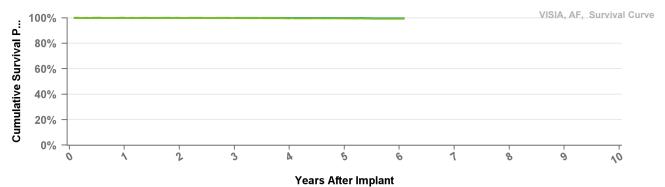
US Market Release Total Malfunctions

CE Approval Date 19Oct2015 Therapy Function Not Compromised

Registered USA Implants 1

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

## DVFC3D1 Visia MRI AF S

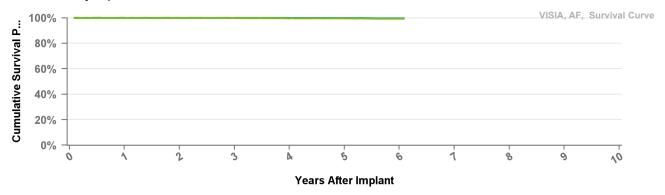
US Market Release 12Oct2016 Total Malfunctions

CE Approval Date 05Sep2016 Therapy Function Not Compromised

Registered USA Implants 1,624

Estimated Active USA Implants 1,430 Therapy Function Compromised

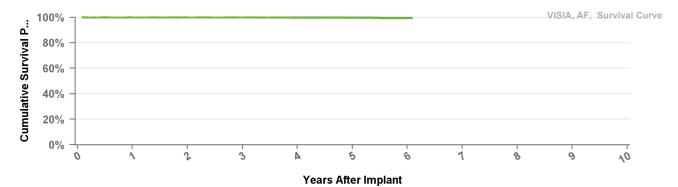
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

# DVFC3D4 Visia MRI AF S

US Market Release	19Jan2016	Total Malfunctions	2
CE Approval Date	19Oct2015	Therapy Function Not Compromised	2
Registered USA Implants	3,599	Battery Malfunction	2
Estimated Active USA Implants	3,109	Therapy Function Compromised	0
Normal Battery Depletions	4		

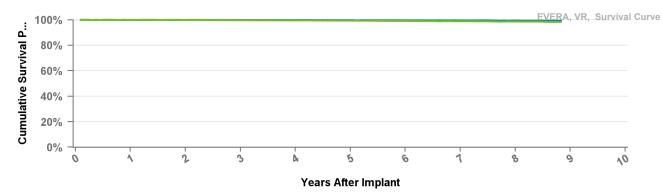


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 73 mo
Excluding NBD	100.0%	100.0%	100.0%	99.9%	99.8%	99.8%	99.8%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.6%	99.5%	99.5%
Effective Sample Size	64731	52702	38168	23964	10838	415	104

## DVMB1D4 Evera MRI XT

US Market Release	11Sep2015	Total Malfunctions	53
CE Approval Date		Therapy Function Not Compromised	27
Registered USA Implants	20,545	Battery Malfunction	18
Estimated Active USA Implants	14,543	Electrical Component	6
Normal Battery Depletions	14	Other Malfunction	3
		Therapy Function Compromised	26
		Battery Malfunction	26



Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

### DVMB2D1

## **Evera MRI XT**

**US Market Release** 

Total Malfunctions

**CE Approval Date** 

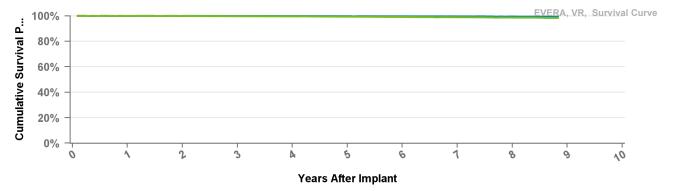
05Sep2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

### DVMB2D4

## **Evera MRI XT**

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

31Mar2014 Therapy Function Not Compromised

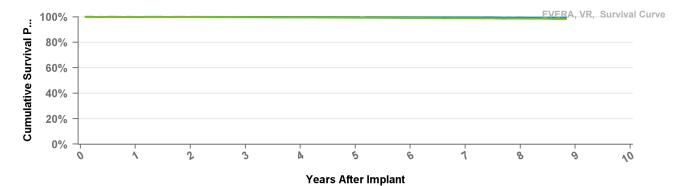
**Registered USA Implants** 

**Normal Battery Depletions** 

2

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

### DVMC3D1

## Evera MRI S

**US Market Release** 

12Oct2016 Total Malfunctions

**CE Approval Date** 

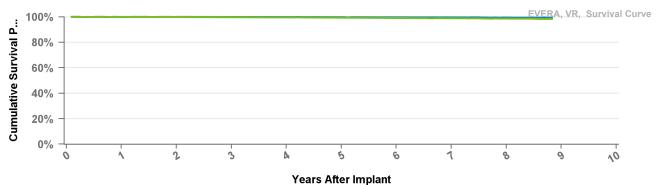
05Sep2016 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective	52255	48555	45127	42009	38712	32984	19190	7315	331

## DVMC3D4

### Evera MRI S

**US Market Release** 

11Sep2015 Total Malfunctions

**CE Approval Date** 

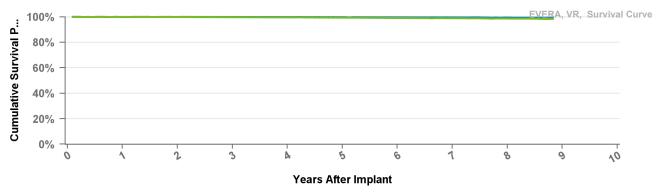
31Mar2014 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

### DVMD3D1

#### Primo

US Market Release

01Mar2018 Total Malfunctions

**CE Approval Date** 

10Nov2017 Therapy Function Not Compromised

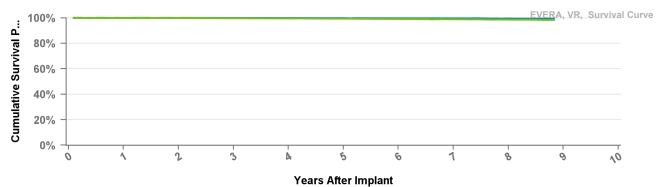
**Registered USA Implants** 

223

Estimated Active USA Implants

207 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective	52255	48555	45127	42009	38712	32984	19190	7315	331

### DVMD3D4

#### **Primo**

US Market Release

01Mar2018 Total Malfunctions

**CE Approval Date** 

10Nov2017 Therapy Function Not Compromised

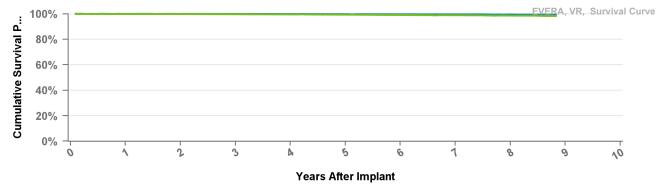
**Registered USA Implants** 

401

**Estimated Active USA Implants** 

377 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

### DVME3D1

### Mirro

US Market Release

01Mar2018 Total Malfunctions

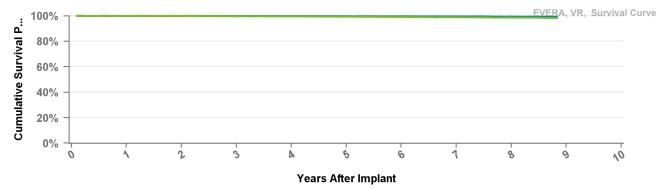
CE Approval Date

10Nov2017 Therapy Function Not Compromised

Registered USA Implants
Estimated Active USA Implants

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

## **DVME3D4**

#### Mirro

US Market Release

01Mar2018 Total Malfunctions

CE Approval Date

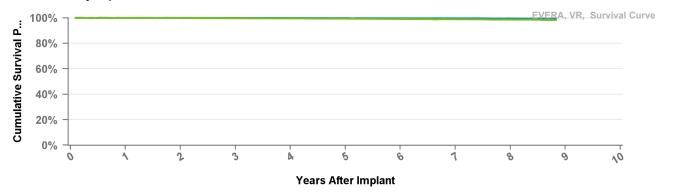
10Nov2017 Therapy Function Not Compromised

**Registered USA Implants** 

Registered USA IIIIpiants

**Therapy Function Compromised** 

Estimated Active USA Implants Normal Battery Depletions



Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.8%	99.7%	99.6%	99.5%	99.4%
Including NBD	100.0%	99.9%	99.7%	99.6%	99.4%	99.1%	99.0%	98.7%	98.4%
Effective Sample Size	52255	48555	45127	42009	38712	32984	19190	7315	331

## DVPA2D1 Cobalt XT

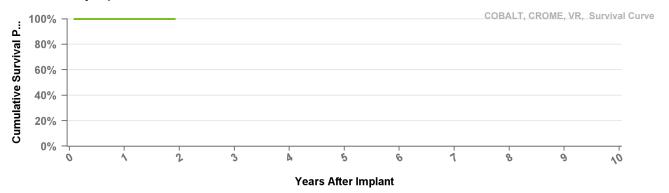
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 770

Estimated Active USA Implants 760 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	at 23 mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective Sample Size	4032	199

#### DVPA2D4

### Cobalt XT

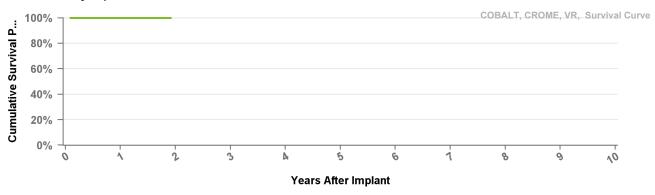
US Market Release 23Apr2020 Total Malfunctions

CE Approval Date 18Dec2019 Therapy Function Not Compromised

Registered USA Implants 2,976

Estimated Active USA Implants 2,899 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 23 mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective Sample Size	4032	199

#### DVPB3D1

#### Cobalt

US Market Release

23Apr2020 Total Malfunctions

**CE Approval Date** 

18Dec2019 Therapy Function Not Compromised

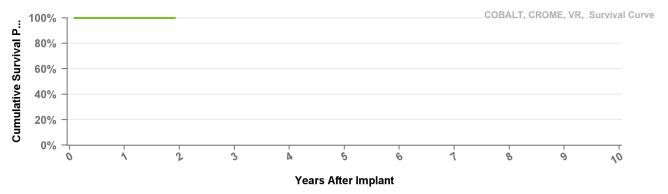
**Registered USA Implants** 

1,358

**Estimated Active USA Implants** 

1.314 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

		at 25
Years	1	mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective Sample Size	4032	199

#### DVPB3D4

#### Cobalt

US Market Release

23Apr2020 Total Malfunctions

**CE Approval Date** 

18Dec2019 Therapy Function Not Compromised

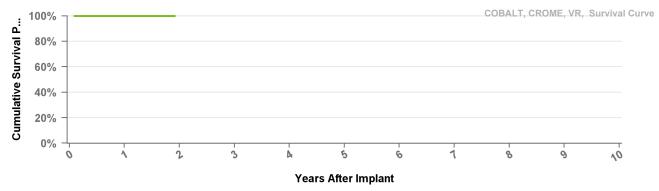
Registered USA Implants

3,671

**Estimated Active USA Implants** 

3,543 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 23 mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective Sample Size	4032	199

#### DVPC3D1

#### Crome

US Market Release

23Apr2020 Total Malfunctions

**CE Approval Date** 

18Dec2019 Therapy Function Not Compromised

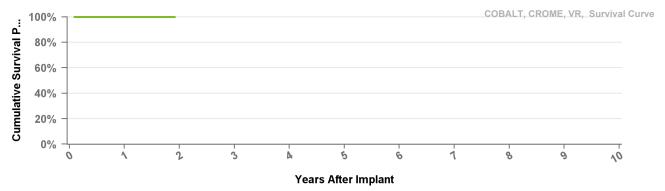
**Registered USA Implants** 

106

Estimated Active USA Implants

103 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

		at 23
Years	1	mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective Sample Size	4032	199

#### DVPC3D4

## Crome

**US Market Release** 

23Apr2020 Total Malfunctions

**CE Approval Date** 

18Dec2019 Therapy Function Not Compromised

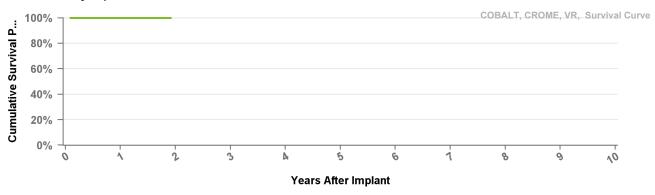
Registered USA Implants

283

**Estimated Active USA Implants** 

274 Therapy Function Compromised

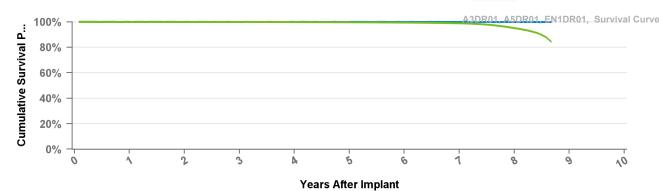
**Normal Battery Depletions** 



Years	1	at 23 mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective Sample Size	4032	199

# A2DR01 Advisa DR MRI

US Market Release	15Jan2013	Total Malfunctions	69
CE Approval Date		Therapy Function Not Compromised	64
Registered USA Implants	344,379	Battery Malfunction	1
Estimated Active USA Implants	241,476	Electrical Component	34
Normal Battery Depletions	1,617	Electrical Interconnect	3
		Other Malfunction	3
		Poss Early Battery Depltn	19
		Software Malfunction	4
		Therapy Function Compromised	5
		Flectrical Component	5

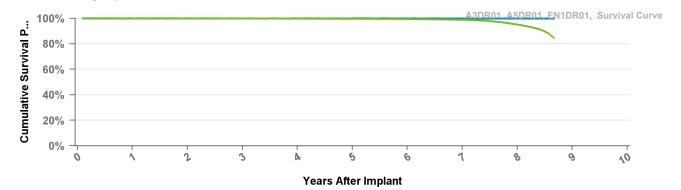


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 104 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.5%	98.9%	95.1%	84.3%
Effective	309077	290445	271659	250756	192561	119760	60179	16490	1132

## A3DR01 Advisa DR MRI

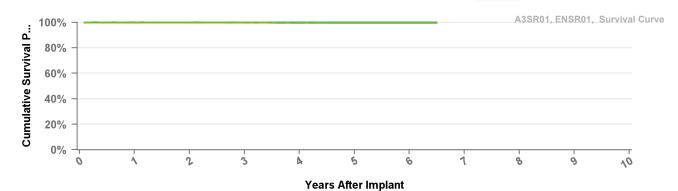
US Market Release Total Malfunctions
CE Approval Date 02Jun2009 Therapy Function Not Compromised
Registered USA Implants 12
Estimated Active USA Implants 3 Therapy Function Compromised
Normal Battery Depletions 1



Years	1	2	3	4	5	6	7	8	at 104 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.5%	98.9%	95.1%	84.3%
Effective Sample Size	309077	290445	271659	250756	192561	119760	60179	16490	1132

## A3SR01 Advisa SR MRI

US Market Release	19Mar2015	Total Malfunctions	9
CE Approval Date	24Apr2014	Therapy Function Not Compromised	8
Registered USA Implants	28,080	Electrical Component	3
Estimated Active USA Implants	16,571	Electrical Interconnect	1
Normal Battery Depletions	30	Other Malfunction	2
		Poss Early Battery Depltn	2
		Therapy Function Compromised	1
		Electrical Component	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	at 78 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Effective Sample Size	22118	19409	17140	14793	9347	2846	236

### A5DR01

# Advisa DR

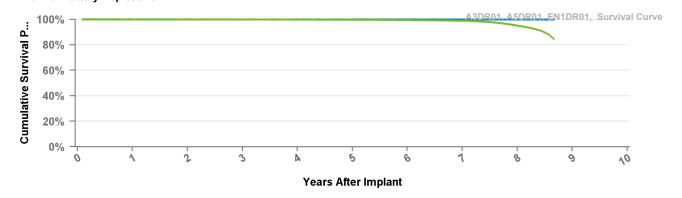
US Market Release Total Malfunctions
CE Approval Date 02Jun2009 Therapy Function I

02Jun2009 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants Normal Battery Depletions

Therapy Function Compromised



Years	1	2	3	4	5	6	7	8	at 104 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.5%	98.9%	95.1%	84.3%
Effective Sample Size	309077	290445	271659	250756	192561	119760	60179	16490	1132

## ADD01 Adapta D

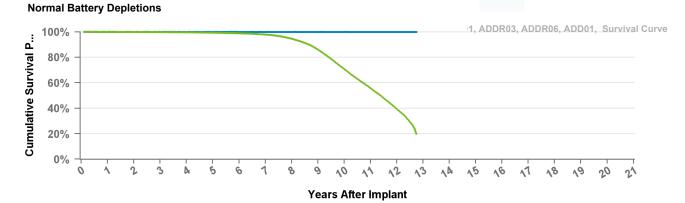
US Market Release CE Approval Date 17Jul2006 Total Malfunctions

20Sep2005 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants

**Therapy Function Compromised** 

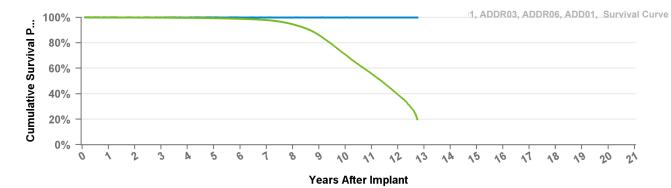


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 153 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.8%	99.6%	99.4%	98.9%	97.9%	94.6%	86.0%	70.7%	55.7%	39.3%	19.7%
Effective	393170	365040	338056	311266	283127	252543	220267	182432	132831	81356	41960	13759	879

## ADDR01 Adapta DR

US Market Release	17Jul2006	Total Malfunctions	94
CE Approval Date	20Sep2005	Therapy Function Not Compromised	66
Registered USA Implants	454,836	Electrical Component	58
Estimated Active USA Implants	145,232	Electrical Interconnect	1
Normal Battery Depletions	39,498	Other Malfunction	1
		Poss Early Battery Depltn	6
		Therapy Function Compromised	28
		Electrical Component	23
		Electrical Interconnect	3
		Other Malfunction	2



Years	1	2	3	4	5	6	7	8	9	10	11	12	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.8%	99.6%	99.4%	98.9%	97.9%	94.6%	86.0%	70.7%	55.7%	39.3%	19.7%
Effective	393170	365040	338056	311266	283127	252543	220267	182432	132831	81356	41960	13759	879

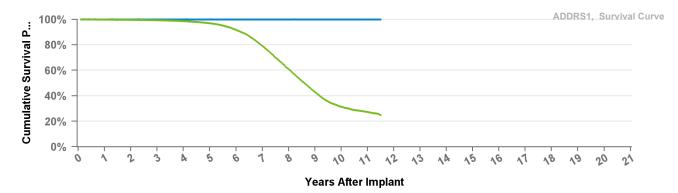
#### ADDR03 Adapta DR **US Market Release** 2 17Jul2006 **Total Malfunctions** 1 **CE Approval Date** 20Sep2005 Therapy Function Not Compromised **Registered USA Implants** 4,479 **Electrical Component Estimated Active USA Implants** 1,437 **Therapy Function Compromised** 1 **Normal Battery Depletions** 504 **Electrical Component** 1 1, ADDR03, ADDR06, ADD01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0 3 Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 153 2 9 10 Years 11 12 mo 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% **Excluding NBD** Including NBD 99.9% 99.9% 99.8% 99.6% 99.4% 98.9% 97.9% 94.6% 86.0% 55.7% 39.3% 19.7% Effective 393170 365040 338056 311266 283127 252543 220267 182432 132831 81356 41960 13759 879 Sample Size **ADDR06** Adapta DR **US Market Release** 17Jul2006 **Total Malfunctions** 1 **CE Approval Date** 20Sep2005 Therapy Function Not Compromised 1 3,537 **Registered USA Implants Electrical Component** 1 **Estimated Active USA Implants** 907 **Therapy Function Compromised** 0 **Normal Battery Depletions** 391 1, ADDR03, ADDR06, ADD01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% **Years After Implant** Excluding Normal Battery Depletion • Including Normal Battery Depletion at 153 2 6 9 10 12 Years 3 5 8 11 mo 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% **Excluding NBD Including NBD** 99.9% 99.8% 99.6% 99.4% 98.9% 97.9% 94.6% 86.0% 70.7% 55.7% 39.3% 19.7% Effective 365040 338056 283127 252543 220267 182432 132831 81356 41960 879

Sample Size

#### **ADDRL1** Adapta L DR **US Market Release** 17Jul2006 **Total Malfunctions** 24 **CE Approval Date** 20Sep2005 Therapy Function Not Compromised 17 **Registered USA Implants** 138,592 **Electrical Component** 13 **Estimated Active USA Implants** 73,214 **Electrical Interconnect** 1 **Normal Battery Depletions** 2,825 Poss Early Battery Depltn 2 Software Malfunction 1 7 **Therapy Function Compromised Electrical Component** 4 **Electrical Interconnect** 1 Other Malfunction 2 ADDRL1, SEDRL1, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 1 0 જ **Years After Implant** • Excluding Normal Battery Depletion Including Normal Battery Depletion at 153 2 3 5 6 8 9 10 12 Years 1 11 mo

# ADDRS1 Adapta S DR

US Market Release	17Jul2006	Total Malfunctions	15
CE Approval Date	20Sep2005	Therapy Function Not Compromised	9
Registered USA Implants	49,286	Electrical Component	5
Estimated Active USA Implants	11,335	Other Malfunction	1
Normal Battery Depletions	5,949	Poss Early Battery Depltn	3
		Therapy Function Compromised	6
		Electrical Component	4
		Other Malfunction	2

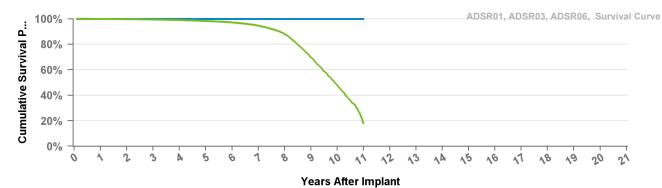


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	at 138 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.8%	99.6%	99.3%	98.6%	96.9%	91.7%	79.0%	60.8%	42.9%	31.4%	27.2%	24.6%
Effective Sample Size	40102	35966	31976	28307	24531	19879	14043	8272	3990	1709	567	114

# ADSR01 Adapta SR

US Market Release	17Jul2006	Total Malfunctions	18
CE Approval Date	20Sep2005	Therapy Function Not Compromised	12
Registered USA Implants	91,651	Electrical Component	7
Estimated Active USA Implants	21,943	Electrical Interconnect	1
Normal Battery Depletions	5,270	Poss Early Battery Depltn	4
		Therapy Function Compromised	6
		Electrical Component	5
		Electrical Interconnect	1



Years	1	2	3	4	5	6	7	8	9	10	at 132 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.7%	99.5%	99.0%	98.3%	97.2%	94.6%	88.1%	69.8%	48.0%	17.9%
Effective Sample Size	71995	62779	54687	47429	40594	34099	27377	18830	10266	3877	190

## ADSR03 Adapta SR

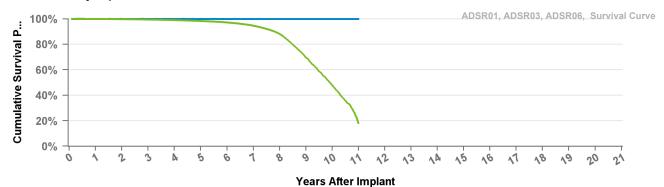
US Market Release 17Jul2006 Total Malfunctions

CE Approval Date 20Sep2005 Therapy Function Not Compromised

Registered USA Implants 2,097

Estimated Active USA Implants 479 Therapy Function Compromised

Normal Battery Depletions 174

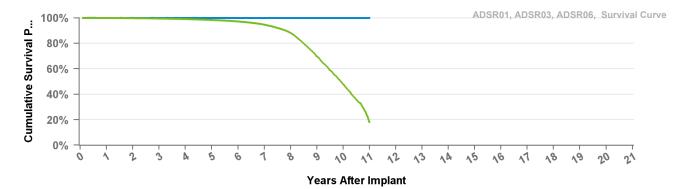


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 132 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.7%	99.5%	99.0%	98.3%	97.2%	94.6%	88.1%	69.8%	48.0%	17.9%
Effective	71995	62779	54687	47429	40594	34099	27377	18830	10266	3877	190

## ADSR06 Adapta SR

**US Market Release** 17Jul2006 **Total Malfunctions** 2 **CE Approval Date** 20Sep2005 Therapy Function Not Compromised 2 2 **Registered USA Implants** 2,861 **Electrical Component Estimated Active USA Implants** 657 0 **Therapy Function Compromised Normal Battery Depletions** 243



Years	1	2	3	4	5	6	7	8	9	10	at 132 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.7%	99.5%	99.0%	98.3%	97.2%	94.6%	88.1%	69.8%	48.0%	17.9%
Effective Sample Size	71995	62779	54687	47429	40594	34099	27377	18830	10266	3877	190

## ADVDD01 Adapta VDD

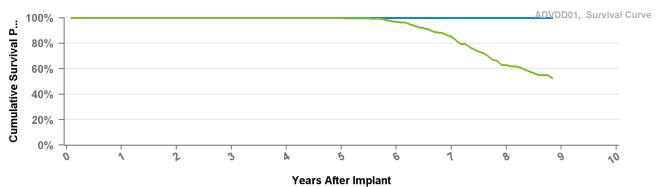
US Market Release 17Jul2006 Total Malfunctions

CE Approval Date 20Sep2005 Therapy Function Not Compromised

Registered USA Implants 847

Estimated Active USA Implants 227 Therapy Function Compromised

Normal Battery Depletions 95



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	100.0%	100.0%	100.0%	96.9%	85.3%	62.8%	52.5%
Effective Sample Size	693	627	566	517	456	390	298	158	105

## ATDR01 Attesta DR MRI

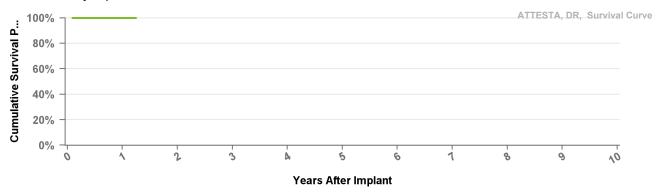
US Market Release 03Aug2017 Total Malfunctions

CE Approval Date 16Jun2017 Therapy Function Not Compromised

Registered USA Implants 888

Estimated Active USA Implants 876 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	at 15 mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective	216	111

Sample Size

## ATDRL1 Attesta L DR MRI

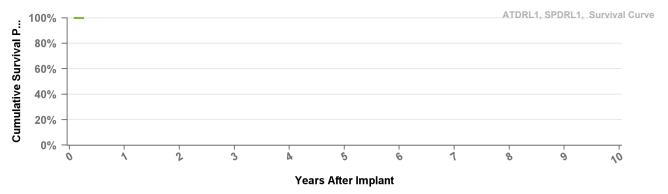
US Market Release 03Aug2017 Total Malfunctions

CE Approval Date 16Jun2017 Therapy Function Not Compromised

Registered USA Implants 123

Estimated Active USA Implants 121 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years at 3 mo

Excluding NBD 100.0%

Including NBD 100.0%

Effective Sample Size

## ATDRS1 Attesta S DR MRI

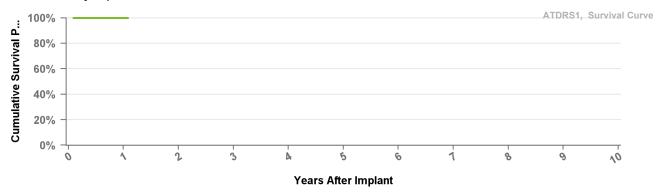
US Market Release 03Aug2017 Total Malfunctions

CE Approval Date 16Jun2017 Therapy Function Not Compromised

Registered USA Implants 527

Estimated Active USA Implants 499 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	at 13 mo
Excluding NBD	100.0%	100.0%
Including NBD	100.0%	100.0%
Effective Sample Size	142	114

## ATSR01 Attesta SR MRI

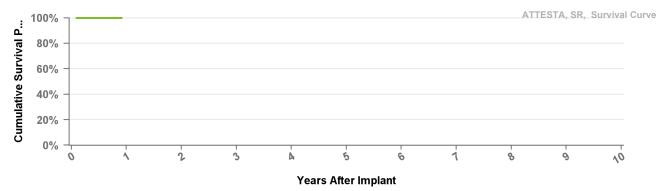
US Market Release 03Aug2017 Total Malfunctions

CE Approval Date 16Jun2017 Therapy Function Not Compromised

Registered USA Implants 397

Estimated Active USA Implants 338 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years at 11 mo

Excluding NBD 100.0%

Including NBD 100.0%

Effective Sample Size

#### EN1DR01

#### **Ensura MRI**

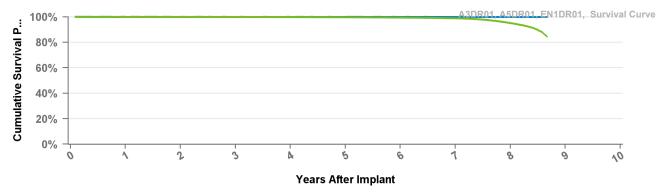
US Market Release Total Malfunctions

CE Approval Date 23Jun2010 Therapy Function Not Compromised

Registered USA Implants 3

Estimated Active USA Implants 2 Therapy Function Compromised

**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	at 104 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.5%	98.9%	95.1%	84.3%
Effective Sample Size	309077	290445	271659	250756	192561	119760	60179	16490	1132

## EN1SR01 Ensura SR MRI

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

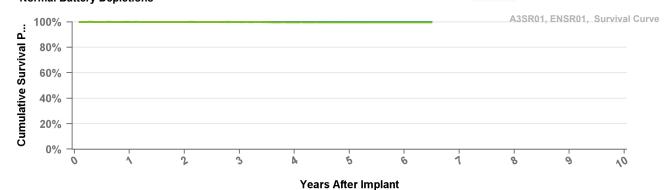
24Apr2014 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

Normal Battery Depletions

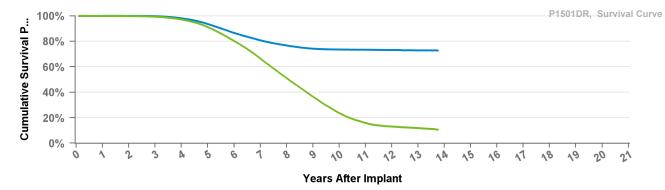
**Therapy Function Compromised** 



Years	1	2	3	4	5	6	at 78 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.8%	99.7%	99.6%	99.6%	99.6%
Effective Sample Size	22118	19409	17140	14793	9347	2846	236

# P1501DR EnRhythm DR

US Market Release	05May2005	<b>Total Malfunctions</b>	15,167
CE Approval Date	13Aug2004	Therapy Function Not Compromised	15,112
Registered USA Implants	109,982	Battery Malfunction	14,981
Estimated Active USA Implants	7,784	Electrical Component	59
Normal Battery Depletions	17,494	Electrical Interconnect	2
		Other Malfunction	1
		Poss Early Battery Depltn	69
		Therapy Function Compromised	55
		Battery Malfunction	6
		Electrical Component	38
		Electrical Interconnect	4
		Other Malfunction	5
		Poss Early Battery Depltn	2



Years	1	2	3	4	5	6	7	8	9	10	11	12	13	at 165 mo
Excluding NBD	100.0%	100.0%	99.7%	98.1%	93.6%	86.6%	80.8%	76.7%	74.2%	73.6%	73.4%	73.2%	72.9%	72.8%
Including NBD	99.9%	99.8%	99.3%	97.1%	91.1%	80.3%	66.4%	51.0%	36.4%	23.6%	15.9%	13.1%	11.9%	10.7%
Effective Sample Size	94974	88749	82394	74749	64537	51272	37775	25024	15133	8267	4524	2422	1026	173

#### RED01

## Relia D

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

07May2008 Therapy Function Not Compromised

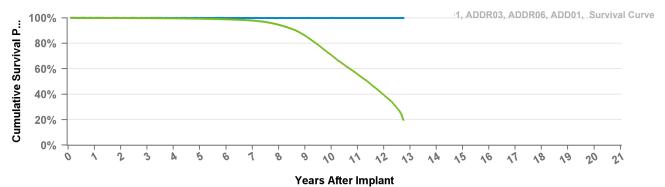
**Registered USA Implants** 

1

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 153 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.8%	99.6%	99.4%	98.9%	97.9%	94.6%	86.0%	70.7%	55.7%	39.3%	19.7%
Effective Sample Size	393170	365040	338056	311266	283127	252543	220267	182432	132831	81356	41960	13759	879

## REDR01

#### Relia DR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

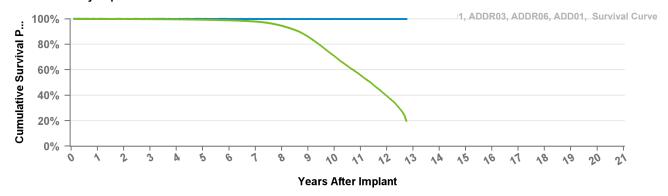
07May2008 Therapy Function Not Compromised

**Registered USA Implants** 

5 2

Estimated Active USA Implants
Normal Battery Depletions

Therapy Function Compromised



Years	1	2	3	4	5	6	7	8	9	10	11	12	at 153 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.9%	99.8%	99.6%	99.4%	98.9%	97.9%	94.6%	86.0%	70.7%	55.7%	39.3%	19.7%
Effective Sample Size	393170	365040	338056	311266	283127	252543	220267	182432	132831	81356	41960	13759	879

#### RES01

## Relia S

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

07May2008 Therapy Function Not Compromised

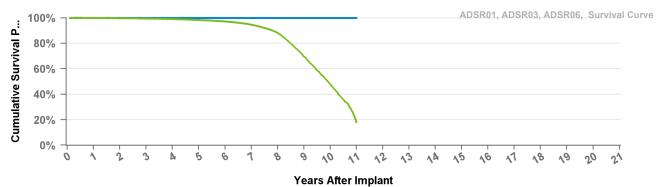
**Registered USA Implants** 

1

**Estimated Active USA Implants** 

Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	at 132 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.7%	99.5%	99.0%	98.3%	97.2%	94.6%	88.1%	69.8%	48.0%	17.9%
Effective Sample Size	71995	62779	54687	47429	40594	34099	27377	18830	10266	3877	190

## RESR01

#### Relia SR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

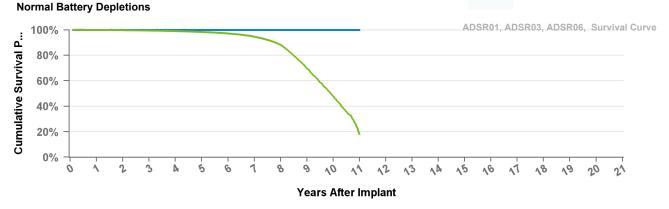
07May2008 Therapy Function Not Compromised

**Registered USA Implants** 

6

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years	1	2	3	4	5	6	7	8	9	10	at 132 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.7%	99.5%	99.0%	98.3%	97.2%	94.6%	88.1%	69.8%	48.0%	17.9%
Effective Sample Size	71995	62779	54687	47429	40594	34099	27377	18830	10266	3877	190

## REVDD01 Relia VDD

US Market Release

**Total Malfunctions** 

**CE Approval Date** 

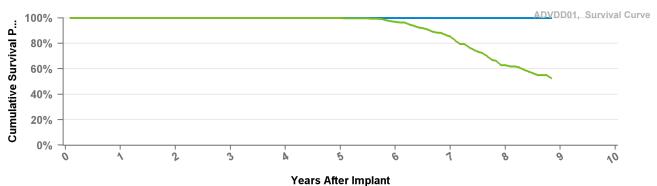
07May2008 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 

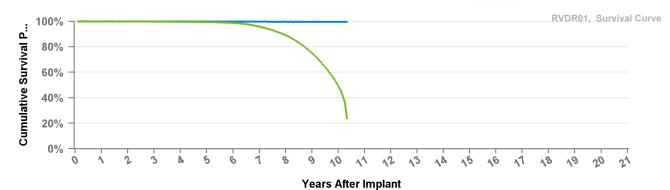


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	at 106 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	100.0%	100.0%	100.0%	96.9%	85.3%	62.8%	52.5%
Effective Sample Size	693	627	566	517	456	390	298	158	105

## RVDR01 Revo MRI SureScan

US Market Release	08Feb2011	Total Malfunctions	110
CE Approval Date		Therapy Function Not Compromised	107
Registered USA Implants	69,104	Battery Malfunction	1
Estimated Active USA Implants	23,432	Electrical Component	40
Normal Battery Depletions	7,741	Electrical Interconnect	1
		Other Malfunction	1
		Poss Early Battery Depltn	60
		Software Malfunction	4
		Therapy Function Compromised	3
		Flectrical Component	3



Years	1	2	3	4	5	6	7	8	9	10	at 124 mo
Excluding NBD	100.0%	100.0%	99.9%	99.9%	99.9%	99.8%	99.8%	99.8%	99.7%	99.7%	99.7%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.4%	98.8%	95.9%	89.1%	75.1%	49.5%	23.8%
Effective Sample Size	59291	56128	53105	49857	46143	42131	37214	30701	20935	4942	551

#### **SD303** Sigma 300 D **US Market Release** 2 26Aug1999 Total Malfunctions **CE Approval Date** 0 17Dec1998 Therapy Function Not Compromised **Registered USA Implants** 124 **Therapy Function Compromised** 2 **Estimated Active USA Implants** 18 2 **Electrical Interconnect Normal Battery Depletions** 7 SDR303, SDR306, SD303, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 197 Years 1 2 3 5 6 8 9 10 11 12 13 14 15 16 mo **Excluding NBD** 100.0% 100.0% 100.0% 100.0% 99.9% 99.9% 99.8% 99.6% 99.5% 99.5% 99.3% 99.3% 99.2% 99.1% 99.0% 99.0% 99.0% 99.8% 99.5% 99.2% 98.7% 92.6% 46.8% 33.8% 24.3% 13.1% Including NBD 97.8% 86.7% 76.8% 62.2% Effective 86434 77430 69096 61269 53961 47373 41031 35261 29924 24494 18932 12542 7090 3676 1821 125 562 Sample Size Sigma 300 DR **SDR303 US Market Release** 26Aug1999 Total Malfunctions 288 **CE Approval Date** 17Dec1998 Therapy Function Not Compromised 62 **Registered USA Implants** 105,692 **Electrical Component** 9 **Estimated Active USA Implants** 4,911 **Electrical Interconnect** 51 **Normal Battery Depletions** Other Malfunction 11,321 1 Poss Early Battery Depltn 1 **Therapy Function Compromised** 226 **Electrical Component** 7 **Electrical Interconnect** 218 Other Malfunction 1 SDR303, SDR306, SD303, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 3 1 જ 0, **43** 20 Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 197 Years 2 3 5 6 8 9 10 11 12 13 14 15 16 mo **Excluding NBD** 100.0% 100.0% 100.0% 100.0% 99.9% 99.6% 99.5% 99.5% 99.3% 99.2% 99.1% 99.0% 99.0% 99.9% 99.8% 99.3% 99.0% Including NBD 99.9% 99.8% 99.7% 99.5% 99.2% 98.7% 97.8% 95.8% 92.6% 86.7% 76.8% 62.2% 46.8% 33.8% 24.3% 16.4% 13.1%

69096

61269

53961

47373

41031

Effective

Sample Size

86434

77430

29924

24494

18932

12542

35261

3676

1821

562

125

7090

#### SED01 Sensia D **US Market Release** 17Jul2006 **Total Malfunctions CE Approval Date** 20Sep2005 Therapy Function Not Compromised **Registered USA Implants** 5 **Therapy Function Compromised Estimated Active USA Implants** 1 **Normal Battery Depletions** 1 SEDR01, SED01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0 0, Years After Implant • Excluding Normal Battery Depletion • Including Normal Battery Depletion at 166 Years 2 3 5 6 9 10 11 12 13 mo **Excluding NBD** 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 99.9% 99.9% 99.8% 99.6% 99.3% 98.6% 97.3% 92.9% 82.7% 55.7% 37.7% Including NBD 69.0% 44.6% 39.3% **Effective** 120577 109049 98397 88763 80030 71992 61993 49954 35611 22688 12914 6106 2298 158 Sample Size SEDR01 Sensia DR **US Market Release** 17Jul2006 **Total Malfunctions** 32 20Sep2005 Therapy Function Not Compromised **CE Approval Date** 17 **Registered USA Implants** 149,389 **Electrical Component** 15 **Estimated Active USA Implants** 34,779 **Electrical Interconnect** 1 **Normal Battery Depletions** 13,443 Other Malfunction 1 **Therapy Function Compromised** 15 6 **Electrical Component Electrical Interconnect** 3 5 Other Malfunction Poss Early Battery Depltn 1 SEDR01, SED01, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0 1 0 Years After Implant • Excluding Normal Battery Depletion Including Normal Battery Depletion at 166 2 3 5 6 9 13 Years 8 10 11 12 mo **Excluding NBD** 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 100.0% 99.9% 98.6% 97.3% 82.7% 39.3% 37.7% Including NBD 99.3% 55.7% Effective 109049 98397 88763 71992 61993 49954 35611 12914 120577 80030 22688 6106 2298 158 Sample Size

## SEDRL1 Sensia L DR

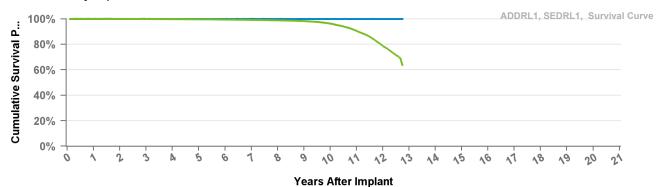
US Market Release 17Jul2006 Total Malfunctions

CE Approval Date 20Sep2005 Therapy Function Not Compromised

Registered USA Implants 3

Estimated Active USA Implants 1 Therapy Function Compromised

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 153 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.7%	99.5%	99.2%	98.9%	98.3%	96.3%	90.5%	78.6%	63.5%
Effective Sample Size	119877	112791	105961	98878	89713	78551	66335	53474	40048	27230	15541	5692	478

#### SES01

#### Sensia S

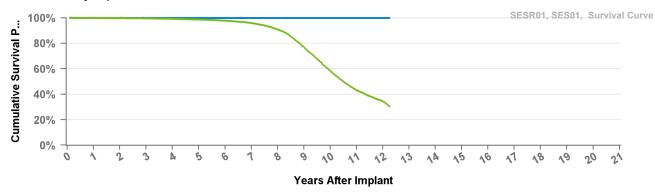
US Market Release 17Jul2006 Total Malfunctions

CE Approval Date 20Sep2005 Therapy Function Not Compromised

Registered USA Implants 4

Estimated Active USA Implants 1 Therapy Function Compromised

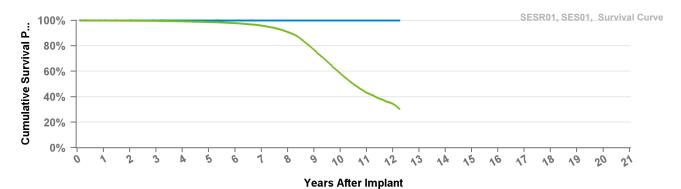
**Normal Battery Depletions** 



Years	1	2	3	4	5	6	7	8	9	10	11	12	at 147 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.6%	99.2%	98.7%	97.8%	95.9%	90.8%	76.9%	58.3%	43.2%	34.4%	30.3%
Effective Sample Size	85842	74471	64560	55794	47905	40509	33128	24288	15090	7626	2980	569	122

## SESR01 Sensia SR

US Market Release	17Jul2006	Total Malfunctions	17
CE Approval Date	20Sep2005	Therapy Function Not Compromised	13
Registered USA Implants	117,363	Electrical Component	7
Estimated Active USA Implants	24,630	Other Malfunction	2
Normal Battery Depletions	7,324	Poss Early Battery Depltn	4
		Therapy Function Compromised	4
		Electrical Component	3
		Electrical Interconnect	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	at 147 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	99.9%	99.8%	99.6%	99.2%	98.7%	97.8%	95.9%	90.8%	76.9%	58.3%	43.2%	34.4%	30.3%
Effective Sample Size	85842	74471	64560	55794	47905	40509	33128	24288	15090	7626	2980	569	122

# SPDR01 Sphera DR MRI

US Market Release 03Aug2017 Total Malfunctions

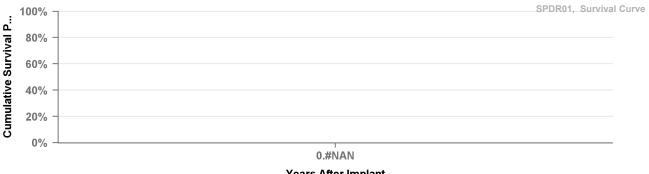
CE Approval Date 16Jun2017 Therapy Function Not Compromised

**Registered USA Implants** 

**Normal Battery Depletions** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 



Years After Implant

## SPDRL1 Sphera L DR MRI

US Market Release 03Aug2017 Total Malfunctions

CE Approval Date 16Jun2017 Therapy Function Not Compromised

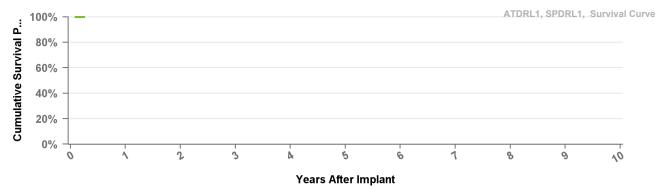
1

Registered USA Implants

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years at 3 mo

Excluding NBD 100.0%

Including NBD 100.0%

Effective Sample Size

## SPSR01 Sphera SR MRI

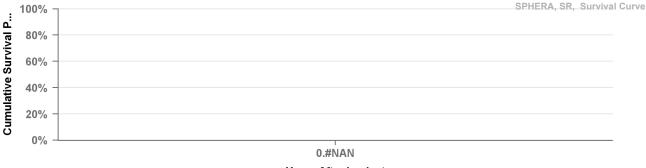
US Market Release 03Aug2017 Total Malfunctions

CE Approval Date 16Jun2017 Therapy Function Not Compromised

**Registered USA Implants** 

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



**Years After Implant** 

Years
Excluding NBD
Including NBD

Effective Sample Size

## **SS303** Sigma 300 S

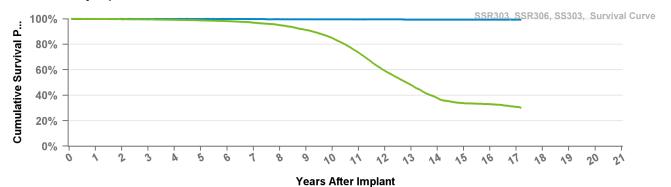
US Market Release 15Sep1999 Total Malfunctions

CE Approval Date 17Dec1998 Therapy Function Not Compromised

Registered USA Implants 165

Estimated Active USA Implants 12 Therapy Function Compromised

**Normal Battery Depletions** 



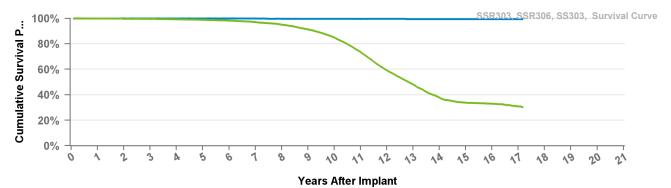
• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	at 206 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.7%	99.7%	99.7%	99.6%	99.5%	99.5%	99.5%	99.5%	99.5%	99.4%	99.4%
Including NBD	99.9%	99.8%	99.6%	99.3%	98.8%	98.2%	97.0%	95.0%	91.3%	84.9%	73.5%	59.2%	48.1%	37.8%	33.8%	33.0%	30.9%	30.1%
Effective Sample Size	39863	33386	27874	23292	19411	16080	13276	10936	8882	7011	5093	3264	2027	1183	758	446	169	122

## SSR303 Sigma 300 SR

US Market Release	30Aug1999	Total Malfunctions	58
CE Approval Date	17Dec1998	Therapy Function Not Compromised	12
Registered USA Implants	51,768	Electrical Interconnect	10
Estimated Active USA Implants	1,825	Other Malfunction	1
Normal Battery Depletions	3,105	Software Malfunction	1
		Therapy Function Compromised	46
		Electrical Component	3

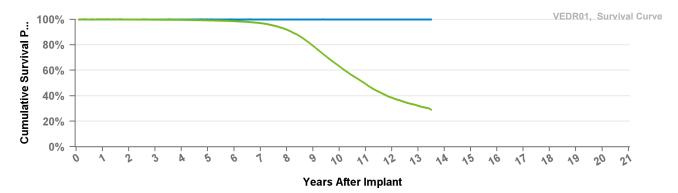
Electrical Interconnect 43



Years	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	99.9%	99.7%	99.7%	99.7%	99.6%	99.5%	99.5%	99.5%	99.5%	99.5%	99.4%	99.4%
Including NBD	99.9%	99.8%	99.6%	99.3%	98.8%	98.2%	97.0%	95.0%	91.3%	84.9%	73.5%	59.2%	48.1%	37.8%	33.8%	33.0%	30.9%	30.1%
Effective Sample Size	39863	33386	27874	23292	19411	16080	13276	10936	8882	7011	5093	3264	2027	1183	758	446	169	122

# VEDR01 Versa DR

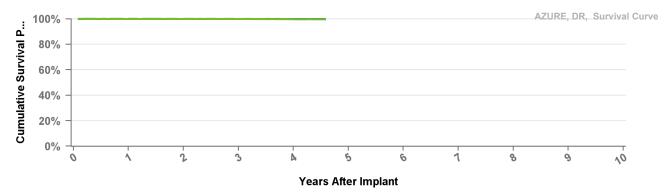
US Market Release	17Jul2006	Total Malfunctions	25
CE Approval Date	20Sep2005	Therapy Function Not Compromised	11
Registered USA Implants	118,949	Electrical Component	7
Estimated Active USA Implants	28,793	Electrical Interconnect	2
Normal Battery Depletions	12,160	Poss Early Battery Depltn	2
		Therapy Function Compromised	14
		Electrical Component	10
		Other Malfunction	4



Years	1	2	3	4	5	6	7	8	9	10	11	12	13	at 162 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.8%	99.6%	99.2%	98.6%	97.1%	91.9%	79.2%	63.1%	49.3%	38.5%	32.1%	28.8%
Effective Sample Size	98722	90224	82131	74512	66591	58372	50505	40896	28459	17255	9239	4123	1212	163

# W1DR01 Azure XT DR

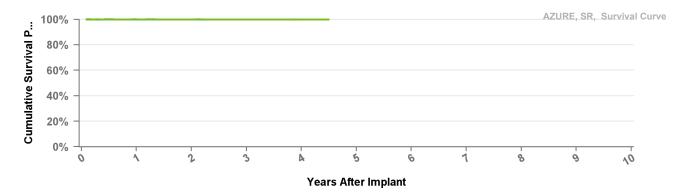
US Market Release	16Aug2017	Total Malfunctions	89
CE Approval Date	02Mar2017	Therapy Function Not Compromised	77
Registered USA Implants	451,278	Battery Malfunction	2
Estimated Active USA Implants	407,882	Electrical Component	35
Normal Battery Depletions	87	Other Malfunction	24
		Poss Early Battery Depltn	1
		Software Malfunction	15
		Therapy Function Compromised	12
		Battery Malfunction	2
		Electrical Component	10



Years	1	2	3	4	at 55 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.7%	99.7%
Effective	330209	207070	109863	27303	273

#### **W1SR01** Azure XT SR

US Market Release	16Aug2017	Total Malfunctions	10
CE Approval Date	02Mar2017	Therapy Function Not Compromised	9
Registered USA Implants	37,630	Battery Malfunction	1
Estimated Active USA Implants	31,140	Electrical Component	3
Normal Battery Depletions	4	Other Malfunction	4
		Software Malfunction	1
		Therapy Function Compromised	1
		Electrical Component	1



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 54 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.8%
Effective Sample Size	30173	18108	9049	2176	112

### **W2DR01**

## Azure XT DR

**US Market Release Total Malfunctions CE Approval Date** 

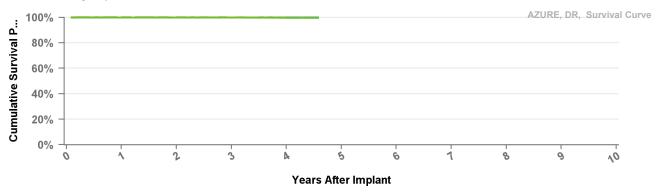
02Mar2017 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Normal Battery Depletions** 

**Therapy Function Compromised** 



Years	1	2	3	4	at 55 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.7%	99.7%
Effective Sample Size	330209	207070	109863	27303	273

#### W2SR01

## Azure XT SR

**US Market Release** 

**Total Malfunctions** 

**CE Approval Date** 

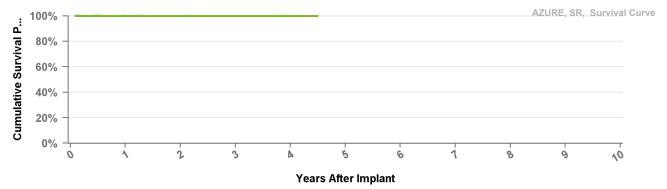
02Mar2017 Therapy Function Not Compromised

**Registered USA Implants** 

**Estimated Active USA Implants** 

**Therapy Function Compromised** 

**Normal Battery Depletions** 

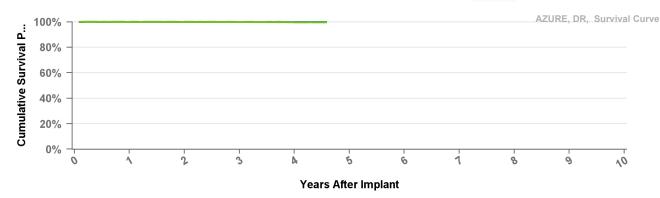


• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 54 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.8%
Effective Sample Size	30173	18108	9049	2176	112

## W3DR01 Azure S DR

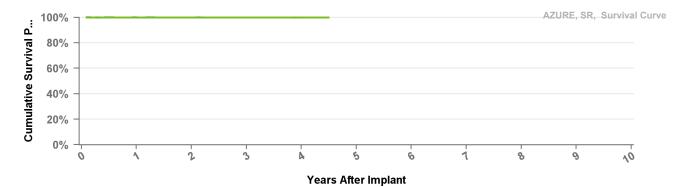
7 **US Market Release** 16Aug2017 Total Malfunctions **CE Approval Date** 02Mar2017 Therapy Function Not Compromised 6 **Registered USA Implants** 46,388 **Electrical Component** 5 **Estimated Active USA Implants** 41,368 1 Software Malfunction **Normal Battery Depletions** 17 **Therapy Function Compromised** 1 **Electrical Component** 



Years	1	2	3	4	at 55 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	100.0%	99.9%	99.7%	99.7%
Effective Sample Size	330209	207070	109863	27303	273

## W3SR01 Azure S SR

US Market Release16Aug2017Total Malfunctions1CE Approval Date02Mar2017Therapy Function Not Compromised1Registered USA Implants9,078Electrical Component1Estimated Active USA Implants7,561Therapy Function Compromised0Normal Battery Depletions



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	at 54 mo
Excluding NBD	100.0%	100.0%	100.0%	100.0%	100.0%
Including NBD	100.0%	99.9%	99.9%	99.8%	99.8%
Effective Sample Size	30173	18108	9049	2176	112

#### **X2DR01**

## Astra XT DR MRI SureScan

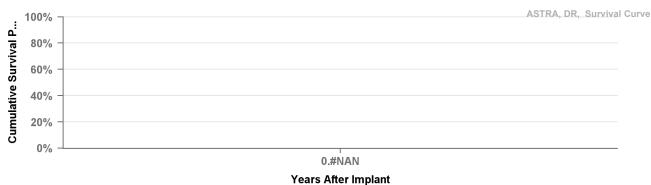
US Market Release Total Malfunctions

CE Approval Date 02Mar2017 Therapy Function Not Compromised

**Registered USA Implants** 

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



## **X2SR01** Astra XT SR MRI SureScan **US Market Release Total Malfunctions** 02Mar2017 Therapy Function Not Compromised **CE Approval Date Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ASTRA, SR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0.#NAN **Years After Implant** Years **Excluding NBD** Including NBD Effective Sample Size

# X3DR01 Astra S DR

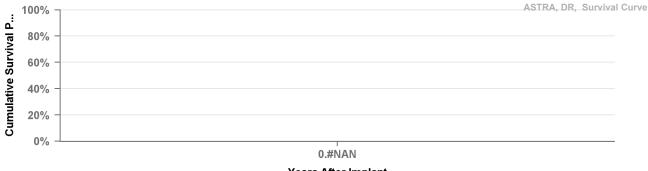
US Market Release Total Malfunctions

CE Approval Date 02Mar2017 Therapy Function Not Compromised

Registered USA Implants

Estimated Active USA Implants Therapy Function Compromised

**Normal Battery Depletions** 



Years After Implant

### Astra S SR X3SR01 **US Market Release Total Malfunctions CE Approval Date** 02Mar2017 Therapy Function Not Compromised **Registered USA Implants Therapy Function Compromised Estimated Active USA Implants Normal Battery Depletions** ASTRA, SR, Survival Curve 100% Cumulative Survival P... 80% 60% 40% 20% 0% 0.#NAN **Years After Implant**

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# **Methods for Estimating Transcatheter Pacing Performance**

### **Micra TPS Performance Analysis**

Transcatheter pacing systems (TPS) combine the pacing functions of an IPG with the therapy delivery functions of an implantable lead into a single device implanted inside the heart. Therefore, TPS is subject to complications similar to pacing leads (e.g. cardiac perforation) and malfunctions or battery depletion events similar to an implanted pulse generator (IPG). Although both transvenous systems and Micra IPG experience similar system level major complications, Micra has been shown to reduce the likelihood of major complications at a system level in post-approval registry data.

The performance report information is determined from the analysis of Medtronic Cardiac Rhythm Management (CRM) United States registration, complaint and CareLink<sup>TM</sup> network data.

#### Shortfalls of using returned products to Estimate Micra TPS Performance

Micra TPS devices returned to Medtronic are analyzed to determine whether or not they meet performance limits established by Medtronic. Although returned product analyses are valuable for gaining insight into failure mechanisms, this data cannot be used by itself for determining the survival probability because only a small fraction of Micra devices are explanted and returned to Medtronic for analysis. Some devices are programmed off due to an adverse event, however, they are often not retrieved/ explanted. The devices that are retrieved and returned cannot be assumed to be statistically representative of the performance of the total population for a given model. For this same reason, devices that meet their expected longevity are also not expected to be returned to Medtronic CRM.

#### The CareLink™ Network

To account for the shortfalls of returned product analysis, a study of de-identified product data on the Medtronic CareLink<sup>TM</sup> network is used. The number of devices enrolled and transmitting actively enables a population large enough to give a representative volume of normal battery depletions and to provide insight into the complications that may occur after the device was successfully implanted. As the intent of the product performance report is to provide visibility to long-term device performance, the devices reviewed from the CareLink<sup>TM</sup> Network have been implanted for at least 30 days.

#### Categorization of Micra TPS Qualifying Complications or Malfunctions for Survival Analysis on CareLink

For survival estimation, complication and premature battery depletion data from Medtronic's Complaint Handling System is adjudicated and subsequently cross-referenced with an assessment of device performance from the Medtronic CareLink<sup>TM</sup> network to categorize if the device is 1) functioning normally, 2) has reached normal battery depletion, or 3) has experienced a qualifying malfunction or complication. This categorization is combined with the CareLink<sup>TM</sup> data for the total number of implants and implant durations to create survival estimates for the likelihood of experiencing a qualifying complication or malfunction, and normal battery depletion. Ultimately, the data is summarized in two survival curves, one with only qualifying complications or malfunctions and the other including normal battery depletion.

#### **Definition of Qualifying Complication or Malfunction**

A longevity analysis is completed for all de-identified devices followed on CareLink<sup>TM</sup> that have reached the Recommended Replacement Time (RRT), to identify devices that experienced possible early battery depletion. These are findings where the actual reported implant time is less than 80% of the expected longevity calculated using the available device diagnostic information.

Additionally, all reported Micra TPS complaints are adjudicated by subject matter experts and medical safety personnel for inclusion as a product performance event given available information. These product performance events are then cross-referenced with the CareLink $^{\text{TM}}$  population for inclusion in the survival analysis.

## Methods for Estimating Transcatheter Pacing Performance continued

Product Performance events include, but are not limited to, these that occur 30 days after the implant procedure:

- Premature Battery Depletion
- Cardiac Perforation
- Dislodgement
- Failure to Capture
- Elevated Pacing Threshold

#### **Normal Battery Depletion**

A longevity analysis is completed for all devices followed on CareLink that are at or within 6 months of RRT to identify devices were taken out of service due to normal battery depletion. The population that is within six months of RRT is assessed against the expected longevity of the product. Normal Battery Depletion is defined as the condition when the device has reached its elective replacement indicator(s) with implant time exceeding 80% of the expected longevity calculated using the available device diagnostic information.

Medtronic CRM establishes expected longevity by statistically characterizing the power consumed by the device and the power available from the device battery. This characterization is applied to a number of parameter configurations. The statistical mean value minus three standard deviations is used as the expected longevity for determining if a battery depleted normally. The actual longevity achieved for any device while implanted will depend on the actual programmed parameters and patient factors and may differ significantly from these estimates.

#### **Statistical and Data Analysis Methods**

The performance is expressed in terms of device survival estimates, where "survival" refers to the function of the device, not the survival of the patient. These survival estimates are intended to illustrate the probability that a device will survive for a given number of years without a chronic device-related complication.

Active surveillance normally begins at the time of implant and continues until a product performance or censoring event occurs. Of the several different statistical methods available for survival analysis, PPR survival analysis is estimated using the Standard Actuarial Method, with suspensions assumed distributed evenly within the intervals (Cutler-Ederer Method), and incorporated data from these retrospectively enrolled devices.

The statistical technique uses data from existing devices while appropriately adjusting the device survival curves for the time the device was not actively followed in the registry. Thus, in some cases sample sizes may fluctuate from one time interval to the next interval.

The survival estimates is the probability that a device is free of a product performance event or normal battery depletion at a given time point. For example, if a survival probability is 95% after 5 years of service, then the device has a 5% chance of experiencing a related complication or battery depletion in the first 5 years following implant.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the effective sample size is less than 100 devices. The survival charts in the Product Performance Report show the effective sample size for each year interval where we have experience. When the effective sample size reaches 100, the next data point is added to the survival curve.

Because the de-identified information pulled from the CareLink network allows for assessment of all devices that were taken out of service there are no adjustments done for underreporting of malfunctions or battery depletion.

## Methods for Estimating Transcatheter Pacing Performance continued

#### **Definition of Analysis Dataset**

To be included in the US survival analysis dataset, the product must have been successfully implanted and on the CareLink network for at least 30 days.

#### **US Reports of Acute Observations**

In the first weeks following implantation, physiologic responses and performance can vary until long-term stability is attained. Acute performance may be subject to a number of factors, including patient-specific anatomy, clinical conditions and/or varying implant conditions/techniques. After a period of time, the implant and performance stabilizes. It is for this reason that the CareLink analysis, which is intended to measure long-term performance, do not include complications that occur within the first 30 days after implant.

Acute performance information, defined as the first month after implant, but not including the day of the implant procedure, is included in our reporting. The source of this information is the Medtronic complaint handling system database that includes events reported to Medtronic. This information is summarized in tables titled "Acute Observations".

Each Event Report received by Medtronic's complaint handling system is assigned one or more Reason for Report codes based on the information received. The Reason for Report codes have been grouped into Acute Observation categories. The categories are:

- Cardiac Perforation
- 2. Dislodgement
- 3. Failure to Capture
- 4. Failure to Sense
- Elevated Pacing Threshold

Although multiple observations are possible for any given Micra, only one observation is reported per device. The observation reported is the observation highest on the list. For example, if an Event Report includes observations for both Cardiac Perforation and Elevated Pacing Thresholds, Cardiac Perforation is reported.

The event reported to Medtronic may or may not have involved clinical action or product returned to Medtronic. The product may have remained implanted and in service.

## Methods for Estimating Transcatheter Pacing Performance continued

#### **US Reports of the Day of Implant Observations**

Due to the procedural differences with Micra products compared to transvenous leads and IPGs, information about the clinical experience on the day of implant is included in our reporting. The source of this information is the Medtronic complaint handling system database that includes events reported to Medtronic which may be related to either the Micra device or the delivery system. The information is summarized in tables titled "Day of Implant Observations."

Each Event Report received by Medtronic's complaint handling system is assigned one or more Reason for Report codes based on the information received. The Reason for Report codes have been grouped into Day of Implant Observation categories. The categories are:

- 1. Cardiac Perforation
- 2. Dislodgement
- 3. Failure to Capture
- 4. Failure to Sense
- 5. Elevated Pacing Threshold

Although multiple observations are possible for any given Micra, only one observation is reported per device. The observation reported is the observation highest on the list. For example, if an Event Report includes observations for both Cardiac Perforation and Elevated Pacing Thresholds, Cardiac Perforation is reported.

The event reported to Medtronic may or may not have involved clinical action or product returned to Medtronic. The product may have remained implanted and in service.

### MC1VR01 Micra VR

US Market Release 06Apr2016
CE Approval Date 14Apr2015
Registered USA Implants 57,871

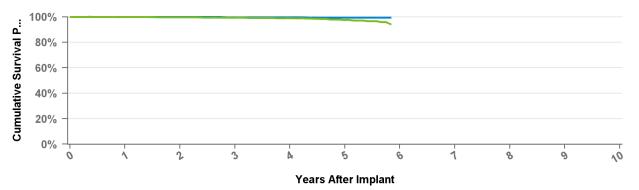
#### **CareLink Population**

Enrolled	31,219
Active	24,100
Cumulative Follow-Up Months	685,385
Normal Battery Depletions	77

#### CareLink Qualifying Malfunctions/Complications

Cardiac Perforation	7
Dislodgements	2
Elevated Pacing Threshold	33
Failure to Capture	7
Premature Battery Depletion	8

MC1VR01, Survival Curve



• Excluding Normal Battery Depletion • Including Normal Battery Depletion

Years	1	2	3	4	5	at 70 mo
Excluding NBD	99.9%	99.8%	99.7%	99.7%	99.4%	99.4%
Including NBD	99.8%	99.7%	99.3%	98.9%	97.5%	94.1%
Effective Sample Size	21834	12827	6078	1930	316	111

#### \*Acute Observations (N = 57,871)

Cardiac Perforation	18
Dislodgement	16
Elevated Pacing Threshold	133
Failure to Capture	55
Failure to Sense	10

#### \*Day of Implant Observations (N = 57,871)

Cardiac Perforation	252
Dislodgement	137
Elevated Pacing Threshold	209
Failure to Capture	90
Failure to Sense	63

The rate of perforation for commercially released Micra VR devices continues to perform acceptably within levels observed within the post-approval clinical study registry. Overall, clinical studies have demonstrated a reduction in the risk of major complications of 63% through 12 months¹ and 57% through 36 months² relative to transvenous pacing systems.

<sup>&</sup>lt;sup>1</sup> El-Chami et al. Heart Rhythm 2018 15(12): 1800-1807.

<sup>&</sup>lt;sup>2</sup> Piccini et al. Heart Rhythm 2020 17(5 Supplement) D-PO02-089

<sup>\*</sup> Data in these tables is sourced direct from the MDT complaint handling database summarizing observations reported to Medtronic relative to the registered US implant population.

## MC1AVR1 Micra AV

US Market Release 15Jan2020
CE Approval Date 31Mar2020
Registered USA Implants 26,811

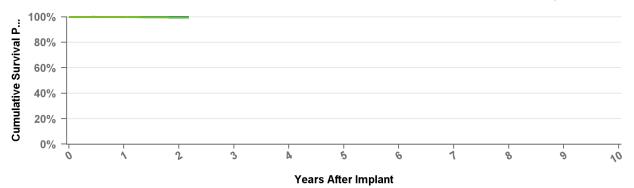
#### **CareLink Population**

Enrolled	12,305
Active	11,027
Cumulative Follow-Up Months	126,587
Normal Battery Depletions	8

#### **CareLink Qualifying Malfunctions/Complications**

Dislodgements	1
Elevated Pacing Threshold	5
Failure to Capture	4
Premature Battery Depletion	1

MC1AVR1, Survival Curve



Excluding Normal Battery Depletion
 Including Normal Battery Depletion

Years	1	2	at 26 mo
Excluding NBD	99.9%	99.8%	99.8%
Including NBD	99.8%	99.5%	99.5%
Effective	4814	333	105

#### \*Acute Observations (N = 26,811)

Cardiac Perforation	9
Dislodgement	13
Elevated Pacing Threshold	38
Failure to Capture	17
Failure to Sense	77

#### \*Day of Implant Observations (N = 26,811)

Cardiac Perforation	166
Dislodgement	42
Elevated Pacing Threshold	78
Failure to Capture	46
Failure to Sense	18

The rate of perforation for commercially released Micra AV devices continues to perform acceptably within levels observed within the post-approval clinical study registry. Overall, predicate clinical studies for Micra VR have demonstrated a reduction in the risk of major complications of 63% through 12 months¹ and 57% through 36 months² relative to transvenous pacing systems.

<sup>&</sup>lt;sup>1.</sup> El-Chami et al. Heart Rhythm 2018 15(12): 1800-1807.

<sup>&</sup>lt;sup>2.</sup> Piccini et al. Heart Rhythm 2020 17(5 Supplement) D-PO02-089

<sup>\*</sup> Data in these tables is sourced direct from the MDT complaint handling database summarizing observations reported to Medtronic relative to the registered US implant population.

### **Method for Estimating Lead Performance**

Medtronic Cardiac Rhythm Management (CRM) has tracked lead survival for over 38 years with its multicenter, global chronic lead studies.

### **Leads Performance Analysis**

Implanted leads operate in the challenging biochemical environment of the human body and the body's response to foreign objects. Implanted leads are also subject to mechanical stresses associated with heart motion, body motion, and patient anatomy.

In this environment, pacemaker and defibrillation leads cannot be expected to last forever. While IPGs and ICDs have a battery that will deplete after a predictable length of time, a lead's longevity cannot be predicted easily based on mechanical measurements, nor are there simple indicators that a lead is approaching the end of its service life. Therefore, regular monitoring while implanted, and evaluation of lead integrity upon IPG or ICD replacement, is necessary to determine if a lead may be approaching the end of its service life.

### Shortfalls Of Using Returned Product And Complaints To Estimate Lead Performance

Leads and lead segments returned to Medtronic are analyzed to determine whether or not they meet performance limits established by Medtronic. Although returned product analyses are valuable for gaining insight into lead failure mechanisms, this data cannot be used by itself for determining the survival probability of leads because only a small fraction of leads are explanted and returned for analysis. Some leads are modified due to adverse device effect, however may not be explanted. Additionally, those leads that are returned cannot be assumed to be statistically representative of the performance of the total population for a given lead model. Partial or total lead extraction can result in significant damage to a lead, making a definitive analysis of a suspected failure, and its cause, impossible.

To account for the under reporting inherent with lead survival analysis based solely on returned product, some manufacturers add reported complaints where adverse product performance is evident but the product itself has not been returned. The improvement to the accuracy of survival estimates depends on the degree to which all complaints are actually communicated to the manufacturer. Since not all complaints are communicated to the manufacturer, adding complaints to the survival analysis does not completely solve the under reporting problem.

Lead survival probabilities are more appropriately determined through a prospective clinical surveillance study that includes active follow up with the patients. Although Medtronic monitors returned product analysis and complaints, these are not used to determine lead survival estimates.

Medtronic consolidated all cardiac rhythm surveillance registries into the PAN Registry. The PAN Registry is a patient centric surveillance platform which follows patients implanted with Medtronic cardiac rhythm product(s). The Product Performance Report (PPR) tracks PAN Registry enrolled patients to monitor lead performance status in vivo. The PAN Registry is designed to record clinical observations representative of the total clinical experience. Lead survival estimates include both lead hardware failure and lead-related clinical events that are classified as product performance events, and do not differentiate a lead hardware failure from other clinical events such as Failure to capture, perforation, dislodgement, or concurrent pulse generator failure.

### **PAN Registry**

Medtronic has been monitoring the performance of its cardiac therapy products with a multicenter study since 1983 and has evaluated the performance of more than 131,000 leads, with data reported from countries around the world. Throughout this time period, Medtronic has continually worked to adapt systems and processes to more effectively monitor product performance following market release. The following summarizes current registry requirements.

Medtronic's product surveillance registry is a world-wide study that has a prospective, non-randomized, observational design. A key purpose of the registry is to provide continuing evaluation and periodic reporting of the long-term reliability and performance of Medtronic market-released cardiac rhythm therapy products. Product-related adverse events, indicating the status of the product, are collected to measure product survival probabilities. The data gathered may also be used to support the design and development of new cardiac therapy products. The registry is designed to continue indefinitely, encompassing new products as they become commercially available.

To ensure a sufficiently large and representative source of data, participating clinical sites must meet prespecified selection criteria. Patients are enrolled upon implantation of a Medtronic Cardiac rhythm product. Every effort is made to ensure participants are representative of the range of clinical environments in which Medtronic cardiac rhythm products are used. Eligible products for enrollment include Medtronic market-released cardiac rhythm therapy products for which additional information to further characterize product performance following market release is desired. Number of enrollments is reviewed regularly to ensure adequate sample size is obtained for each individual product. Enrollment may be capped and follow-up discontinued when sufficient duration and precision is achieved to effectively characterize product survivability.

Enrolled patients are followed in accordance with the standard care practices of their care provider from their implant date until they can no longer be followed (e.g., death, lost to follow-up, etc.). However, to ensure regular patient status assessments are completed, follow-up windows consistent with typical care practices have been established with a minimum annual follow-up requirement. Product-related adverse events, system modifications and changes in patient status (e.g. death and withdrawal from the study) are required to be reported upon occurrence. This active surveillance model ensures a robust dataset for effectively monitoring product performance.

Patients are eligible for enrollment if:

- Patient is intended to be implanted or has been implanted with a Medtronic market-released cardiac lead connected to a market-released CRT, ICD, or IPG device, and the lead is used for a pacing, sensing, or defibrillation application, or
- Patient participated in a qualifying investigational study of a Medtronic cardiac rhythm product that
  is now market-released; complete implant and follow-up data are available; and the data can be
  appropriately and legally released

Each site is required to inform Medtronic whenever a lead event has occurred, a lead is modified, or when a patient is no longer participating. Timely, accurate, and complete reporting and analysis of safety information for surveillance is crucial for the protection of patients, clinicians, and the sponsor. Medtronic continually evaluates the quality and integrity of the data through a combination of on-site and centralized monitoring activities.

### **Lead Complications**

Chronic lead performance is characterized by estimating lead related complication free survival probabilities. For analysis purposes, the complication criteria, which align with the AdvaMed 'Industry Guidance for Uniform Reporting of Clinical Performance of Cardiac Rhythm Management Pulse Generators and Leads', are defined below. These criteria do not, however, enable a lead integrity or "hardware" failure to be conclusively differentiated from other clinical events such as an undetected lead dislodgement, perforation, or concurrent pulse generator failure manifested as a sensing or capture problem.

All reported lead-related adverse events are classified by the reporting investigator and are adjudicated by an independent event adjudication committee <sup>1</sup>. A lead-related event with at least one of the following classifications that is adjudicated by the committee as a complication and occurs more than 30 days after implant is considered a product performance event and will contribute to the survival analysis endpoint. Events with an onset date of 30 days or less after the implant are considered procedure related and therefore are not included as product performance events. Product performance events include, but are not limited to:

- Failure to capture
- Failure to sense/undersensing
- Oversensing
- · Elevated pacing thresholds
- Abnormal pacing impedance (based on lead model, but normal range is typically 200 2,000 ohms)
- Abnormal defibrillation impedance (based on lead model, but normal range is typically 20 200 ohms)
- Lead Insulation breach
- Lead Conductor fracture, confirmed electrically, visually or radiographically
- Extracardiac stimulation
- Cardiac perforation
- Lead dislodgement
- Structural Lead Failure

### **Data Analysis Methods**

The performance of leads is expressed in terms of lead survival estimates, where "survival" refers to the function of the lead, not the survival of the patient. These survival estimates are intended to illustrate the probability that a lead will survive for a given number of years without a chronic lead-related complication.

Active surveillance normally begins at the time of implant and continues until a product performance or censoring event occurs. In some cases, in the PAN Registry, active surveillance of a device starts after the device was implanted. The survival probability of such device is conditional on survival to the time when the device enters the Registry. This phenomenon is called Left-truncation<sup>2</sup>. PPR lead survival analysis is estimated using the Kaplan-Meier method, a statistical method to incorporate data from these retrospectively enrolled devices, left-truncated data, was applied. The statistical technique uses data from existing devices while appropriately adjusting the device survival curves for the time the device was not actively followed in the registry. Thus, in some cases sample sizes may fluctuate from one time interval to the next interval.

On the following pages, each graph includes a survival curve for each lead model. The survival estimates is the probability that a lead is free of a product performance event at a given time point. For example, if a survival probability is 95% after 5 years of service, then the lead has a 5% chance of experiencing a lead-related complication in the first 5 years following implant.

The data in the tables is rounded to the nearest tenth of one percent. Occasionally, a graph may show 100% survival, but have one or more complications. This occurs because even with the complications, the data rounds to 100%.

The survival curves are statistical estimates. As sample size increases and performance experience accumulates, the estimation improves. Confidence intervals are provided as a way to indicate the degree of certainty of the estimates. Greenwood's formula is used to calculate the standard errors, and the log-log method is used to produce the 2-sided 95% confidence bounds.

Since the survival estimate can become very imprecise with small effective sample sizes, Medtronic truncates the survival curve when the number of leads entering an interval is less than 50 leads. When the number of leads entering an interval reaches 50, the next data point is added to the survival

curve. For those lead models that do not have sufficient sample size, a survival curve will not be presented.

### **Definition of Analysis Dataset**

The survival estimates are derived from all device components successfully enrolled as of the data received cut-off date (e.g. date of data entry at a study site). The number of enrollments is listed for each lead model.

This sample is considered to be representative of the worldwide population, and therefore the survival estimates shown should be representative of the performance worldwide of these models.

#### Criteria for Model Inclusion

Survival probabilities and the associated study information for a model or model family will be published when more than 100 leads have been enrolled and no fewer than 50 leads followed for at least 6 months. Medtronic, at its discretion, may stop providing updated performance information on lead models that received original US market-release approval 20 or more years ago.

### **Returned Product Analysis Results**

Although the returned product analysis data is not used to generate the survival estimates, the data provides valuable insight into the causes of lead malfunction.

For reporting returned product analysis results, Medtronic CRM considers a lead as having malfunctioned whenever the analysis shows that any parameter was outside the performance limits established by Medtronic while implanted and in service. To be considered a malfunction for returned product analysis reporting, the lead must have been returned to Medtronic and analyzed.

The results of the analysis are presented in four categories. The lead reporting categories are:

**Conductor Fracture**: Conductor malfunction with complete or intermittent loss of continuity that could interrupt current flow (e.g., fractured conductors), including those associated with clavicle flex fatigue or crush damage.

**Insulation Breach**: A malfunction of the insulation allowing inappropriate entry of body fluids or inappropriate current flow between the conductors, or between the conductor and the body. Examples include cuts, tears, depressions, abrasions, and material degradation.

**Crimps/Welds/Bonds**: Any malfunction in a conductor or lead body associated with a point of connection.

**Other:** Malfunctions of specific lead mechanical attributes, such as sensors, connectors, seal rings, or malfunction modes not included in the three categories above.

A lead subject to a safety advisory is not considered to have malfunctioned unless it has been returned to Medtronic CRM and found, through analysis, to actually have performed outside the performance limits established by Medtronic.

For leads designed for either ventricular or atrial use, the numbers listed in the Returned Product Analysis tables include both.

The numbers of malfunctions listed in the Returned Product Analysis tables are the actual numbers confirmed in the returned product analysis. The numbers of complications listed in the complications tables are the actual numbers observed in the PSR centers around the world.

### US Reports of Acute Lead Observations (Occurring within First Month of Service)

In the first weeks following lead implantation, physiologic responses and lead performance can vary until long-term lead stability is attained. Acute (defined as the first month after implant) lead performance may be subject to a number of factors, including patient-specific anatomy, clinical conditions and/or varying implant conditions/techniques. After a period of time, the implant and the lead performance stabilizes. It is for this reason that the Product Surveillance Registry results, which are intended to measure long-term performance, do not include complications that occur within the first 30 days after implant.

Information about the clinical experience in the first month of service is included in our reporting. The source for this information is Medtronic's complaint handling system database. The information is summarized in tables titled "US Reports of Acute Lead Observations."

Each Event Report received by Medtronic's complaint handling system is assigned one or more Reason for Report codes based on the information received. The Reason for Report codes have been grouped into Acute Lead Observation categories. The categories used for this product performance reporting are drawn from the "FDA Guidance for Submission of Research and Marketing Applications for Permanent Pacemaker Leads and for Pacemaker Lead Adapter 510(k) Submissions." The categories are:

- 1. Cardiac Perforation
- 2. Conductor Fracture
- 3. Lead Dislodgement
- 4. Failure to Capture
- Oversensing
- 6. Failure to Sense
- 7. Insulation Breach
- 8. Impedance Abnormal
- 9. Extracardiac Stimulation
- 10. Unspecified

Although multiple observations are possible for any given lead, only one observation is reported per lead. The observation reported is the observation highest on the list. For example, if an Event Report includes observations for both Lead Dislodgement and Failure to Sense, Lead Dislodgement is reported.

The lead event reported to Medtronic may or may not have involved clinical action or product returned to Medtronic. The lead may have remained implanted and in service.

### Estimated Number of Implanted and Active Leads in the United States

In addition to providing the number of leads enrolled in the PSR, we also provide the number of leads registered as implanted and the number remaining active in the United States based on the status recorded in the Medtronic Device Registration Tracking Application (DTrak).

### Footnotes:

- 1: During the evolution of SLS, event adjudication was transitioned from a Medtronic technical review committee to an independent event adjudication committee in 2011. Data analyses include adjudication using both methods.
- 2: Klein, John P., Moeschberger, Melvin L. Survival Analysis Techniques for Censored and Truncated Data, New York: Springer-Verlag New York, Inc., 1997.

830 SelectSecure					
US Market Release	03Aug2005	US Returned Product	t Analysis	US Acute Lead Observation	ons
CE Approval	31Jan2003	Conductor Fracture	34	Cardiac Perforation	3
Registered USA Implants	117,186	Insulation Breach	59	Conductor Fracture	
Estimated Active USA Implants	93,359	Crimp/Weld/Bond	0	Extra Cardiac Stimulation	
Fixation Type	Fixed Screw	Other	10	Failure to Capture	38
Pace Sense Polarity	Bipolar			Failure to Sense	3
Steroid Indicator	Yes			Impedance Out of Range	1:
				Insulation Breach	
				Lead Dislodgement	42

### **Atrial Placement**

Cumulative Months of Follow-Up

#### **Product Surveillance Registry Results** Number of Leads Enrolled in Study 1,484 Number of Leads Active in Study 658

### **Qualifying Complications** Cardiac Perforation Conductor Fracture Extra Cardiac Stimulation

Failure To Capture

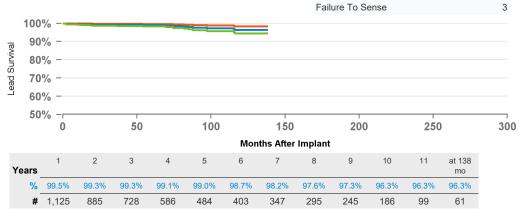


Oversensing

Unspecified Clinical Failure

75

2



72,673

opper out of outlined	<ul> <li>Upper 95 Pct Confidence</li> </ul>	ce
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- Cumulative Survival Probability
- Lower 95 Pct Confidence

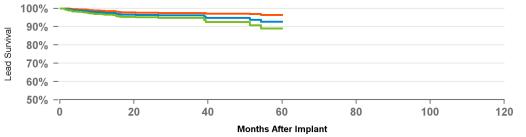
### **His Bundle Placement**

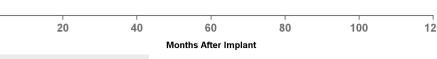
Product Surveillance Registry Results	
Number of Leads Enrolled in Study	1,253
Number of Leads Active in Study	956
Cumulative Months of Follow-Up	24.442

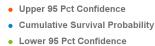
### **Qualifying Complications**

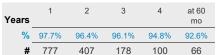










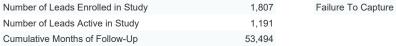


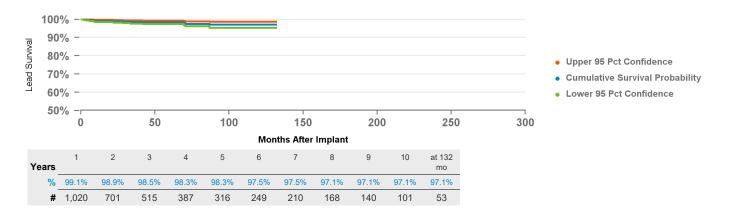
### **Ventricular Placement**

Product Surveillance Registry Results	
Number of Leads Enrolled in Study	1,807
Number of Leads Active in Study	1,191
Cumulativa Mantha of Fallace Un	E2 404

### **Qualifying Complications**

	18	
9	Impedance Out of Range	1
	Lead Dislodgement	6



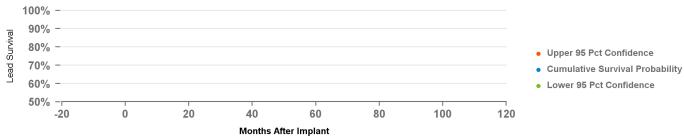


### 4073 CapSure Sense

US Market Release	23Jun2002
CE Approval	01Feb2002
Registered USA Implants	769
Estimated Active USA Implants	137
Fixation Type	Tines
Pace Sense Polarity	Unipolar
Steroid Indicator	Yes

### **US Returned Product Analysis**

**US Acute Lead Observations** 

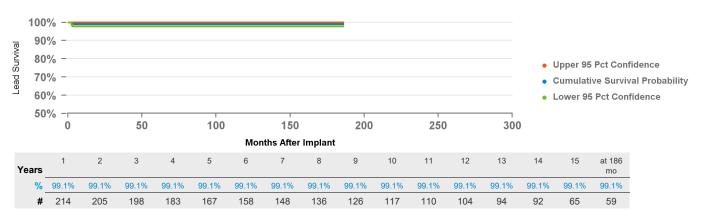




074 CapSure Ser	ise				
US Market Release	23Jun2002	US Returned Product	t Analysis	US Acute Lead Observation	ons
CE Approval	01Feb2002	Conductor Fracture	14	Cardiac Perforation	29
Registered USA Implants	145,752	Insulation Breach	52	Conductor Fracture	2
Estimated Active USA Implants	67,132	Crimp/Weld/Bond	0	Extra Cardiac Stimulation	3
Fixation Type	Tines	Other	0	Failure to Capture	161
Pace Sense Polarity	Bipolar			Failure to Sense	10
Steroid Indicator	dicator Yes		Impedance Out of Range	4	
				Lead Dislodgement	191
				Oversensing	7

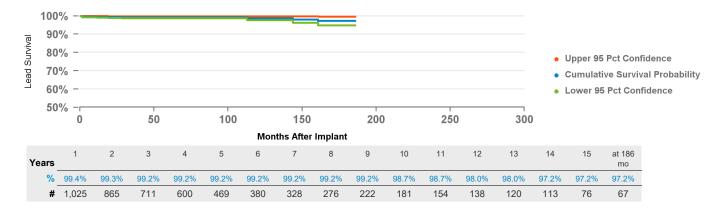
### **Atrial Placement**

# Product Surveillance Registry ResultsQualifying Complications2Number of Leads Enrolled in Study227Failure To Capture0Impedance Out of RangeNumber of Leads Active in Study87Failure To Sense1Lead DislodgementCumulative Months of Follow-Up27,701Other



### **Ventricular Placement**

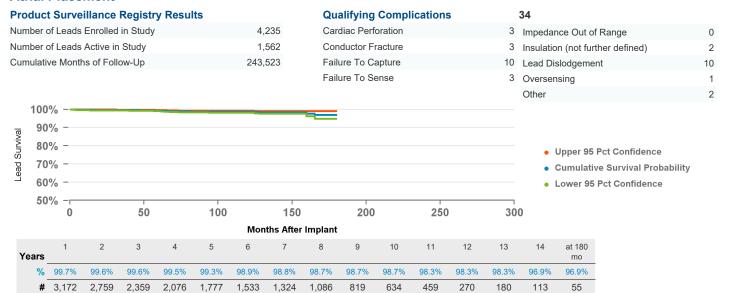
#### **Product Surveillance Registry Results Qualifying Complications** 11 Number of Leads Enrolled in Study 1,189 Conductor Fracture Impedance Out of Range 2 Number of Leads Active in Study 246 Failure To Capture 3 Insulation (not further defined) 2 Cumulative Months of Follow-Up 75,567 Lead Dislodgement 2 Other

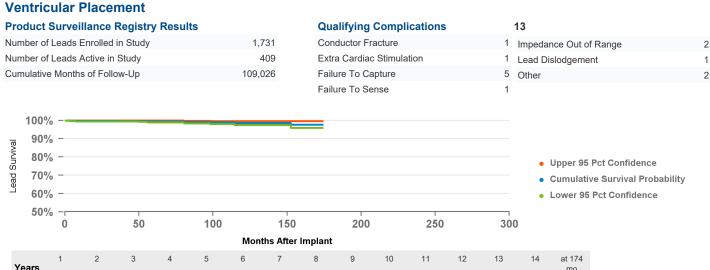


0

1076 CapSureFix N	Novus				
US Market Release	25Feb2004	US Returned Produc	t Analysis	US Acute Lead Observation	ns
CE Approval	14Jun2004	Conductor Fracture	124	Cardiac Perforation	218
Registered USA Implants	738,059	Insulation Breach	197	Conductor Fracture	11
Estimated Active USA Implants	405,451	Crimp/Weld/Bond	1	Extra Cardiac Stimulation	25
Fixation Type	Active Screw In	Other	22	Failure to Capture	298
Pace Sense Polarity	Bipolar			Failure to Sense	138
Steroid Indicator	Yes			Impedance Out of Range	48
				Insulation Breach	2
				Lead Dislodgement	760
				Oversensing	97
				Unspecified Clinical Failure	10

### **Atrial Placement**





40	92	CapSure SP I	Vovus
	US Market	Release	17Sep199
	CE Approv	al	15Apr1998
	Registered	USA Implants	186,230
	Estimated	Active USA Implants	37,018

### **US Returned Product Analysis**

	_
Conductor Fracture	19
Insulation Breach	98
Crimp/Weld/Bond	0
Other	0

### **US Acute Lead Observations**

Cardiac Perforation	4
Conductor Fracture	4
Extra Cardiac Stimulation	1
Failure to Capture	35
Impedance Out of Range	2
Insulation Breach	1
Lead Dislodgement	35
Oversensing	1
Unspecified Clinical Failure	1

### **Product Surveillance Registry Results**

Fixation Type

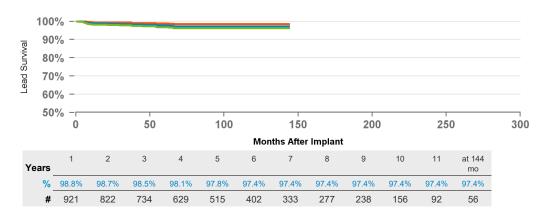
Pace Sense Polarity

Steroid Indicator

Number of Leads Enrolled in Study	1,201
Number of Leads Active in Study	21
Cumulative Months of Follow-Up	69,846

### **Qualifying Complications**

Qualifying Complications		21	
Conductor Fracture	3	Impedance Out of Range	1
Extra Cardiac Stimulation	1	Lead Dislodgement	4
Failure To Capture	12	Other	0



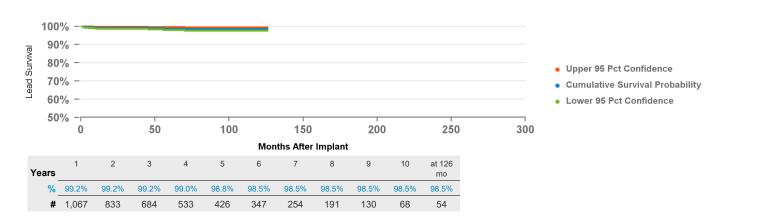
Tines

Bipolar

Yes

- Upper 95 Pct Confidence
- Cumulative Survival Probability
- Lower 95 Pct Confidence

4574	CapSure Sense					
US Mark	ket Release	23Jun2002	US Returned Product	Analysis	US Acute Lead Observ	ations
CE App	roval	01Feb2002	Conductor Fracture	12	Cardiac Perforation	2
Registe	red USA Implants	107,466	Insulation Breach	23	Conductor Fracture	1
Estimat	ed Active USA Implants	57,194	Crimp/Weld/Bond	0	Extra Cardiac Stimulation	1
Fixation	Туре	J-shape, tines	Other	0	Failure to Capture	107
Pace Se	nse Polarity	Bipolar			Failure to Sense	49
Steroid I	ndicator	Yes			Impedance Out of Range	8
					Lead Dislodgement	233
					Oversensing	14
					Unspecified Clinical Failure	4
Product Su	ırveillance Registry Results		Qualifying Complications	13		
Number of Le	eads Enrolled in Study	1,499	Conductor Fracture	2 Impe	dance Out of Range	0
					5	



Failure To Capture

712

63,571



Number of Leads Active in Study

Cumulative Months of Follow-Up

4 Lead Dislodgement

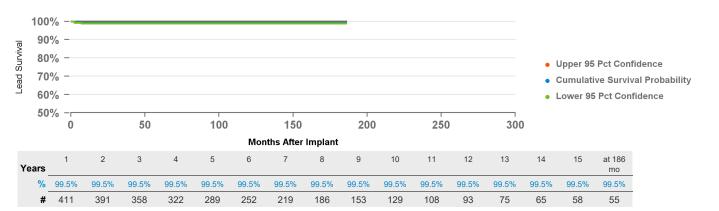
Other

7

CapSure Z l	Novus				
US Market Release	03Jun1998	US Returned Produc	t Analysis	US Acute Lead Observation	ns
CE Approval	05Jun1997	Conductor Fracture	16	Cardiac Perforation	2
Registered USA Implants	100,054	Insulation Breach	43	Conductor Fracture	2
Estimated Active USA Implants	18,830	Crimp/Weld/Bond	1	Failure to Capture	23
Fixation Type	Tines	Other	0	Impedance Out of Range	4
Pace Sense Polarity	Bipolar			Insulation Breach	1
Steroid Indicator	Yes			Lead Dislodgement	30
				Unspecified Clinical Failure	9

### **Atrial Placement**

#### **Product Surveillance Registry Results Qualifying Complications** 3 Number of Leads Enrolled in Study 426 Failure To Capture 2 Impedance Out of Range Number of Leads Active in Study 38 Lead Dislodgement Cumulative Months of Follow-Up Other



### **Ventricular Placement**

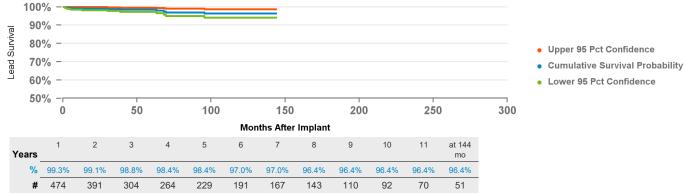
### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	989
Number of Leads Active in Study	25
Cumulative Months of Follow-Up	35,062

### **Qualifying Complications**

Failure To Capture	7	Impedance Out of Range	
Failure To Sense	2	Lead Dislodgement	
		Othor	

11



5076 CapSureFix No	ovus				
US Market Release	31Aug2000	US Returned Produc	ct Analysis	US Acute Lead Observat	ions
CE Approval	12Aug1999	Conductor Fracture	1,325	Cardiac Perforation	1,438
Registered USA Implants	3,065,971	Insulation Breach	1,444	Conductor Fracture	29
Estimated Active USA Implants	1,658,125	Crimp/Weld/Bond	3	Extra Cardiac Stimulation	105
Fixation Type	Active Screw In	Other	195	Failure to Capture	1,998
Pace Sense Polarity	Bipolar			Failure to Sense	881
Steroid Indicator	Yes			Impedance Out of Range	306
				Insulation Breach	15
				Lead Dislodgement	4,584
				Oversensing	640

### **Atrial Placement**

**Product Surveillance Registry Results Qualifying Complications** Number of Leads Enrolled in Study Cardiac Perforation 11,182 2 Impedance Out of Range Number of Leads Active in Study 4,730 Conductor Fracture Insulation (not further defined) 3 Cumulative Months of Follow-Up 522,824 Extra Cardiac Stimulation Lead Dislodgement 35 Failure To Capture 3 Oversensing Failure To Sense Other 10 100% -90% -80% - Upper 95 Pct Confidence 70% - Cumulative Survival Probability 60% - Lower 95 Pct Confidence 50 100 150 200 250 300 **Months After Implant** 5 10 12 15 at 222 Years 99.6% 99.5% 99.4% 99.1% 98.8% 98.7% 98.5% 98.3% 98.3% 98.2% 98.1% 97.9% 97.8% 97.4% 97.1% 97.1% 96.7% 96.7% 96.7%

### Ventricular Placement

6,207

5,149

4,305

3,558

2,778

2,281

1,851

# 7,370

#### **Product Surveillance Registry Results Qualifying Complications** 33 Number of Leads Enrolled in Study Cardiac Perforation 3,270 1 Impedance Out of Range 5 Number of Leads Active in Study 888 Conductor Fracture Lead Dislodgement 5 Cumulative Months of Follow-Up 146,448 Failure To Capture 12 Oversensing 1 Failure To Sense 2 Other 100% -90%

1,422

1,168

952

734

578

457

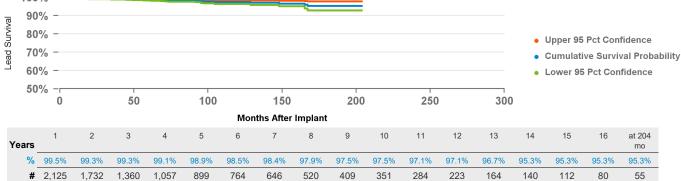
335

223

138

82

55

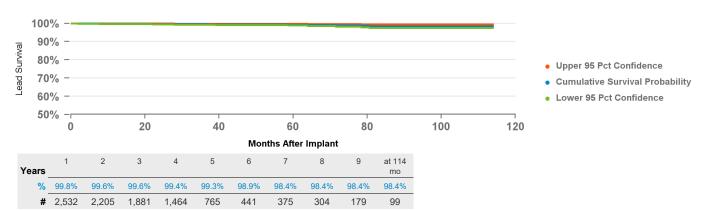


Unspecified Clinical Failure

#### 5086MRI CapsureFix Novus MRI **US Market Release** 08Feb2011 **US Returned Product Analysis US Acute Lead Observations** 21Jan2009 CE Approval Cardiac Perforation 212 Conductor Fracture Registered USA Implants 207,759 Conductor Fracture Insulation Breach 192 Estimated Active USA Implants 130,146 Crimp/Weld/Bond 0 Extra Cardiac Stimulation 18 Fixation Type Active Screw In Other 11 Failure to Capture 144 Pace Sense Polarity Bipolar Failure to Sense 27 Steroid Indicator Yes Impedance Out of Range 9 2 Insulation Breach 311 Lead Dislodgement

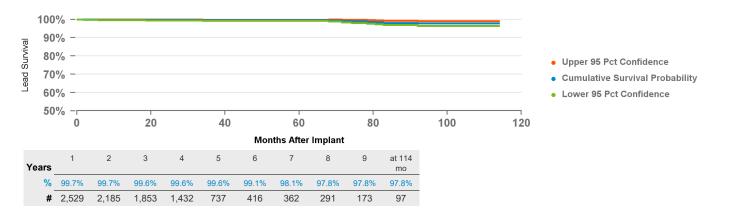
#### **Atrial Placement**

#### **Product Surveillance Registry Results Qualifying Complications** 20 Number of Leads Enrolled in Study 3,127 Conductor Fracture 3 Impedance Out of Range Number of Leads Active in Study Failure To Capture 1,407 3 Lead Dislodgement 11 Cumulative Months of Follow-Up 138,681 Oversensing 2 Other



### **Ventricular Placement**

#### **Product Surveillance Registry Results Qualifying Complications** 20 Number of Leads Enrolled in Study 3,066 Conductor Fracture Impedance Out of Range 2 1,390 Failure To Capture Number of Leads Active in Study Lead Dislodgement 3 Cumulative Months of Follow-Up 136,723 Failure To Sense 2 Oversensing Other



Oversensing

US Market Release	03Jun1998	<b>US Returned Product</b>	Analysis	US Acute Lead Observa	ations
CE Approval	25Sep1997	Conductor Fracture	26	Cardiac Perforation	
Registered USA Implants	141,695	Insulation Breach	70	Conductor Fracture	
Estimated Active USA Implants	29,816	Crimp/Weld/Bond	0	Extra Cardiac Stimulation	
Fixation Type	Tines	Other	1	Failure to Capture	4
Pace Sense Polarity	Bipolar			Failure to Sense	
Steroid Indicator	Yes			Impedance Out of Range	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified Clinical Failure	
oduct Surveillance Registry Results	i	Qualifying Complications	10		
mber of Leads Enrolled in Study	1,214	Extra Cardiac Stimulation	1 Impedar	nce Out of Range	1
mber of Leads Active in Study	25	Failure To Capture		slodgement	5
mulative Months of Follow-Up	54,198		Other	3	0
100%					
90% -					
000/				Inner OF Bet Confidence	
80% -				Upper 95 Pct Confidence Cumulative Survival Probability	
70% -					
70% -				*	
60% -				Lower 95 Pct Confidence	
80% - 70% - 60% - 50% - 0 50		200 250		*	

11

97.8%

107

97.8%

80

97.8%

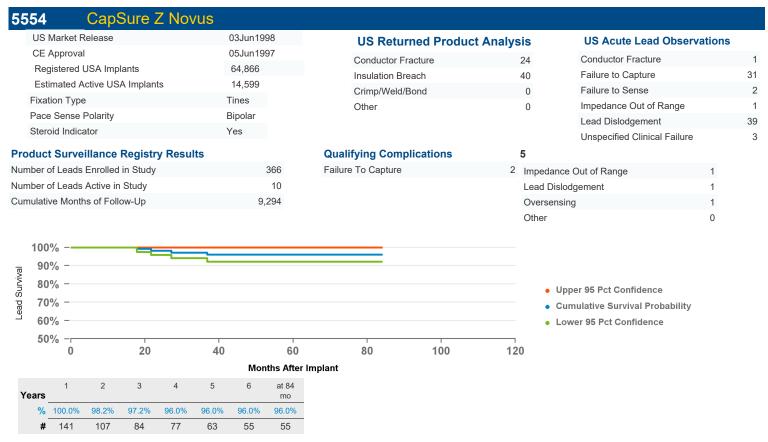
56

at 162

mo

97.8%

52



Years

99.5%

814

99.3%

652

99.2%

517

98.9%

421

98.9%

335

98.6%

263

98.6%

217

98.6%

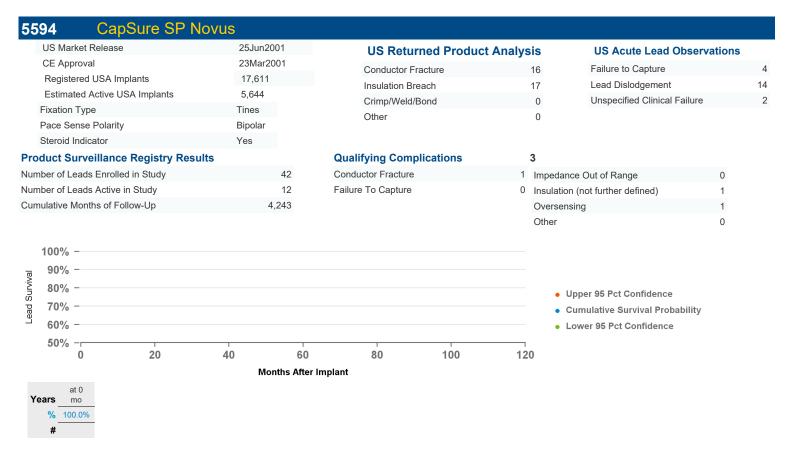
172

98.6%

149

98.6%

US Ma	arket Rel	ease			C	3Jun199	98		US	Returr	ned Pro	duct /	Analys	is	US Acute Lead Ol	oservation	s
CE Ap	oproval				2	25Sep19	97			uctor Fra				6	Cardiac Perforation		1
Regist	stered US	SA Impla	nts		;	37,332				ation Brea				7	Failure to Capture		4
Estima	ated Acti	ive USA	Implant	s		10,085								0	Failure to Sense		3
Fixation	Fixation Type Tines Pace Sense Polarity Bipolar		Т	ines		Crimp/Weld/Bond Other				0	Lead Dislodgement		43				
Pace S			ipolar		Otner					U	Oversensing		7				
Steroid	d Indicato	or			Υ	es									Unspecified Clinical Fa	ailuro	1
Dundingt C	S m. ra ill.	anaa F	) a mi a tur	. Dooul	40			0	aliferin a	Compl	laatiana			-	Orispecified Cilifical Fa	allule	'
Product S				y Resul	เร	,	740				ications			5			
Number of L							719	Fail	ure To C	apture			3		edance Out of Range	0	
lumber of L Cumulative							39 707								d Dislodgement	2	
														Othe			
100%	, - <del></del>																
000/																	
000/																	
000/															Upper 95 Pct Confidence	-114a.	
90% 80% 70%															Upper 95 Pct Confidence Cumulative Survival Probab	oility	
90% 80% 70% 60%															Upper 95 Pct Confidence	oility	
90% 80% 70%			50						200		250		300		Upper 95 Pct Confidence Cumulative Survival Probab	bility	
90% 80% 70% 60%									200		250		300		Upper 95 Pct Confidence Cumulative Survival Probab	pility	
90% 80% 70% 60%		2					150		200	10	250	12	300 at 150 mo		Upper 95 Pct Confidence Cumulative Survival Probab	pility	



# 523

67	21	Epicardia	l Pato	h										
US Market Release			3	31Mar19	94		US Returned Product Analysis			is US Acute Lead Observations			;	
	CE Approval Registered USA Implants				)1Jan19	93		Conductor Fracture Insulation Breach			15	Cardiac Perforation		1
					3,378						1	Conductor Fracture		2
Estimated Active USA Implants				850			Crimp/Weld/Bond			0	Failure to Capture		3	
	Fixation Type Pace Sense Polarity Steroid Indicator			uture			Other			0	Failure to Sense		2	
				/a							Impedance Out of Rai	nge	20	
			N	lone							Oversensing		1	
Pro	duct Surve	illance Regist	ry Resu	lts			Qua	alifying Com	plications		47			
Num	Number of Leads Enrolled in Study					418	Con	ductor Fracture	е	21	Impedanc	e Out of Range	4	
Num	Number of Leads Active in Study					8	Failu	ire To Capture		8	Insulation	(not further defined)	2	
Cum	Cumulative Months of Follow-Up			24,	24,100					Other		12		
Lead Survival	100% - 90% - 80% -			=			=				• U <sub>l</sub>	oper 95 Pct Confidence		
Lead S	70% - 60% -											umulative Survival Proba	bility	
Lead S	60% -											umulative Survival Proba ower 95 Pct Confidence	bility	
Lead S		20		40		60		80	100	12	• Lo		bility	
Lead S	60% - 50% -	20		40	Mon	60 ths After	Implant	80	100	12	• Lo		bility	



9	31	Sprint Fidelis	
	US Market I	Release	02Sep2004
	CE Approva	ıl	
	Registered	USA Implants	8,081
	Estimated A	Active USA Implants	1,214
	Fixation Typ	e	Active Screw In
	Pace Sense	Polarity	True Bipolar/One Coil
	Steroid India	ator	Yes

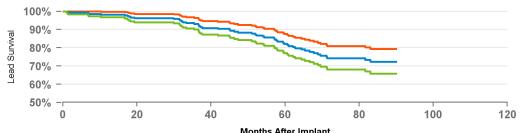
US Returned Product A	nalysis
Conductor Fracture	667
Insulation Breach	1
Crimp/Weld/Bond	0
Other	5

US Acute Lead Observations	
Cardiac Perforation	1
Conductor Fracture	2
Failure to Capture	1
Failure to Sense	1
Lead Dislodgement	1
Oversensing	3
Unspecified Clinical Failure	1

### **Product Surveillance Registry Results**

Number of Leads Enrolled in Study	311
Number of Leads Active in Study	12
Cumulative Months of Follow-Up	17,905

Qualifying Complications		58	
Conductor Fracture	35	Impedance Out of Range	10
Failure To Capture	3	Lead Dislodgement	2
Failure To Sense	1	Oversensing	7
		Other	0



<ul><li>Upper 9!</li></ul>	5 Pct Confidence
----------------------------	------------------

- Cumulative Survival Probability
- Lower 95 Pct Confidence

						WOII	uis Aitei	шраш
Years	1	2	3	4	5	6	7	at 90 mo
%	98.2%	96.2%	93.1%	88.3%	82.2%	74.3%	72.3%	72.3%
#	261	232	204	166	137	104	69	55

US Market Release	01Nov2008	<b>US Returned Product</b>	Analysis	sis US Acute Lead Observation		
CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type Pace Sense Polarity Steroid Indicator	31Mar2008 64,708 37,738 Active Screw In True Bipolar/One Coil Yes	Conductor Fracture Insulation Breach Crimp/Weld/Bond Other	433 12 0 44	Cardiac Perforation Conductor Fracture Extra Cardiac Stimulation Failure to Capture Failure to Sense Impedance Out of Range Insulation Breach Lead Dislodgement Oversensing		
				Unspecified Clinical Failure		
oduct Surveillance Registry Result	S	Qualifying Complications	60			
ımber of Leads Enrolled in Study	2,863	Cardiac Perforation	1 Impedano	ce Out of Range	7	
umber of Leads Active in Study	815	Conductor Fracture	22 Lead Disl	odgement	7	
umulative Months of Follow-Up	150,741	Extra Cardiac Stimulation	1 Oversens	ing	8	
		Failure To Capture	7 Other		5	
		Failure To Sense	1 Unspecifi	ed Clinical Failure	1	
100% - 90% - 80% - 70% -						
5 80%			• U	pper 95 Pct Confidence		
70% -			• C	umulative Survival Probability		
60% -			• L	ower 95 Pct Confidence		
50% - 50	100 150	200 250	300			
	Months After Imp	plant				

94.6%

70

**%** 99.5% 99.2%

**#** 2,333 1,926 1,584

98.9%

98.6% 98.4%

1,285 1,075

97.9%

926

97.3%

770

96.5%

612

95.6%

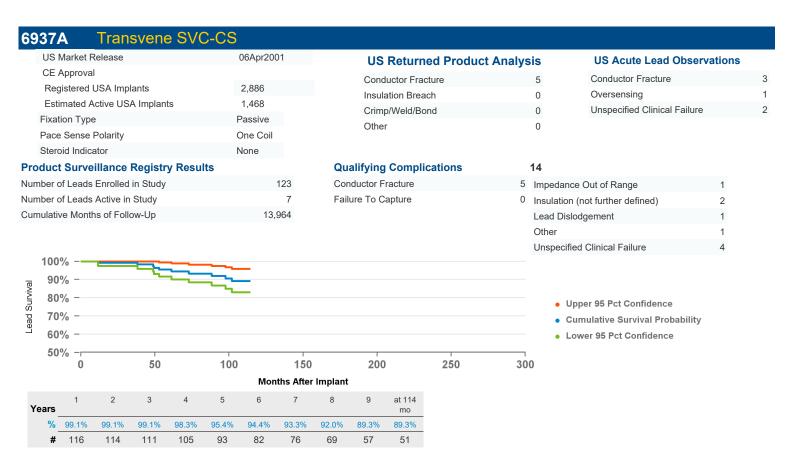
419

94.6%

225

94.6%

6935M Sprint Quattro	Secure S					
US Market Release	02Aug2012	US Retu	ırned Product	Analysis	US Acute Lead Obse	rvations
CE Approval Registered USA Implants	12Jul2012 319,886	Conductor		598	Cardiac Perforation Conductor Fracture	15
Estimated Active USA Implants	260,067	Insulation E		30	Extra Cardiac Stimulation	2
Fixation Type	Active Screw In	Crimp/Weld	1/Bona	83	Failure to Capture	33
Pace Sense Polarity	True Bipolar/One Co	Other		83	Failure to Capture	10
Steroid Indicator	Yes				Impedance Out of Range	9
					Insulation Breach	
					Lead Dislodgement	53
					Oversensing	27
roduct Surveillance Registry Resi	ulte	Qualifying Com	nlications	87	o vereemening	
umber of Leads Enrolled in Study	7,654	Cardiac Perforation	•		ce Out of Range	7
umber of Leads Active in Study	4.138	Conductor Fracture	-		n (not further defined)	3
umulative Months of Follow-Up	295,969 Extra Cardiac Stimulation 1 Lead Dislodgement		,	17		
		Failure To Capture		17 Oversen	•	5
		Failure To Sense		1 Other	omg	3
100% -					ied Clinical Failure	1
00%						
<u>&gt;</u>						
80% -				• l	Jpper 95 Pct Confidence	
70% -				• (	Cumulative Survival Probability	/
60% -				• L	ower 95 Pct Confidence	
50% -	10		100			
0 20	40 60	80	100	120		
	Months After I					
1 2 3 4 <b>Years</b>	5 6 7	8 at 102 mo				
<b>%</b> 99.6% 99.5% 99.2% 98.9%	98.5% 97.8% 97.6%	97.2% 97.2%				
<b>#</b> 5,865 4,821 3,790 3,002	2,097 1,138 520	141 52				



69	44	Sprint Quattro							
	US Market	Release	13Dec2000	US Returned P	roduct Analy	sis	US Acute Lead Observ	ations	
	CE Approv		05Nov1999	Conductor Fracture		227	Conductor Fracture		2
	O	d USA Implants	44,862	Insulation Breach		4	Failure to Capture		17
		Active USA Implants	12,232	Crimp/Weld/Bond		1	Failure to Sense		3
	Fixation Typ		Tines	Other		4	Impedance Out of Range		10
	Pace Sense	,	True Bipolar/Two Co	pils			Lead Dislodgement		24
	Steroid Indi	cator	Yes				Oversensing		18
							Unspecified Clinical Failure		6
Pro	duct Surv	eillance Registry Resul	ts	Qualifying Complication	าร	30			
Num	Number of Leads Enrolled in Study		632	Conductor Fracture	17	Impedano	ce Out of Range	4	
Num	ber of Lead	s Active in Study	104	Failure To Capture		4 Oversensing		3	
Cum	ulative Mor	ths of Follow-Up	36,482	Failure To Sense	1	Other		0	
						Unspecifi	ed Clinical Failure	1	
	100%								
<u>a</u>	90% -								
Survival	80%						pper 95 Pct Confidence		
g S	70%						umulative Survival Probability		
Lead	60%						•		
						• L	ower 95 Pct Confidence		
	50% −⊢ 0	50	100 150	200 25	0 30	00			

**Months After Implant** 

160

90.9%

141

90.2%

121

Years

100.0%

502

99.8%

417

99.2%

351

97.3%

290

95.1%

228

92.0%

191



10

90.2%

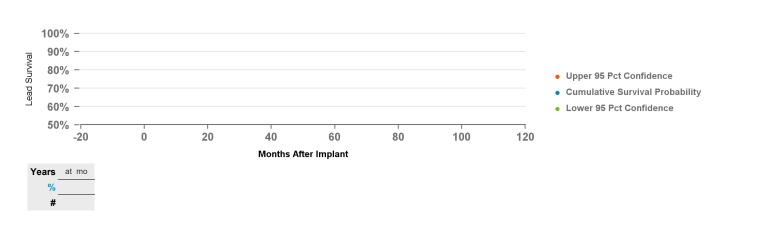
99

89.1%

83

at 144

88.0%



US Market Release CE Approval	12Nov2001 04Oct2001	US Returned Produ	_	US Acute Lead Obser	vations
Registered USA Implants	375,093	Conductor Fracture	1,340	Cardiac Perforation	
Estimated Active USA Implants	128,201	Insulation Breach	100	Conductor Fracture	
Fixation Type	Active Screw In	Crimp/Weld/Bond	4	Extra Cardiac Stimulation	
Pace Sense Polarity	True Bipolar/Two	Other	197	Failure to Capture	
Steroid Indicator	Yes			Failure to Sense	
oteroid indicator	103			Impedance Out of Range	
				Insulation Breach	
				Lead Dislodgement	
				Oversensing	
				Unspecified Clinical Failure	
duct Surveillance Registry Resul	ts	Qualifying Complications	94		
nber of Leads Enrolled in Study	4,516	Cardiac Perforation	1 Impedanc	e Out of Range	13
nber of Leads Active in Study	817	Conductor Fracture	34 Insulation	(not further defined)	5
nulative Months of Follow-Up	280,865	Failure To Capture	8 Lead Dislo	odgement	5
		Failure To Sense	2 Oversensi	nsing	
			Other		4
100%			Unspecifie	ed Clinical Failure	3
90% -					
80% -					
				per 95 Pct Confidence	
70% -			• Cı	ımulative Survival Probability	
60% -			• Lo	wer 95 Pct Confidence	
50% -	1 1				
0 50	100 150	200 250	300		
	Months Afte	· Implant			

96.1% 95.9%

699

428

275

938

94.7%

182

148

91.5%

122

90.7%

50

**%** 99.5% 99.3% 99.0% 98.7% 98.2% 97.9% 97.5% 97.1% 96.7%

**#** 3,287 2,888 2,532 2,245 2,009 1,761 1,513 1,339 1,163

US Market Release	13Feb2012	<b>US Returned Prod</b>	uct Analysis	US Acute Lead Observ	vations
CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type Pace Sense Polarity Steroid Indicator	12Mar2010 130,127 90,072 Active Screw In True Bipolar/Two Coils Yes	Conductor Fracture Insulation Breach Crimp/Weld/Bond Other	219 13 1 34	Cardiac Perforation Conductor Fracture Extra Cardiac Stimulation Failure to Capture Failure to Sense Impedance Out of Range	3 1 1 11 4 3
and a second	44-	Overlife the an Overland to the	0.5	Lead Dislodgement Oversensing	22 7
roduct Surveillance Registry Resul		Qualifying Complications	25		
ımber of Leads Enrolled in Study ımber of Leads Active in Study	2,256 777	Conductor Fracture Failure To Capture		ce Out of Range	0
imper of Leads Active in Study imulative Months of Follow-Up	116,163	Failure To Capture Failure To Sense		slodgement	1
initiative Months of Follow-op	110,103	Tallule To Gelise	4 Oversen	sing	2
80% - 70% -	40 60		• 0	Jpper 95 Pct Confidence Cumulative Survival Probability .ower 95 Pct Confidence	
	Months After Imp				
1 2 3 4 Years	•	8 at 108			



# 1,778

1,506

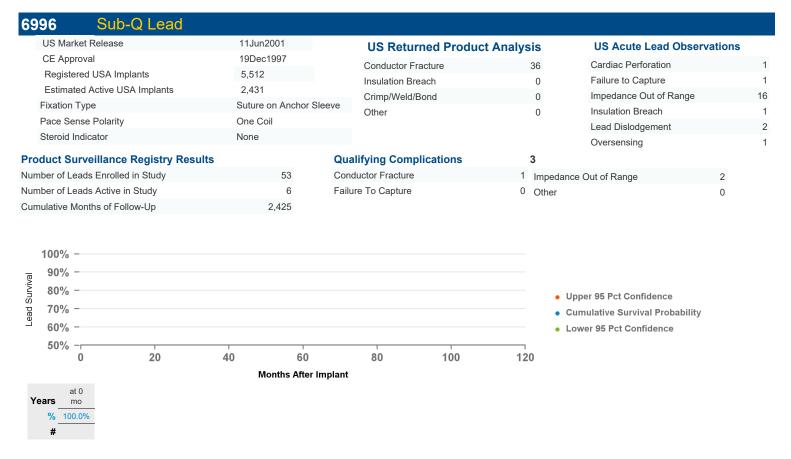
1,330

1,125

957

625

US Market Release	02Sep2004	US Returned Produc	t Analysis	sis US Acute Lead Obser		
CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type Pace Sense Polarity Steroid Indicator	186,212 25,040 Active Screw In True Bipolar/Two Coils Yes	Conductor Fracture Insulation Breach Crimp/Weld/Bond Other	8,123 37 3 115	Cardiac Perforation Conductor Fracture Failure to Capture Failure to Sense Impedance Out of Range Insulation Breach Lead Dislodgement Oversensing Unspecified Clinical Failu		
duct Surveillance Registry Result	s	Qualifying Complications	132	Onspecified Cliffical Fallu	le	
nber of Leads Enrolled in Study	982	Conductor Fracture	76 Impedan	ce Out of Range	19	
nber of Leads Active in Study	51	Failure To Capture	5 Insulation	Insulation (not further defined)		
nulative Months of Follow-Up	56,945	Failure To Sense	6 Lead Dis	Lead Dislodgement		
			Oversens	sing	21	
100% - 90% - 80% - 70% - 60% - 50% - 0 50	100 150  Months After Imp	200 250	• 0	Ipper 95 Pct Confidence cumulative Survival Probabilit ower 95 Pct Confidence	2 ty	



**%** 98.6%

719

96.5%

626

93.4%

532

91.0%

458

88.2%

392

84.5%

343

81.6%

281

79.0%

236

78.3%

187

76.9%

152

71.3%

125

68.8%

96

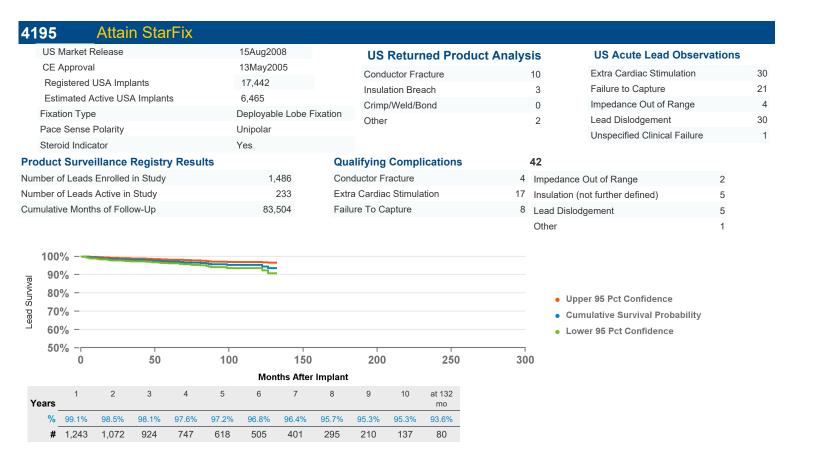
66.5%

63.7%

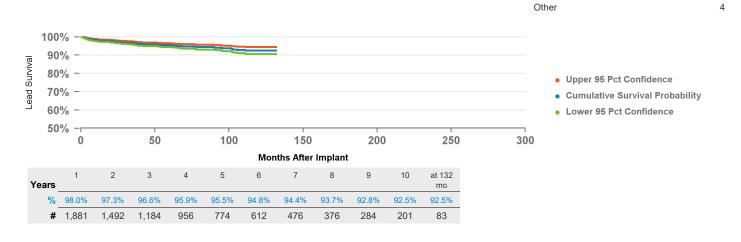
2187 Attain LV						
US Market Release	28Aug2001	US Returned Product A	nalysis	US Acute Lead Obser	vations	
CE Approval		Conductor Fracture	1	Extra Cardiac Stimulation	1	
Registered USA Implants	11,921	Insulation Breach	3	Failure to Capture	3	
Estimated Active USA Implants	1,003	Crimp/Weld/Bond	0	Failure to Sense	1	
Fixation Type	Distal Continous Curv	Other	3	Lead Dislodgement	9	
Pace Sense Polarity	Unipolar					
Steroid Indicator	None					
Product Surveillance Registry Results		Qualifying Complications	3			
Number of Leads Enrolled in Study	140	Failure To Capture	·	e Out of Range	0	
Number of Leads Active in Study Cumulative Months of Follow-Up	6 7,104		Other		0	
100% - 90% - 80% - 70% - 60%						
70% -				per 95 Pct Confidence		
Lead				Cumulative Survival Probability		
<b>→</b> 60% <b>→</b>			• Lo	wer 95 Pct Confidence		
50% - 20	40 60	80 100	120			
-	Months After Im					
1 2 3 at 48 <b>Years</b> mo						
<b>%</b> 99.1% 98.0% 98.0% 98.0%						
<b>#</b> 101 85 65 52						



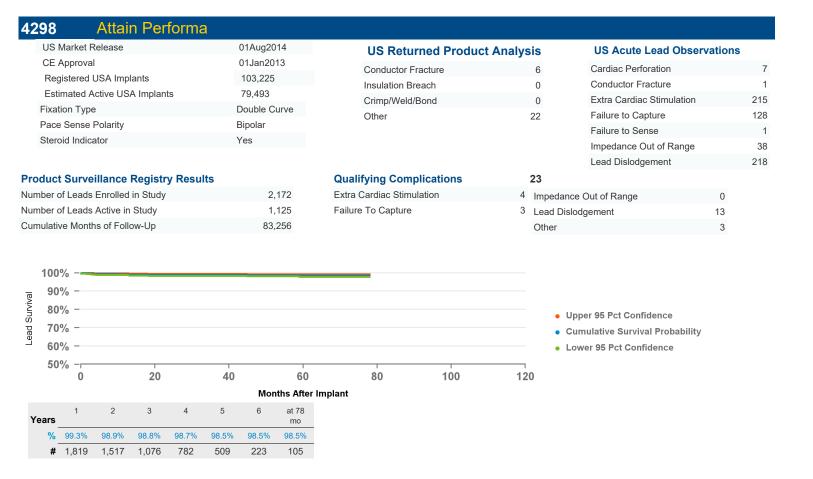
4194	4	Attai	n OT'	V													
U	S Market F	Release			2	24Aug20	04		US Returned Product Analys					ysis US Acute Lead Observation			;
	E Approva					14Jul200	3	Conductor Fracture				48	Cardiac Perforation		2		
	egistered					114,259		Insulation Breach				1	62	Conductor Fracture		2	
	stimated A		A Implan	ts		29,416			Crimp/Weld/Bond				0	Extra Cardiac Stimulation		49	
	ation Typ					ouble C	urve		Other					2	Failure to Capture		42
	Pace Sense Polarity Bipolar											Impedance Out of Range		9			
Ste	eroid Indic	ator			Y	'es									Lead Dislodgement		153
															Oversensing		2
															Unspecified Clinical Failure	)	4
Produ	ct Surve	illance	Registr	y Resu	ts			Qı	ualifying	Compl	ications	•		67			
Numbe	Number of Leads Enrolled in Study			1,	649	Co	Conductor Fracture 2					Impedance Out of Range		0			
Numbe	Number of Leads Active in Study				238	Ex	Extra Cardiac Stimulation 1				11	Insulation	on (ESC)	1			
Cumula	Cumulative Months of Follow-Up			95,	086	Fa	Failure To Capture			21	Insulation	on (not further defined)	2				
														Lead Di	slodgement	30	
														Other	_	0	
10	00%																
_ 9	90%							₹									
N N	30%																
รับ เ	70%														Upper 95 Pct Confidence		
eac															Cumulative Survival Probability		
6	60%													•	Lower 95 Pct Confidence		
ŧ	50% 0		50		100		150		200		250		30	0			
						Mor	ths After	Implan									
	1	2	3	4	5	6	7	8	9	10	11	12	13	at 168			
Year														mo	_		
9	98.6%	97.4%	96.7%	96.1%	95.6%	94.5%	94.3%	93.4%	93.4%	93.2%	92.8%	92.8%	92.8%	91.3%	_		
	# 1,238	1,046	898	770	696	616	500	407	323	263	189	119	79	51			



Attain Ability						
196 Attain Ability						
US Market Release	15May2009	US Returned Product	Analysis	US Acute Lead Obse	ervations	
CE Approval	24Jul2007	Conductor Fracture	26	Cardiac Perforation		
Registered USA Implants	68,468	Insulation Breach	2	Conductor Fracture		
Estimated Active USA Implants	28,080	Crimp/Weld/Bond	0	Extra Cardiac Stimulation		
Fixation Type	Double Curve	Other	9	Failure to Capture		
Pace Sense Polarity	Bipolar	Other	9	Failure to Sense		
Steroid Indicator	Yes			Impedance Out of Range		
				Insulation Breach		
				Lead Dislodgement		2
				Oversensing		
				Unspecified Clinical Failur	e.	
roduct Surveillance Registry Results		Qualifying Complications	90	Onoposinou Omnour runar		
umber of Leads Enrolled in Study	2,314	Conductor Fracture		e Out of Range	2	
umber of Leads Active in Study	323	Extra Cardiac Stimulation		(not further defined)	1	
umulative Months of Follow-Up	112,759	Failure To Capture	ii iodidiioi	odgement	23	
indiative Months of Follow-op	112,739	i aliule 10 Capiale	Ti Lead Disi	ougement	23	



US Market Release	01Apr2011	US Returned Product	Analysis	US Acute Lead Obs	orvations
CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type Pace Sense Polarity	18Dec2009 34,966 17,575 Double Curve	Conductor Fracture Insulation Breach Crimp/Weld/Bond Other	4 0 2 4	Cardiac Perforation Conductor Fracture Extra Cardiac Stimulation Failure to Capture	n
Steroid Indicator	Yes			Impedance Out of Range Insulation Breach Lead Dislodgement	9
roduct Surveillance Registry Resul	lts	<b>Qualifying Complications</b>	35		
umber of Leads Enrolled in Study	1,464	Extra Cardiac Stimulation	12 Impedar	nce Out of Range	0
umber of Leads Active in Study	342	Failure To Capture	9 Lead Dis	slodgement	13
umulative Months of Follow-Up	69,955		Other		1
100%		1 1	• 1	Upper 95 Pct Confidence Cumulative Survival Probabili Lower 95 Pct Confidence	ity
0 20	40 60	80 100	120		



98.7%

# 1,156

97.9%

933

97.7%

761

97.2%

641

96.7%

533

96.6%

455

96.6%

373

96.6%

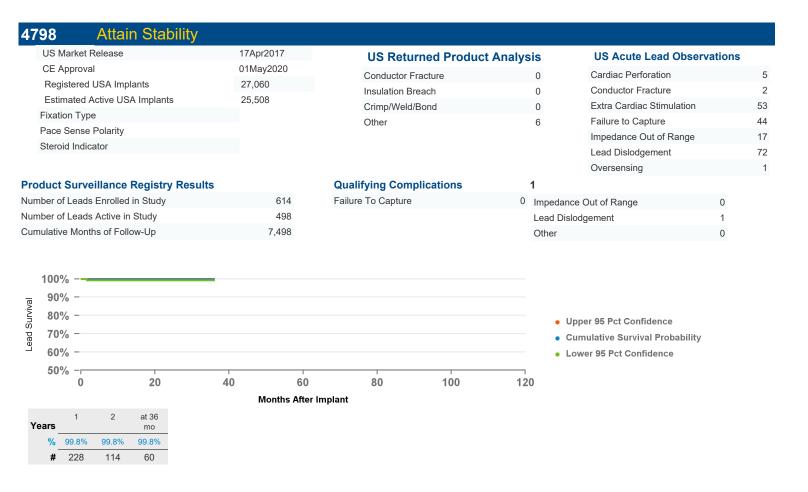
214

96.6%

4396 Attain Ability St	raight				
US Market Release	31Mar2011	US Returned Produ	ict Analysis	US Acute Lead Obser	rvations
CE Approval	18Dec2009	Conductor Fracture	5	Cardiac Perforation	1
Registered USA Implants	8,157	Insulation Breach	1	Conductor Fracture	2
Estimated Active USA Implants	4,288	Crimp/Weld/Bond	0	Extra Cardiac Stimulation	20
Fixation Type	Tines	Other	0	Failure to Capture	11
Pace Sense Polarity	Dual Electrodes			Lead Dislodgement	35
Steroid Indicator	Yes			· ·	
Product Surveillance Registry Result	ts	Qualifying Complications	9		
Number of Leads Enrolled in Study	474	Extra Cardiac Stimulation	1 Impedan	ce Out of Range	0
Number of Leads Active in Study	128	Failure To Capture	4 Insulation	n (not further defined)	1
Cumulative Months of Follow-Up 22,946			Lead Dis	slodgement	3
100% - 90% - 80% - 70% - 50% - 20	40 60	80 100	• 0	Jpper 95 Pct Confidence Cumulative Survival Probability .ower 95 Pct Confidence	
1 2 3 4	Months After	Implant at 96			
Years	0 1	mo mo			
<b>%</b> 99.8% 99.2% 98.1% 98.1%	97.6% 97.6% 97.6%	97.6%			
<b>#</b> 376 300 260 224	185 142 100	65			



US Market Release	10Dec2014	<b>US Returned Product</b>	Analysis	US Acute Lead Observ	vations
CE Approval	01Jan2013	Conductor Fracture	6	Cardiac Perforation	g
Registered USA Implants	63,451	Insulation Breach	0	Conductor Fracture	2
Estimated Active USA Implants	51,150	Crimp/Weld/Bond	0	Extra Cardiac Stimulation	110
Fixation Type	S-shape	Other	10	Failure to Capture	71
Pace Sense Polarity	Quad Pole	Outor	10	Impedance Out of Range	24
Steroid Indicator	Yes			Lead Dislodgement	72
				Oversensing	1
roduct Surveillance Registry Results	6	Qualifying Complications	16	Ü	
umber of Leads Enrolled in Study	1,306	Extra Cardiac Stimulation	3 Impedar	nce Out of Range	0
umber of Leads Active in Study	711	Failure To Capture	1 Lead Di	slodgement	11
umulative Months of Follow-Up	45,398	Failure To Sense	1 Other		0
100% - 90% - 80% - 70% - 60% -			•	Upper 95 Pct Confidence Cumulative Survival Probability Lower 95 Pct Confidence	
50% - 20	40 60	80 100	120		
	Months After I	mplant			



at 72

61

Years

99.2%

# 1,074

98.9%

883

98.9%

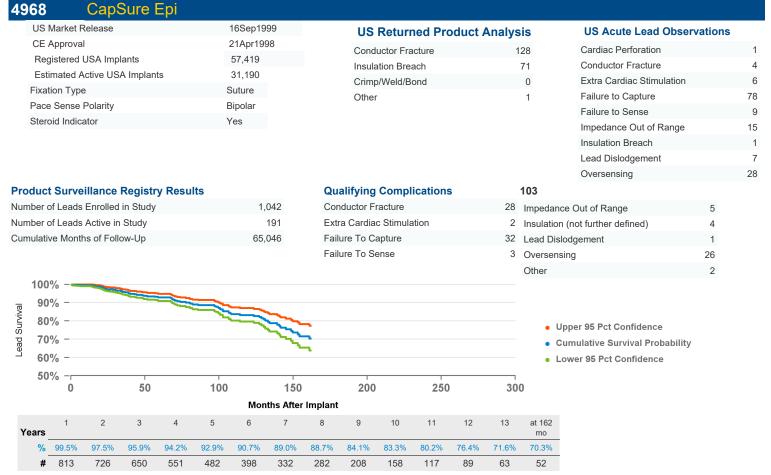
601

98.7%

362

98.1%

4965 CapSure Epi US Market Release	06Sep1996	US Returned Product	Analysis	US Acute Lead Observa	ations
CE Approval Registered USA Implants Estimated Active USA Implants Fixation Type Pace Sense Polarity Steroid Indicator	01Jan1993 24,016 7,089 Suture Unipolar Yes	Conductor Fracture Insulation Breach Crimp/Weld/Bond Other	294 64 1 0	Cardiac Perforation Conductor Fracture Failure to Capture Failure to Sense Impedance Out of Range Oversensing	1 1 11 7 19 2
Product Surveillance Registry Results Number of Leads Enrolled in Study Number of Leads Active in Study Cumulative Months of Follow-Up	235 5 7,499	Qualifying Complications Conductor Fracture Failure To Capture Failure To Sense		Unspecified Clinical Failure ce Out of Range n (not further defined)	0 1 2
100%	40 60  Months After Im	80 100 aplant	Other • L	Ipper 95 Pct Confidence Cumulative Survival Probability ower 95 Pct Confidence	0



98.6%

119

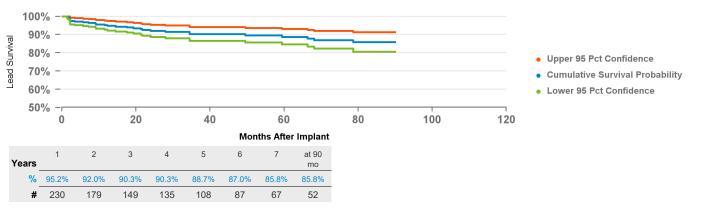
95.8%

101

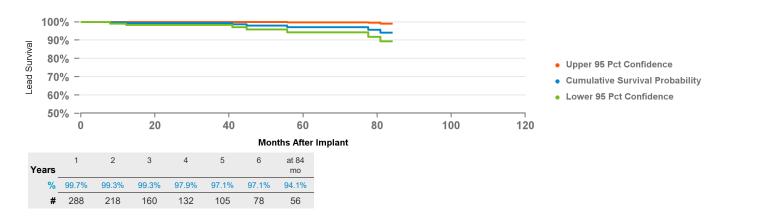
94.8%

83

1 Screw-in			
US Market Release	03Dec1992	US Returned Produc	t Analys
CE Approval	01Jan1993	Conductor Fracture	
Registered USA Implants	56,289	Insulation Breach	
Estimated Active USA Implants	12,201	Crimp/Weld/Bond	
Fixation Type	Fixed Screw	Other	
Pace Sense Polarity	Unipolar		
Steroid Indicator	None		
oduct Surveillance Registry Resu	Its	<b>Qualifying Complications</b>	34
mber of Leads Enrolled in Study	466	Conductor Fracture	4 Imp
umber of Leads Active in Study	81	Extra Cardiac Stimulation	1 Lea
cumulative Months of Follow-Up	16,177	Failure To Capture	21 Ove
		Failure To Sense	2 Oth



5038 CapSure VDD	-2				
US Market Release	10Sep1998	US Returned Product	Analysis	US Acute Lead Ob	servations
CE Approval	15Apr1997	Conductor Fracture	8	Extra Cardiac Stimulation	on
Registered USA Implants	9,571	Insulation Breach	3	Failure to Capture	
Estimated Active USA Implants	2,182	Crimp/Weld/Bond	0	Failure to Sense	
Fixation Type	Tines	Other	0	Lead Dislodgement	
Pace Sense Polarity	Quadripolar		· ·	Oversensing	
Steroid Indicator	Yes			g	
Product Surveillance Registry Resu	ults	<b>Qualifying Complications</b>	8		
Number of Leads Enrolled in Study	569	Conductor Fracture	3 Impedan	nce Out of Range	0
Number of Leads Active in Study	3	Failure To Capture	2 Other		0
Cumulative Months of Follow-Up	15,862	Failure To Sense	3		



### **ICD and CRT-D Charge Time Performance**

Medtronic continues its commitment to providing updated information on charge time performance.

### Introduction

Information on charge time performance of Medtronic products is presented in this section of the CR Product Performance Report. Medtronic implemented the collection of charge time data on July 1, 1999. The data are collected via our ongoing active clinical study of long-term system performance called the Product Surveillance Registry. The study protocol requests device data be routinely taken and sent to Medtronic at no more than 6-month intervals.

In our analysis performed for this report, only charge times resulting from full energy charges are considered. To ensure consistent reporting across devices, the charge time reported at implant represents the last charge time available from date of implant. When more than one charge time is available in a 6-month interval, a conservative approach has been adopted whereby only the maximum charge time in each 6-month interval is reported. As charge time is directly proportional to the time elapsed since the last capacitor reformation, charges occurring within 15 days of a previous charge are excluded. This precludes the reporting of overly optimistic results.

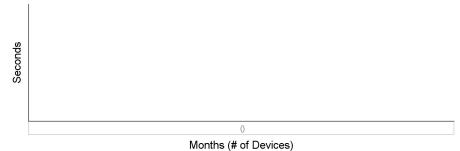
Data from over 20,000 devices contribute to the charge time data in this report. By tracking and reporting this charge time data, Medtronic is able to ascertain the actual performance of its charging circuitry. The insight gained through this information is applied to Medtronic's ongoing efforts to provide charge times that are short and consistent over the life of the product.

Charge time data for ICD and CRT-D models are presented using boxplots at 6-month intervals. The shaded box on the plots represents the middle half of the data – the Interquartile Range (IQR. The white line in the middle of each box is the median charge time. The top of the box representing the IQR is the third quartile or the 75th percentile (i.e., 75% of all charge times fall below this line, whereas the bottom of the box represents the first quartile or the 25th percentile. Vertical lines are drawn from the quartiles to the farthest value not more than 1.5 times the interquartile range. Any values more extreme than the vertical lines are considered outliers.

### **ICD and CRT-D Charge Time Performance**

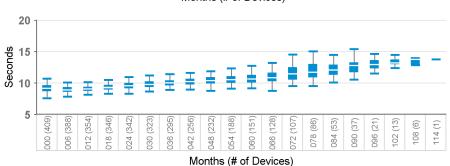
### 7232

Model Number	Brand
7232Cx	Maximo VR



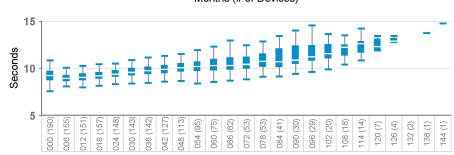
### **D154AWG, D164AWG**

Model Number	Brand
D164AWG	Virtuoso DR



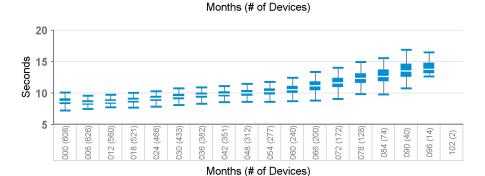
### **D154VWC, D164VWC**

Model Number	Brand
D164VWC	Virtuoso VR



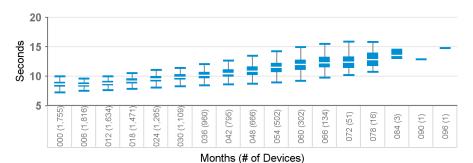
### D204DRM, D214DRM, D224DRG, D234DRG

Model Number	Brand
D204DRM	Secura DR
D214DRM	Secura DR
D224DRG	Secura DR
D234DRG	Secura DR



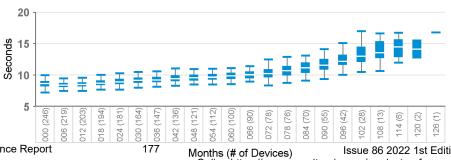
### **D204TRM, D214TRM,** D224TRK, D234TRK

Model Number	Brand
D204TRM	Consulta CRT-D
D214TRM	Consulta CRT-D
D224TRK	Consulta CRT-D
D234TRK	Consulta CRT-D



### **D204VRM, D214VRM,** D224VRC, D234VRC

Model Number	Brand
D204VRM	Secura VR
D214VRM	Secura VR
D224VRC	Secura VR
D234VRC	Secura VR



### **ICD and CRT-D Charge Time Performance**

## D264DRG, D284DRG, D384DRx, D394DRx

Model Number	Brand
D264DRM	Maximo II DR
D284DRG	Maximo II DR
D384DRG	Cardia DR
D394DRG	Egida DR

### D264TRM, D284TRK, D384TRx, D394TRx

Model Number	Brand
D264TRM	Maximo II CRT-D
D284TRK	Maximo II CRT-D
D384TRG	Cardia CRT-D
D394TRG	Egida CRT-D

# D264VRM, D284VRC, D384VRx, D394<u>VRx</u>

Model Number	Brand
D264VRM	Maximo II VR
D284VRC	Maximo II VR
D384VRG	Cardia VR
D394VRG	Egida VR

### D274DRG, D294DRG

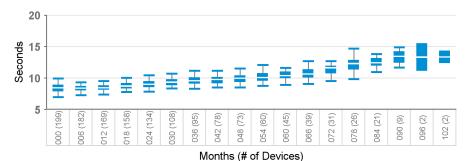
Model Number	Brand
D274DRG	Virtuoso II DR
D294DRG	Virtuoso II DR

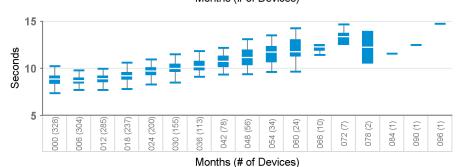
### D274TRK, D294TRK

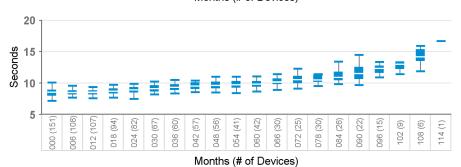
Model Number	Brand
D274TRK	Concerto II CRT-D
D294TRK	Concerto II CRT-D

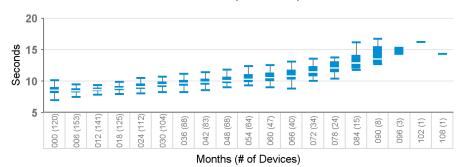
### D274VRC, D294VRC

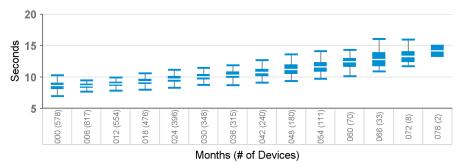
Model Number	Brand
D274VRC	Virtuoso II VR
D294VRC	Virtuoso II VR

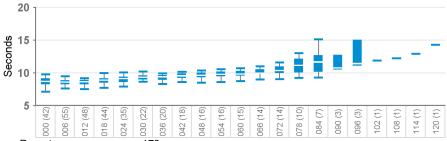








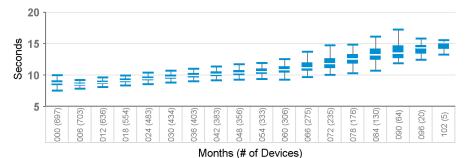




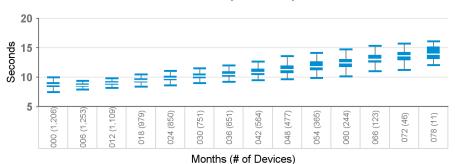
# D314DRx Model Number Brand D314DRG Protecta XT DR

Protecta XT DR

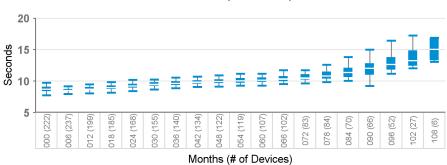
D314DRM



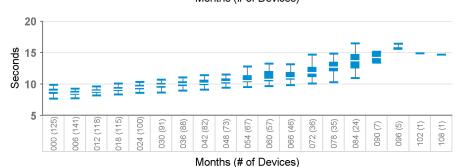
D314TRx	
Model Number	Brand
D314TRG	Protecta XT CRT-D
D314TRM	Protecta XT CRT-D



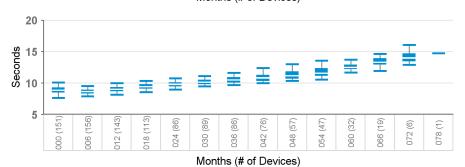
# Model Number Brand D314VRG Protecta XT VR D314VRM Protecta XT VR



D334DRx, D364DRx					
Model Number	Brand				
D334DRG	Protecta DR				
D334DRM	Protecta DR				
D364DRG	Protecta DR				
D364DRM	Protecta DR				



D334TRx, D364TRx					
Model Number	Brand				
D334TRG	Protecta CRT-D				
D334TRM	Protecta CRT-D				
D364TRG	Protecta CRT-D				
D364TRM	Protecta CRT-D				



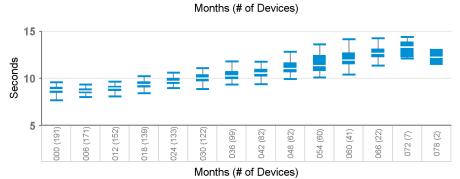
D334VRx, D364VRx					
Model Number	Brand				
D334VRG	Protecta VR				
D334VRM	Protecta VR				
D364VRG	Protecta VR				
D364VRM	Protecta VR				

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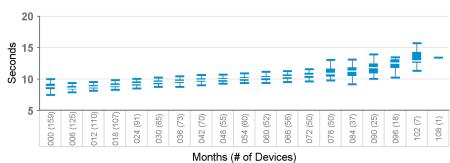
# Model Number Brand D354DRG Protecta XT DR D354DRM Protecta XT DR

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Seconds 10	<b>=</b>	±	<b>=</b>	≡	≢	≢	₹	₹	₹	<b>=</b>	₹	#		I	=	_
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	000	900	012	018	024	030	036	042	048	054	090	990	072	078	084	60

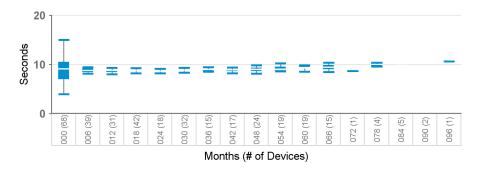
D354TRx	
Model Number	Brand
D354TRG	Protecta XT CRT-D
D354TRM	Protecta XT CRT-D



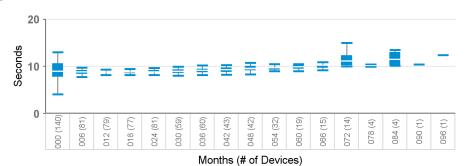
nd
tecta XT VR
tecta XT VR



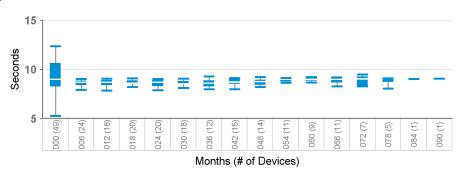
DDxxxxx, DR					
Brand					
Evera XT					
Evera XT					
Evera XT					
Evera XT					
Evera S					
Evera S					
Evera MRI XT					
Evera MRI XT					
Evera MRI XT					
Evera MRI XT					
Evera MRI S					
Evera MRI					
Primo					
Primo					
Mirro					
Mirro					



DTxxxxx, CR	T-D
Model Number	Brand
DTBA1D1	Viva XT
DTBA1D4	Viva XT
DTBA1Q1	Viva Quad XT
DTBA1QQ	Viva Quad XT
DTBA2D1	Viva XT
DTBA2D4	Viva XT
DTBA2Q1	Viva Quad XT
DTBA2QQ	Viva Quad XT
DTBB1D1	Viva S
DTBB1D4	Viva S
DTBB1Q1	Viva Quad S
DTBB1QQ	Viva Quad S
DTBB2D1	Viva S
DTBB2D4	Viva S
DTBB2QQ	Viva Quad S
DTBC2D1	Brava
DTBC2D4	Brava
DTBC2Q1	Brava Quad
DTBC2QQ	Brava Quad
DTBX1QQ	Viva Quad C
DTBX2QQ	Viva Quad C
DTMA1D1	Claria MRI
DTMA1D4	Claria MRI
DTMA1Q1	Claria MRI
DTMA1QQ	Claria MRI
DTMA2D1	Claria MRI
DTMA2D4	Claria MRI
DTMA2Q1	Claria MRI
DTMA2QQ	Claria MRI
DTMB1D1	Amplia MRI
DTMB1D4	Amplia MRI
DTMB1Q1	Amplia MRI
DTMB1QQ	Amplia MRI
DTMB2D1	Amplia MRI
DTMB2D4	Amplia MRI
DTMB2Q1	Amplia MRI
DTMB2QQ	Amplia MRI
DTMC1D1	Compia MRI
DTMC1QQ	Compia MRI
DTMC2D1	Compia MRI
DTMC2D4	Compia MRI
DTMC2QQ	Compia MRI



DVxxxxx, VR	
Model Number	Brand
DVAB1D1	Visia AF
DVAB1D4	Visia AF
DVAB2D1	Visia AF XT
DVAC3D1	Visia AF S
DVBB1D1	Evera XT
DVBB1D4	Evera XT
DVBB2D1	Evera XT
DVBB2D4	Evera XT
DVBC3D1	Evera S
DVBC3D4	Evera S
DVFB1D1	Visia MRI AF
DVFB1D4	Visia MRI AF
DVFB2D1	Visia MRI AF XT
DVFB2D4	Visia MRI AF XT
DVFC3D1	Visia MRI AF S
DVFC3D4	Visia MRI AF S
DVMB1D4	Evera MRI XT
DVMB2D1	Evera MRI XT
DVMB2D4	Evera MRI XT
DVMC3D1	Evera MRI S
DVMC3D4	Evera MRI S
DVMD3D1	Primo
DVMD3D4	Primo
DVME3D1	Mirro
DVME3D4	Mirro



# Potential for Intermittent-Reduced-Energy Shock Due To Short Circuit Protection Event

Cobalt<sup>™</sup> XT, Cobalt<sup>™</sup> and Crome<sup>™</sup> ICDs and CRT-Ds

Original Date of Communication: June 2022

#### **STATUS UPDATE - AUGUST 2022**

As of 10 August 2022, a software release is now available for CareLink<sup>TM</sup> SmartSync<sup>TM</sup> Device Managers (SmartSync). Once a SmartSync tablet has been updated with software application D00U005 version 7.1.1 (or higher), the programmer will deploy a device update to Cobalt and Crome implantable cardioverter defibrillators (ICDs) and cardiac resynchronization therapy defibrillators (CRT-Ds) to prevent the potential for an intermittent, second-phase Short Circuit Protection (SCP) event during high-voltage (HV) therapy delivery. This software update was previously announced as part of an advisory communication Medtronic issued in June 2022 (see original communication posted below).

Through 05 August 2022, Medtronic has confirmed 41 devices, out of approximately 89,500 devices distributed worldwide (observed rate 0.05%) have experienced a second-phase SCP event. This rate remains within the projected rate expected to occur within 24 months for devices without the software update installed. Medtronic has not received any reports of permanent harm or death due to this issue.

Medtronic representatives are available to work with clinicians to ensure all SmartSync tablets in their facility(s) are updated with application software D00U005 version 7.1.1 (or higher). The software can be installed by connecting each SmartSync tablet to the internet, opening the SmartSync App and accepting the on-screen prompts.

As disclosed in the June 2022 patient management recommendations, patients will require an in-clinic visit for the update to be installed into their device via interrogation with an updated SmartSync tablet. Once installed, the update will allow devices to deliver the full programmed shock energy. Programming B>AX pathway and Active Can enabled is still required. On-screen messaging will reinforce these programming recommendations. Additionally, this programming mitigates the theoretical risk for proarrhythmia if a low-level current pathway develops in the HV circuitry.

Clinicians can identify if a patient's device has successfully received the update by viewing the displayed Configuration ID and confirming the first number in the sequence is as indicated below:

- 11-1-0 for Cobalt/Crome VR devices
- 10-1-0 for Cobalt/Crome DR and CRT-D devices

The Device Configuration ID can be found under the "Device Information" section of the SmartSync Parameters Report, or for CareLink patients, under the Transmission Details page by selecting <More Reports > 'Parameters.'

#### **ORIGINAL COMMUNICATION - JUNE 2022**

This communication provides notice of the potential for reduced shock energy (~79% of programmed energy) during high-voltage (HV) therapy for Cobalt and Crome implantable cardioverter defibrillators (ICDs) and cardiac resynchronization therapy defibrillators (CRT-Ds). Through 03 June 2022, Medtronic has identified 27 devices (0.03% of devices distributed worldwide) that have experienced a reduced-energy shock, which is accompanied by a Short Circuit Protection (SCP) alert. Medtronic has not received any reports of permanent harm or death due to this issue. Medtronic has submitted a device software update to address this issue and anticipates it will be available for download into implanted devices <br/>beginning third/fourth quarter of calendar year 2022>, pending regulatory approvals.

#### **ISSUE SUMMARY:**

Short Circuit Protection (SCP) alerts trigger during HV therapy during the first- or second-phase of the HV biphasic waveform delivery. This communication focuses on second-phase SCP events that are the result of a secondary, low-level current pathway detected in the HV circuitry.

- A second-phase SCP event **will deliver approximately 79%** of programmed energy as a monophasic waveform.
- **Defibrillation efficacy is reduced by ~1%** for this type of SCP event when HV therapy is programmed to 40J, considering cumulative success across the full series of shocks (Rx1 through Rx6).

Based on analysis of peer-reviewed literature as well as CareLink data on shock efficacy from more than 279,000 episodes\*, termination success rates for 32J (~79% of 40J), monophasic shocks versus 40J biphasic shocks are estimated in Table 1. Termination success may vary depending on individual patient risk factors and medication use.

TABLE 1

	Normal Operation	Second-phase SCP
	(40J, Biphasic delivery)	(32J, Monophasic delivery)
Estimated First Shock	89%	85%
Success* (in VF Zone)		
Estimated Cumulative	99%	98%
Success Shocks 1-6*		

<sup>\*</sup>Medtronic data on file; May 2022.

- While 0.03% has been observed to date, Medtronic projects 0.18%\*\* of the ~80,000 distributed devices
  may experience a second-phase SCP event within 24 months of service life, when considering the
  probability for these SCP events increases over time, and the likelihood a patient will need HV therapy
  during that time.
  - For the population of patients who received HV therapy, the observed rate was 0.77%.
     When projecting for this population, the chance of encountering a second-phase SCP event is ~5.0%\*\* at 24 months.

\*\*The above projections are based on calculations without the planned device software update. Once installed, this update, in addition to the programming recommendations, will resolve occurrences of second-phase SCP events.

Potential harms related to a second-phase SCP event include failure to terminate the arrhythmia due to reduced-delivered-energy, a theoretical risk of proarrhythmia, and complications associated with device replacement, including unnecessary lead replacement due to misinterpretation of the SCP alert.

- While not observed clinically, Medtronic estimates the risk for proarrhythmia is 0.002% in the AX>B configuration, and improbable in the B>AX configuration (less than 0.00004%), with Active Can pathway enabled. These risks may be higher when Active Can is disabled.
- The overall risk for patient mortality due to this issue is estimated to be 0.002% at 24 months when combining the likelihood a patient will need therapy with the probability an arrhythmia fails to terminate after six sequences of 32J monophasic shocks.
  - Comparatively, the risk of patient mortality due to complications associated with device replacement is 0.032% - 0.043%<sup>1,2,3</sup>

#### PATIENT MANAGEMENT RECOMMENDATIONS AND CONSIDERATIONS:

SCP events are evident to the patient and clinician. Devices will issue an audible tone and, for patients enrolled in CareLink, a wireless CareAlert will report *RV Defib lead impedance 0 ohms*.

Medtronic recognizes that each patient requires unique clinical considerations. Based on internal investigation and external consultation with our Independent Physician Quality Panel (IPQP), Medtronic recommends:

- Prophylactic device replacement is NOT recommended.
- Remote monitoring with normal frequency of follow-up per clinic protocol, with patients' next follow-up scheduled in-clinic to allow for device reprogramming (if necessary):
  - Programming all HV therapies to 40J with a B>AX pathway and Active Can/SVC Coil set with Active Can enabled across all therapy zones.
- Contact Medtronic Technical Services (1-800-723-4636) or your local representative if an RV Defib Lead
  Impedance Alert reporting zero (0) ohms is observed as this is an indicator that an SCP event was
  detected during HV therapy.
  - o Importantly, if the delivered energy during the episode is ~79% of the programmed energy AND the SCP alert indicates an RV Defib Lead impedance alert reporting exactly zero (0)

- ohms, this is an indication of a second-phase SCP event (as described in this letter) and not a lead issue.
- Consider device replacement only after observing and confirming the cause of an SCP event with a Medtronic representative, with the understanding a device has an ~81% probability of delivering subsequent reduced-energy shocks, and with the understanding an update for implanted devices is anticipated to be available beginning <third quarter/fourth quarter> of calendar year 2022.
  - Note: The software update will require an additional in-clinic follow-up in order for it to be installed into a patient's device. The update will ensure the full shock energy is delivered in the presence of a secondary, low-level current pathway in the HV circuitry.
- After an SCP event, pacing, sensing, episode detection, and anti-tachycardia pacing (ATP) therapies are not impacted; additionally, HV charging, battery longevity and Bluetooth telemetry are not impacted.

<sup>&</sup>lt;sup>1</sup> Tarakji KG, et al. Antibacterial Envelope to Prevent Cardiac Implantable Device Infection. The New England Journal of Medicine. 2019; 380(20):1895-1905.

<sup>&</sup>lt;sup>2</sup> Medtronic Data on File. MDT2260884-CRHF CIED Infection Report; Agile: MDT2260884, Version 2.0, 11/02/2015.

<sup>&</sup>lt;sup>3</sup> Birnie D, et al. Complications associated with defibrillation threshold testing: The Canadian experience. Heart Rhythm. 2008; 5(3):387-90.

# Software Update Available to Correct Potential for SmartSync Telemetry Error

CareLink SmartSync™ Device Manager supporting Cobalt™ and Crome™ ICDs and CRT-Ds

Original Date of Communication: April 2022

#### **ORIGINAL COMMUNICATION - APRIL 2022**

Medtronic is notifying health care professionals of a software update for CareLink SmartSync™ Device

Managers (SmartSync) that will address a telemetry error that may occur with Medtronic Cobalt™ and Crome™ implantable cardioverter defibrillators (ICDs) and cardiac resynchronization therapy defibrillators (CRT-Ds).

Specifically, software application D00U005 version 6.0.3 will deploy an update to implanted devices that will correct the potential for temporary suspension of some device features (details below) due to a telemetry error involving inductive (non-Bluetooth) telemetry. As of 22 March 2022, 0.3% of devices have experienced this issue. No serious adverse events or permanent harms have been reported due to this error.

Medtronic representatives will work with you to ensure all SmartSync tablets in your facility are updated with application software D00U005 version 6.0.3 or higher. Once the software has been installed on a tablet, a patient's device will automatically receive an update (to prevent the telemetry error) during their next SmartSync session.

#### **Details:**

Some Cobalt and Crome devices may encounter a persistent "session-active" flag following the use of inductive telemetry. The persistent session-active flag is the result of a telemetry connection error that can occur when intermittent or disrupted signals manifest while communicating with the device at the end of the telemetry session. Inductive telemetry with a Cobalt/Crome device typically occurs during device interrogation with a CareLink Express<sup>TM</sup> Mobile reader head. A persistent session-active flag will result in temporary suspension of the following features (if available in the device) until the flag is cleared:

- Battery voltage measurements
- Capture Management™
- Atrial Lead Position Check™
- AdaptivCRT™, EffectivCRT™ diagnostic, and EffectivCRT™ During AF
- Wavelet<sup>™</sup> template management
- Battery conditioning charges

Potential risks include loss of pacing or inadequate CRT support, and/or loss of Recommended Replacement Time (RRT) indicator.

When battery measurements are suspended for more than seven days, the longevity estimator cannot calculate a value and the estimator will display a grey bar with "???." Longevity estimates will be unavailable for approximately 82 weeks. A device that experiences a persistent session-active flag can be manually cleared via a specific sequence of steps, using a non-Bluetooth SmartSync telemetry session. Contact Medtronic Technical Services at 800-723-4636 for further instruction. After the persistent flag is manually cleared, the above features will automatically be restored. Remaining longevity estimates will resume approximately 82 weeks after the date the flag is cleared. The issue is unlikely to result in clinical impact to the patient given the features listed above can be restored with an inclinic SmartSync programmer session.

Devices manufactured after July 2021 have already received the software update and are not susceptible to the described behavior. Refer to Appendix A (below) for details on how to identify which Cobalt/Crome devices have already received the update.

#### **Patient Management Recommendations:**

We realize that each patient requires unique clinical considerations. In consultation with our Independent Physician Quality Panel (IPQP), Medtronic recommends continuing normal follow-up frequency per local clinic protocol.

Once the software is installed on a SmartSync tablet, please follow these recommendations:

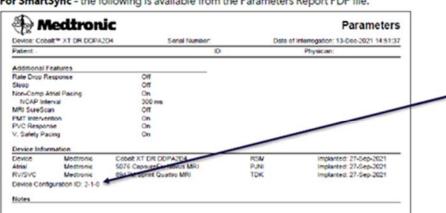
- Patients routinely seen in the clinic will automatically receive the update during their next interrogation
  using an updated SmartSync tablet (D00U005 version 6.0.3 or higher). No additional programming of the
  device is required.
- Patients followed remotely who do not have regularly scheduled in-clinic sessions should have their
  next follow-up session conducted in clinic using an updated SmartSync tablet (D00U005 version 6.0.3 or
  higher). No additional programming of the device is required.

Note: If a patient's device displays a grey longevity estimator bar with "???," the device may have a persistent session-active flag. Contact Medtronic Technical Services at 800-723-4636 for assistance.

#### **APPENDIX A**

#### How to Confirm a Patient's Device Has Received the Update?

Each device will display a Device Configuration ID after interrogation by an updated SmartSync tablet, or after transmitting to CareLink. The Device Configuration ID can be found via the Parameters Report as noted below:



For SmartSync - the following is available from the Parameters Report PDF file.

Image: Sample SmartSync-generated Parameters Report showing updated Device Configuration ID.

For CareLink - the following is available from the Transmission Details page by selecting 'More Reports' >



Image: Sample CareLink Parameters Report showing updated Device Configuration ID.

# How do I update my SmartSync<sup>™</sup> application software for the issue described in the April/May 2022 communication?

On any tablet, you can update to the most recent version for all applications resident on that tablet by simply connecting to the internet and either automatically discover if new software is available by launching the SmartSync App (see images below), OR manually discover if new software is available by navigating to the Software Information screen and perform "Check for Updates." Contact your local Medtronic representative or Medtronic Technical Services at 800-638-1991 if you need assistance.



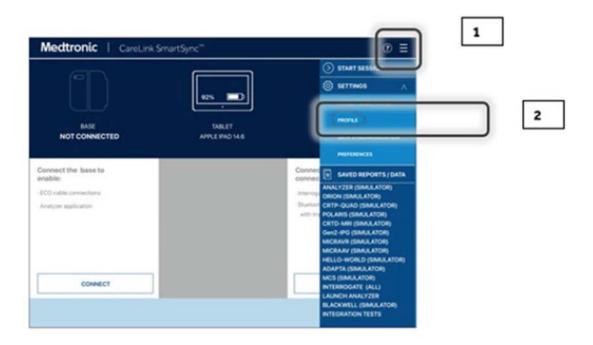
#### How do I confirm if a SmartSync tablet has already been installed with the updated software?

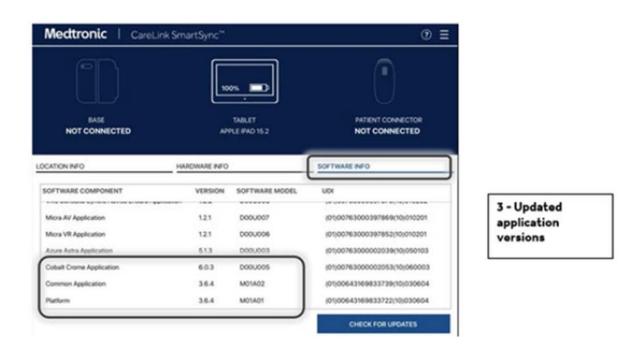
On any tablet, you can confirm the application software version for any device family by:

- 1. Selecting the MENU in the upper right corner of the SmartSync App [1]
- 2. Selecting PROFILE [2]
- 3. Selecting the SOFTWARE tab and scrolling through the SOFTWARE INFO list [3]

If the software update for this issue has already been installed, you will see the following versions listed:

- The Common/Platform application version is 3.6.4 (or higher)
- is 6.0.3 (or higher)





# A Subset of LINQ II ICMs Susceptible to Moisture Ingress

# LINQ II Insertable Cardiac Monitoring Systems

Original Date of Communication: January 2022

Medtronic has identified eight (8) LINQ II Insertable Cardiac Monitors (ICMs) distributed worldwide that may experience a loss of functionality. Medtronic has provided a communication to the eight healthcare professionals following a patient implanted with one of these ICMs.

#### **Issue Description:**

Medtronic has identified eight (8) LINQ II ICMs that may be susceptible to moisture ingress that could cause a loss of functionality prior to the recommended replacement time (RRT). Loss of functionality could result in the ICM failing to transmit and collect data. Potential harms include those associated with the risk of a delayed medical intervention, a missed diagnosis, or an explant procedure. Through 05-JAN-2022 there have been zero (0) complaints or harms reported as a result of this issue.

Serial Number	GTIN
RLB035341G	00763000060374
RLB051224G	00763000060374
RLB059666G	00763000060374
RLB061064G	00763000060374
RLB061812G	00763000060381
RLB066367G	00763000060374
RLB091638G	00763000060374
RLB122769G	00763000554002

#### **Patient Management Recommendations:**

- For patients that are monitored on CareLink, LINQ II ICMs are designed to transmit nightly. When a
  transmission is not sent for 14 consecutive nights, the ICM will appear on the Disconnected Monitor
  list . If the ICM appears on this list, please contact Medtronic Technical Services for further assistance
  by calling < U.S. 1-800-929-4043>.
- Disconnected Monitors can be viewed from the Medtronic CareLink Network home page, under "Manage My Patient Views."
- For patients not on CareLink, where more frequent in-clinic office visits are not an acceptable option for monitoring the patient, ICM replacement may be appropriate. Also consider whether enrolling the patient on CareLink is an option. Contact Medtronic Technical Services for assistance by calling < U.S. 1-800-929-4043>.

# Procedure Education Brief: Micra TPS Implant

#### Micra TPS devices

Original Date of Communication: November 2021

#### Overview

This Medtronic Procedure Education Brief provides a reminder of specific implant procedure safety recommendations included in the current labeling for Micra™ VR and Micra™ AV Transcatheter Pacing System (TPS) specifically from the Micra Instructions for Use (IFU) and the Micra implanter training program. Following instructions provided in the IFU and implanter training can reduce the risk of cardiac perforation, especially considerations for delivery system steering, repositioning the device, and patient selection.

#### Micra IFU and Implant Procedure Training

The Micra IFU is available on the Medtronic electronic manuals website (<a href="https://manuals.medtronic.com/manuals/main/region">https://manuals.medtronic.com/manuals/main/region</a>). Implanter training material for implanters who have attended training, and been certified to implant Micra TPS, can be found on the Medtronic Academy website (<a href="https://www.medtronicacademy.com/products/micra-transcatheter-pacing-systems-overview-and-training">https://www.medtronicacademy.com/products/micra-transcatheter-pacing-systems-overview-and-training</a>). These instructional materials provide recommendations that limit implant complications such as:

- patient selection considerations to minimize perforation risk
- steering the delivery system with the use of fluoroscopy
- identifying implant location at the right ventricular septum with the use of contrast-enhanced fluoroscopy
- confirming position on the septum with contrast-enhanced fluoroscopy prior to deployment
- · considerations for repositioning the device
- ensuring attending staff are prepared to manage pericardial effusion and tamponade, including immediate access to echocardiography equipment and availability of a pericardiocentesis kit
- recognizing clinical signs and symptoms of pericardial effusion and tamponade in order to minimize clinical response time
- preparedness for cardiac surgical intervention

#### Micra Safety and Effectiveness Data

On 17 November 2021, the US FDA posted a Letter to Healthcare Providers (*Leadless Pacing Systems: Risk of Major Complications Related to Cardiac Perforation During Implantation - Letter to Health Care Providers*) reminding physicians about the rare but possible risk of cardiac perforations associated with leadless pacemaker implantation. They reiterated the specific recommendations from Medtronic Micra implant training and IFU (reviewed above). This communication can be found here: <a href="https://www.fda.gov/medical-devices/medical-device-safety/letters-health-care-providers">https://www.fda.gov/medical-devices/medical-device-safety/letters-health-care-providers</a>.

While regulatory agencies and Micra implanters are aware that cardiac perforation is a known risk, the FDA Letter to Healthcare Providers included data for which implanters may be seeking additional context. The letter indicated that risk of cardiac perforation between transvenous pacing implants and Micra implants are similar, and that Micra implant complication rates are within expectations. The letter also indicated that in some scenarios, when a perforation occurs with a Micra implant procedure, the severity of the perforation complications can be higher than when a perforation occurs with a transvenous implant procedure.

Data from our Global Complaint Handling database suggests that the Micra rate of perforation is 0.6% and the rate of perforation related death is 0.13% out of over 100,000 implants worldwide. The Micra real-world perforation rate is in-line with, or lower than, the perforation rate observed in pre-market or post-market clinical studies<sup>1</sup>.

Since Micra received pre-market approval in 2016, Medtronic has continuously monitored its safety and effectiveness. Multiple studies have shown that Micra has a high rate of implant success (exceeding 99%)<sup>2,3</sup>. Additionally, in the global Micra Investigational Device Exemption (IDE) Trial, Micra has been shown to reduce the risk for major complications compared to transvenous implants (through 12-months) by 48%<sup>2</sup>, and in the global Micra Post Approval Registry by 63%<sup>3</sup>.

Medtronic is further assessing the outcomes of Micra in the Micra Coverage with Evidence Development (CED) Study, which is a continuously enrolling, observational, cohort study evaluating complications, utilization, and outcomes of Micra.

Recent publications from the Micra CED Study in July 2021<sup>4</sup> and November 2021<sup>5</sup> based on 5,746 Micra patients and 9,622 with contemporaneously implanted transvenous single-chamber pacing patients show that at time of implant, Micra patients tend to be sicker than the transvenous single-chamber pacemaker population. Micra patients have a higher comorbidity burden as measured by the Charlson comorbidity index (5.1 vs 4.6, P<0.001) and a higher rate of end stage renal disease (12.0% vs 2.3%, P<0.001)<sup>4</sup>. Acute and longer-term outcomes reported in these publications are shown in the table below.

Measure	Unadjusted Results	Results Adjusted for Patient Medical History
	(Micra vs Transvenous-VVI)	(Micra vs Transvenous-VVI)
Acute (30-day) device-related complications including dislodgement, infection, pocket complications <sup>4</sup>	1.4% vs 2.6% (P<0.001)	1.4% vs 2.5% (P<0.001)
Total acute (30-day) complications <sup>4</sup>	8.4% vs 7.3%(P=0.02)	7.7% vs 7.4% (P=0.49)
Cardiac perforation/effusion⁴	0.8% vs 0.4% (P<0.001)	0.8% vs 0.4% (P<0.001)
30-day all-cause mortality⁵	4.4% vs 3.8% (P=0.10)	4.0% vs 4.4% (P=0.60)

2-year reintervention rate⁵	3.0% vs 4.8% (P=0.006)	3.1% vs 4.9% (P=0.003)
2-year chronic complications⁵	4.9% vs 6.5% (P<0.001)	4.6% vs 6.5% (P<0.001)
2-year all-cause mortality <sup>5</sup>	34.0% vs 31.6% (P=0.002)	31.4% vs 32.5% (P=0.37)

Medtronic monitors and evaluates product performance and publishes device performance data on our product performance website <a href="http://productperformance.medtronic.com">http://productperformance.medtronic.com</a>. In addition, Medtronic continues to collaborate with physicians and regulatory agencies to improve patient outcomes and clinical experience as part of our dedication to patient safety and product effectiveness.

<sup>&</sup>lt;sup>1</sup> Micra IDE: 1.8% (13/726), Micra post-approval registry 0.8% (15/1811), Micra Coverage with Evidence Development 0.8% (47/5746)

<sup>&</sup>lt;sup>2</sup> Reynolds et al. *NEJM* 2016; 374(6): 533-541.

<sup>&</sup>lt;sup>3</sup> El-Chami et al. *Heart Rhythm* 2018; 15(12): 1800-1807.

<sup>&</sup>lt;sup>4</sup> Piccini et al. *JAMA Cardiology* 2021; 6(10): 1187-1195.

<sup>&</sup>lt;sup>5</sup> El-Chami et al. *EHJ* 2021; ePub ahead of print

# Software Update - SmartSync Error Message on Device Interrogation

CareLink SmartSync™ Device Manager supporting Cobalt™ and Crome™ ICDs and CRT-Ds

Original Date of Communication: October 2021

#### **STATUS UPDATE - JUNE 2022**

Through 09 June 2022, Medtronic has confirmed 31 reports of a software interrogation failure due to this issue out of approximately 82,261 devices distributed worldwide (0.038%). No permanent patient harms have occurred due to this issue.

This advisory has been addressed through release of new software to correct the interrogation error. After installing software application D00U005 version 5.0.0 (or higher) on all SmartSync tablets in your facility, this interrogation failure will no longer occur. No programming or reprogramming of devices is required. Clinicians may update their SmartSync App by connecting their tablet to the internet and accepting the update. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating SmartSync tablets in your account. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - OCTOBER 2021**

This communication provides notice of a software update for CareLink SmartSync<sup>TM</sup> Device Managers (SmartSync) to correct the potential for a small number of SmartSync interrogation sessions, or CareLink network transmissions to fail due to a software error. The issue described below can only occur with Medtronic Cobalt<sup>TM</sup> and Crome<sup>TM</sup> implantable cardioverter defibrillators (ICDs), and cardiac resynchronization therapy defibrillators (CRT-Ds) when the *current* session data includes diagnostic episodes with a specific type of VT/VF therapy sequences.

Please install **application software D00U005 version 5.0.0** (or higher) on all SmartSync tablets in your facility. This software update ensures SmartSync tablets will interrogate all episode and data types for all programmer sessions. No programming or reprogramming of devices is required.

#### **ISSUE DETAILS**

With prior software versions, a small number of SmartSync interrogation sessions, or CareLink network transmissions may fail for Cobalt or Crome devices when the *current* session diagnostic data includes any VT/VF episode type with multiple therapy sequences and three or more data recording suspensions. For these specific episodes, the software is unable to decode and process the data. SmartSync will display a message indicating an "Unexpected error occurred", and the application software requires restarting. Within CareLink, the current transmission processing may fail, and the information will not be viewable. For both of these scenarios Medtronic Technical Services can assist clinicians with retrieving stored device information for the failed transmission.

Through 24 Sep 2021, Medtronic has confirmed 22 reports of a software interrogation failure due to this issue out of approximately 48,700 devices distributed worldwide (0.045%). No permanent patient harms have occurred.

No device operations are affected by the software error. All device features and therapies continue to operate as programmed. Risks associated with an interrogation failure are potential for unnecessary device replacement, and/or delays in patient care due to missed Care Alerts, or inability to access stored device diagnostic information until a SmartSync tablet with the updated software is located, and a new session can be established.

The SmartSync software release D00U005 version 5.0.0 is available for immediate download on to all tablets. (Software availability varies by geography.) A CareLink software update is anticipated to be released in mid-2022.

#### PATIENT MANAGEMENT RECOMMENDATIONS

We realize that each patient requires unique clinical considerations. Medtronic recommends physicians follow normal clinical practices given these devices will continue to operate as programmed:

- If a failure to interrogate a Cobalt or Crome device occurs with a SmartSync programmer, confirm that the SmartSync application software has been updated to D00U005 version 5.0.0 (or higher). Contact your Medtronic representative or Tachy Technical Services at 800-723-4636 for assistance with retrieving the session data.
  - Note: Cobalt and Crome devices are only supported by the SmartSync programmer; these devices are not supported by the Model 2090 and Encore programmers.
- If a CareLink transmission is attempted, but the transmission is not viewable on the CareLink network (i.e., the transmission is missing from the transmission list for the patient), contact Medtronic Technical Services at 800-723-4636 for assistance. This team can help with retrieving the transmission data and/or provide additional troubleshooting guidance that may be needed. Missing transmissions can occur due to connectivity or other issues and may be unrelated to the software decode error described in this letter.

# Reveal LINQ with TruRhythm - Brady & Pause Detections Disabled Following Electrical Reset

# Reveal LINQ with TruRhythm Insertable Cardiac Monitoring Systems

Original Date of Communication: June 2021

#### **STATUS UPDATE - JUNE 2022**

This advisory is being addressed via a software update. Medtronic CareLink (2090) and Encore (29901) Programmer software, SW026 version 8.3, is available to correct a low rate of occurrence issue with Reveal LINQ ICMs (0.049%) where Brady and Pause detections are disabled following a partial electrical reset.

Reveal LINQ ICMs that are interrogated in-office with an updated 2090 or Encore programmer are no longer susceptible to this issue. This corrective fix to the device cannot be delivered with the Reveal LINQ $^{\text{TM}}$  Mobile Manager (LMM). Until the update is installed, future partial electrical resets may disable Brady and/or Pause detections as described in the June 2021 communication.

Note: The immediate availability of the software release is specific to countries that follow FDA approval, or that do not require software to be regulated. Release timing may differ for other geographies including those that require CE Mark approval. Check with your local Medtronic representative to determine if the software update is available in your region.

Please work with your local Medtronic Representative to update all 2090 and Encore device programmers. In addition, Medtronic requests you follow the below patient management recommendations:

#### **Patient Management Recommendations:**

- Reveal LINQ ICMs with <u>a confirmed partial electrical reset</u> will receive the corrective fix for this issue immediately by the device clinician completing the following steps:
  - Interrogate the ICM with an updated 2090 or Encore programmer (software application SW026 version 8.3). The corrective fix is automatically installed during initial interrogation. To confirm an ICM has successfully received the update, refer to Appendix A.
  - 2. Per the Instructions for Use (IFU), following any electrical reset, verify ICM parameters are set appropriately for the patient and reprogram if necessary.
- For Reveal LINQ ICMs that have <u>not</u> experienced a partial electrical reset, an update will occur during the
  next in-clinic visit in which an updated Model 2090 or Encore programmer installed with software
  application SW026 version 8.3 (or higher) is used to interrogate the ICM. Partial electrical resets will
  disable Brady and/or Pause detections as described in the June 2021 communication until the update is
  installed on to the patient's ICM.
  - For patients who are actively followed on CareLink, continue routine monitoring for CareAlerts and verify notification settings for electrical resets.

- o Per the IFU, notify your Medtronic representative if an electrical reset occurs. If a partial electrical reset is confirmed, the patient's ICM will require reprogramming.
- During the programmer session, the corrective fix will be installed automatically.

#### **ORIGINAL COMMUNICATION - JUNE 2021**

This notice is to inform you that Reveal LINQ with TruRhythm ICMs that undergo a partial electrical reset appear to be programmed "ON," but are no longer able to detect and report Brady and Pause events to clinicians. Medtronic estimates that 0.049% of Reveal LINQ with TruRhythm ICMs have experienced a partial electrical reset resulting in the inability to detect Brady and Pause events. While there is a potential for underreporting due to lack of awareness that an electrical reset has occurred, there have been zero (0) serious or permanent harms or deaths reported as a result of this issue. After a partial electrical reset, these Brady and Pause episode types will not be reported to the clinician.

- Currently implanted/distributed Reveal LINQ with TruRhythm ICMs will receive a future software update
  to correct this issue delivered via the Model 2090 and Encore™ programmers. The corrective fix is
  anticipated to be available late calendar year 2021 (U.S.). Availability of the software will be
  communicated once Medtronic has obtained the necessary regulatory approvals.
- There will be an update for future manufactured Reveal LINQ with TruRhythm ICMs, which is anticipated to be available in the U.S. October 2021. Medtronic will inform physicians once this manufacturing update is implemented into newly manufactured Reveal LINQ with TruRhythm ICMs.

#### **ISSUE DESCRIPTION**

Medtronic has identified that Reveal LINQ with TruRhythm ICMs that undergo a partial electrical reset appear to be programmed "ON," but are no longer able to detect and report Brady and Pause events. A partial electrical reset is normal behavior that can occur when the device detects a possible issue with the device software. However, an error in the partial electrical reset implementation is causing this unintended behavior.

All Reveal LINQ with TruRhythm ICMs currently in distribution are susceptible to this issue. Through 10 May 2021, Medtronic has received 87 complaints related to an electrical reset. The projected rate of a Reveal LINQ with TruRhythm ICM experiencing a partial electrical reset that results in the inability to detect Brady and Pause events is 0.056% at 36 months. Complaint data suggests the majority of electrical resets were associated with Electromagnetic Interference (EMI) due to cardioversion or electrocautery. Potential harms include those associated with the risk of a delayed medical intervention or missed diagnosis for Brady and Pause events, and an explant procedure.

If a partial electrical reset occurs, CareLink™, Model 2090 and Encore programmer software and Reveal LINQ™ Mobile Manager (LMM) will continue to indicate that detection parameters are "ON;" however, Brady and Pause events will not be automatically collected. The Patient Assistant (Patient Activator) will continue to function to manually trigger ECG collection, store the tracing, and mark symptoms.

Tachy and AT/AF detections are not affected by a partial electrical reset.

#### **HOSPITAL RISK MANAGER ACTIONS (U.S. CUSTOMERS ONLY)**

- Please share this notification with the Cardiology and cardiac monitoring departments,
   Pacemaker/Device Clinic leadership, and physicians who implant or manage patients with LINQ II insertable cardiac monitors (ICMs).
- 2. Complete the enclosed Confirmation Form and email to RS.CFQFCA@medtronic.com

#### PATIENT MANAGEMENT RECOMMENDATIONS

**If an electrical reset has never occurred,** all detection criteria are being monitored and recorded as programmed. Continue with normal follow-up per local clinic protocols for these patients.

#### Identifying if an electrical reset has occurred:

For patients who are actively followed on CareLink in the U.S: During our investigation of this issue, we identified patients whose device showed evidence of a partial electrical reset as of 10 May 2021. For those clinicians with identified patients, a supplemental letter was provided. If you have not received a supplemental letter, then none of your patients who are actively transmitting on CareLink were identified as having a recorded electrical reset event during our investigation.

All patients, including those on CareLink, should be carefully monitored for reports of an electrical reset condition. Follow instructions below.

- During in person or remote follow-up: If a device experiences an electrical reset, clinicians will be
  informed via programmer pop-up or CareLink display message. Actively monitor for these notifications
  at each patient follow-up, and contact Medtronic Technical Services should you receive an alert. Note:
  Once cleared, electrical reset notifications are no longer accessible.
- **Retroactively:** Review the Brady lifetime episode counter from the most recent session report (CareLink or in-office). If a report is not available, consider scheduling a follow-up for each patient being monitored for Brady or Pause events. Review the Brady lifetime episode counter:
  - o If the lifetime count for Brady is non-zero, a partial electrical reset has **not** occurred.
  - If the lifetime count for Brady is zero, and the Brady detection parameter indicates it is "ON," a partial electrical reset <u>may</u> have occurred. Contact Medtronic Technical Services for assistance by emailing RS.LINQElectricalResetFCA@medtronic.com (U.S.) OR calling 1-800-929-4043 (U.S.).

#### Patients with a confirmed partial electrical reset:

- Medtronic medical staff, in consultation with our Independent Physician Quality Panel, recommends
  against device replacement for patients being monitoring for Tachy or AT/AF; continue normal patient
  follow-up.
- When monitoring for Brady or Pause events, it is important to note that the Patient Assistant (Patient Activator) will continue to manually mark symptoms even after a partial electrical reset. Patient-activated recordings are not impacted by this issue. If patients require monitoring for Brady and/or

Pause events, and it is not acceptable to wait for the software update to become available (see details below), consider device replacement. Recognize that exposure to EMI could introduce this issue for new device implants that occur before the manufacturing update is implemented anticipated in the U.S. in October 2021.

• As a reminder, per the Reveal LINQ with TruRhythm ICM's Instructions for Use, contact Medtronic anytime an electrical reset occurs.

#### **FUTURE SOFTWARE UPDATE AVAILABILITY**

Medtronic is developing a programmer-delivered software update to correct this issue for Reveal LINQ with TruRhythm ICMs currently implanted or in distribution. Anticipated availability in the U.S. is late calendar year 2021; Medtronic representatives will inform you of the availability and work with you to install the software onto clinic and hospital 2090 and Encore programmers. LMM application software will be unable to deliver the software update for this issue. In order for patients with Reveal LINQ with TruRhythm ICMs to receive the update, the device will need to be interrogated with an updated 2090 or Encore programmer.

#### **APPENDIX A**

# Reveal LINQ™ with TruRhythm™ Insertable Cardiac Monitoring Systems

Brady & Pause Detections Disabled Following Partial Electrical Reset

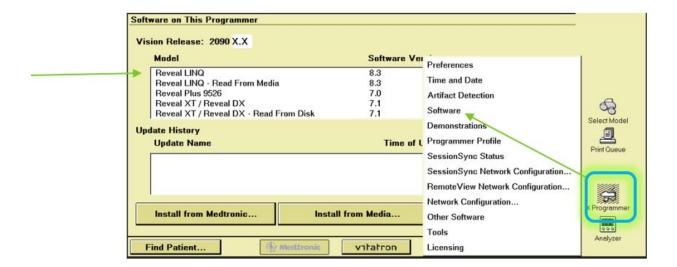
Software Update Available

# How do I update my Model 2090 and Encore programmers with the Reveal LINQ with TruRhythm software described in the November 2021 communication)?

Software update SW026 version 8.3 can be installed onto all Model 2090 or Encore programmers through either the Medtronic Software Distribution Network (SDN) or via a USB. Medtronic representatives will work with you to install the software.

# How do I confirm if a Model 2090 or Encore programmer has already been installed with the updated software (SW026 v8.3)?

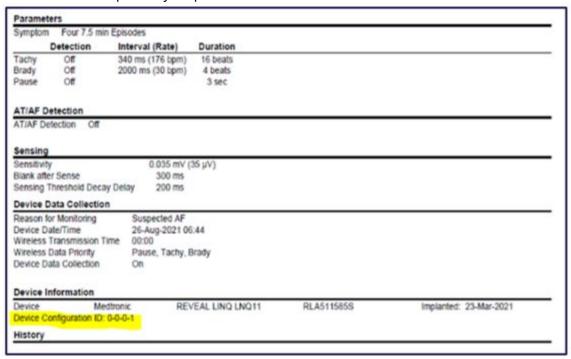
From the *Find Patient* screen on the programmer, Tap the Programmer Icon, select "Software," and scroll through the list of installed applications to find Reveal LINQ Software Version 8.3.



#### How do I confirm if a patient's Reveal LINQ ICM has received the software update?

Clinicians can confirm if a patient's ICM has received the software update by verifying the Device Configuration ID via a 2090 or Encore programmer (LMM will not display the Configuration ID). To locate the Device Configuration ID, enter a follow-up session and Print the Parameters Report. All Reveal LINQ ICMs that have received the software update will have their Configuration ID ending in a "1" (e.g. X-X-X-1).

**NOTE**: The Reveal LINQ Device Configuration ID can be viewed on CareLink beginning January 2022 after a manual transmission is completed by the patient.



# LINQ II - Brady, Pause and PVC Detections Disabled Following Electrical Reset

# LINQ II Insertable Cardiac Monitoring Systems

Original Date of Communication: June 2021

#### **STATUS UPDATE - JUNE 2022**

Medtronic implemented a manufacturing update to all newly manufactured LINQ II ICMs released into distribution to prevent a partial electrical reset from disabling Brady, Pause and PVC event detection.

Updated LINQ II ICMs can be identified, before being implanted, by the GTIN that is printed under the barcode on the box. The new U.S. LINQ II GTIN ends with "002."

As a reminder, unused LINQ II devices manufactured prior to June 2021 were requested to be returned to Medtronic per the Original advisory (dated June 2021) – these devices cannot be updated in the field and will continue to be susceptible to the issue.

#### **ORIGINAL COMMUNICATION - JUNE 2021**

This notice is to inform you that LINQ II insertable cardiac monitors (ICMs) that undergo a partial electrical reset appear to be programmed "ON," but are no longer able to detect and report Brady, Pause and PVC events to clinicians. Medtronic estimates that 0.21% of LINQ II ICMs have experienced a partial electrical reset resulting in the inability to detect Brady, Pause and PVC events. While there is a potential for underreporting due to lack of awareness that an electrical reset has occurred, there have been zero (0) serious or permanent harms or deaths reported as a result of this issue. After a partial electrical reset, these Brady, Pause and PVC episode types will not be reported to the clinician.

- A correction for currently implanted LINQ II ICMs is not available.
- We are requesting that hospitals quarantine all LINQ II ICMs on hospital shelves. Physicians should cease
  implanting any remaining LINQ II ICMs that may remain in shelf stock and return any unused product to
  Medtronic.
- There will be an update for future manufactured LINQ II ICMs, which is anticipated to be available in the U.S. July 2021.

This letter contains a description of the information known to date and patient management recommendations.

#### ISSUE DESCRIPTION

Medtronic has identified that LINQ II ICMs that undergo a partial electrical reset appear to be programmed "ON," but are no longer able to detect and report Brady, Pause, and PVC events. A partial electrical reset is normal behavior that

can occur when the device detects a possible issue with the device software. However, an error in the partial electrical reset implementation is causing this unintended behavior.

All LINQ II ICM devices currently in distribution are susceptible to this issue. Through 10 May 2021, Medtronic has received 37 complaints related to an electrical reset. The projected rate of a LINQ II ICM experiencing a partial electrical reset that results in the inability to detect Brady, Pause, and PVC events is 0.73% at 36 months. Complaint data suggests the majority of electrical resets were associated with Electromagnetic Interference (EMI) due to cardioversion or electrocautery. Potential harms include those associated with the risk of a delayed medical intervention or missed diagnosis for Brady, Pause, and/or PVC events, and an explant procedure.

If a partial electrical reset occurs, CareLink™ and Reveal LINQ™ Mobile Manager (LMM) will continue to indicate that detection parameters are "ON;" however, Brady, Pause, and PVC events will not be automatically collected. The Patient Assistant (Patient Activator) will continue to function to manually trigger ECG collection, store the tracing and mark symptoms.

Tachy and AT/AF detections are not affected by a partial electrical reset..

#### **HOSPITAL RISK MANAGER ACTIONS (U.S. CUSTOMERS ONLY)**

Medtronic is requesting customers with affected product on hand to take the following actions:

- 1. Identify and quarantine all unused affected Medtronic LINQ II ICMs.
- Return all unused affected product in your inventory to Medtronic. Contact Medtronic Customer Service
  at 1-800-848-9300 to initiate a product return. Your local Medtronic Representative can assist you as
  necessary in initiating the return of this product.
- Please share this notification with the Cardiology and cardiac monitoring departments,
   Pacemaker/Device Clinic leadership, and physicians who implant or manage patients with LINQ II insertable cardiac monitors (ICMs).
- 4. Complete the enclosed Confirmation Form and email to RS.CFQFCA@medtronic.com

#### PATIENT MANAGEMENT RECOMMENDATIONS

**If an electrical reset has never occurred,** all detection criteria are being monitored and recorded as programmed. Continue with normal follow-up per local clinic protocols for these patients.

#### Identifying if an electrical reset has occurred:

For patients who are actively followed on CareLink in the U.S: During our investigation of this issue, we identified patients whose device showed evidence of a partial electrical reset as of 10 May 2021. For those clinicians with identified patients, a supplemental letter was provided. If you have not received a supplemental letter, then none of your patients who are actively transmitting on CareLink were identified as having a recorded electrical reset event during our investigation.

All patients, including those on CareLink, should be carefully monitored for reports of an electrical reset condition. Follow instructions below.

- During in person or remote follow-up: If a device experiences an electrical reset, clinicians will be
  informed via programmer pop-up or CareLink display message. Actively monitor for these notifications
  at each patient follow-up, and contact Medtronic Technical Services should you receive an alert. Note:
  Once cleared, electrical reset notifications are no longer accessible.
- **Retroactively:**Review the Brady lifetime episode counter from the most recent session report (CareLink or in-office). If a report is not available, consider scheduling a follow-up for each patient being monitored for Brady, Pause or PVC events. Review the Brady lifetime episode counter:
  - o If the lifetime count for Brady is non-zero, a partial electrical reset has **not** occurred.
  - o If the lifetime count for Brady is zero, and the Brady detection parameter indicates it is "ON," a partial electrical reset <u>may</u> have occurred. Contact Medtronic Technical Services for assistance by emailing RS.LINQElectricalResetFCA@medtronic.com (U.S.) OR calling 1-800-929-4043 (U.S.).

#### Patients with a confirmed partial electrical reset:

- Medtronic medical staff, in consultation with our Independent Physician Quality Panel, recommends against device replacement for patients being monitoring for Tachy or AT/AF; continue normal patient follow-up.
- When monitoring for Brady, Pause, or PVC events, device replacement may be appropriate. Consider the following before device replacement:
  - It is important to note that the Patient Assistant (Patient Activator) will continue to manually mark symptoms even after a partial electrical reset. Patient-activated recordings are not impacted by this issue.
  - o If replacement is desirable, consider Reveal LINQ with TruRhythm<sup>™</sup> or alternative ICM. While Reveal LINQ devices are also are susceptible to this issue (see correction notice, Reveal LINQ<sup>™</sup> with TruRhythm<sup>™</sup> Insertable Cardiac Monitoring Systems Brady & Pause Detections Disabled Following Partial Electrical Reset), the observed rate is 0.049% for Reveal LINQ with TruRhythm ICMs compared to 0.21% for LINQ IIICMs.

**Note**: Implanted Reveal LINQ with TruRhythm ICMs have the ability to receive a future software update to correct this issue, which will be implemented via the Model 2090 and Encore<sup>™</sup> programmers, and is anticipated to be available in the U.S. late calendar year 2021.

- Future manufactured LINQ II devices will have a correction for this issue implemented during manufacturing pending regulatory approval of the corrective fix, but initial supply may be limited.
- As a reminder, per the LINQ II ICM's Instructions for Use, contact Medtronic anytime an electrical reset occurs.

# SmartSync Longevity Estimation Software Error

# Percepta MRI, Serena MRI and Solara MRI CRT-P devices

Original Date of Communication: April 2021

#### **STATUS UPDATE - JUNE 2022**

Through 9<sup>th</sup> June 2022, Medtronic has received 6 complaints from clinicians related to this issue. No permanent patient harms have been reported due to this issue.

This advisory has been addressed through release of new software to correct the longevity estimation error. Software updates are now available for SmartSync to correct this programmer display issue (Percepta™ /Serena™/ Solara™, D00U004, version 4.0 or higher). Clinicians may update their SmartSync App by connecting their tablet to the internet and accepting the update. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating SmartSync tablets in your account.Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - APRIL 2021**

This notice provides information on the availability of a software update for CareLink SmartSync<sup>™</sup> Device Managers (SmartSync) supporting Medtronic Percepta<sup>™</sup>, Serena<sup>™</sup>, Solara<sup>™</sup> cardiac resynchronization therapy pacemakers (CRT-P). This update addresses a SmartSync software issue that results in an overestimation in the displayed longevity of these devices during an approximate 6-month window of time before the device triggers its Recommended Replacement Time (RRT).

Through 09 March 2021, Medtronic has received four (4) complaints due to this issue. No adverse events or permanent patient harm have been reported related to this issue. If the software update is not applied to SmartSync, confusion regarding device longevity could lead to a missed RRT alert and a potential delayed intervention. Battery performance is not affected by this programmer display error. RRT will alert appropriately, and if patients are followed per standard clinical practice, the risk to patients is minimal.

The SmartSync software application uses measured battery voltage to detect when the device is within approximately 6 months of its RRT voltage threshold. It is during this period prior to RRT that the software incorrectly calculates remaining longevity due to an error in the software algorithm.

An overestimation error only occurs when the device is interrogated with SmartSync and the device is within approximately 6 months of its RRT indicator. Correct remaining longevity estimates will be reported through interrogations done via a Model 2090 or Encore programmer, and through CareLink remote monitoring transmissions. Note, other devices supported by SmartSync are not affected by this error.

Software updates are now available for SmartSync to correct this programmer display issue (Percepta™/Serena™/Solara™, D00U004, version 4.0). Clinicians may update their SmartSync App by connecting their tablet to the internet

and accepting the update. Based on your facility's needs and accessibility, once the software is available, a Medtronic Representative or authorized personnel may assist with updating SmartSync tablets in your account.

Once updated, SmartSync longevity estimates for these devices will no longer be affected by this issue. No change in patient management is necessary. There is no need to schedule patients to come in before their next regularly scheduled follow-up visit. The patient's device does not require an update.

# Unipolar Longevity Estimation Software Error

# Azure/Astra DR and SR Pacemakers and Percepta/Serena/Solara CRT-Ps

Original Date of Communication: April 2021

#### **STATUS UPDATE - JUNE 2022**

Through 9<sup>th</sup> June 2022, Medtronic has received 29 complaints from clinicians related to this issue. No permanent patient harms have been reported due to this issue.

This advisory has been addressed through release of new software to correct the longevity estimation error. Software updates are now available for Medtronic model 2090, Model 29901 Encore programmers, and SmartSync to correct this programmer display issue (see Table 1 below).

Medtronic 2090 and Encore Programmer Software Update	Medtronic SmartSync Software Update	
Azure™/Astra™ (SW030) v 8.2	Azure™/Astra™ (D00U003) v 4.0	
Percepta™/Serena™/ Solara™ (SW040) v 8.4	Percepta™/Serena™/ Solara™ (D00U004) v 4.0	

Table 1: Software updates by device family and programmer

Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel will assist with installing software on programmers in your account. Until all SmartSync and Model 2090 and Encore programmers are updated to the version of software indicated in the table (or higher), a difference in longevity estimates may be displayed between programmers and the CareLink network due to this software error. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - APRIL 2021**

This notice is to inform you of the availability of software updates to address a potential inaccurate longevity estimate that may occur with the Azure<sup>TM</sup> and Astra<sup>TM</sup> family of pacemakers (IPGs) and the Percepta<sup>TM</sup>, Serena<sup>TM</sup>, Solara<sup>TM</sup> family of cardiac resynchronization therapy pacemakers (CRT-Ps). A longevity estimation error may occur in the early years of device life when a unipolar pacing vector is programmed in the right atrial (RA) lead and/or the right ventricular (RV) lead. No other device features or therapies are impacted. For devices programmed to bipolar pacing in both the RA and RV chambers, the longevity estimates are not affected by this issue.

Through 05 March 2021, Medtronic has received 13 complaints from clinicians related to this issue. No permanent patient harms have been reported due to this issue. If the software update is not applied to the programmer, confusion regarding device longevity could lead to a missed RRT alert and a potential delayed intervention. Battery performance is not affected by this programmer display error. RRT will alert appropriately, and if patients are followed per standard clinical practice, the risk to patients is minimal.

The longevity estimation error associated with unipolar pacing configurations occurs only in the first half of the device life (prior to 50% depletion of the battery). During this phase, the estimator algorithm utilizes lead impedance

as an input. When a unipolar pacing configuration is programmed, the algorithm incorrectly uses the bipolar lead impedance as input (rather than the appropriate unipolar pacing lead impedance). As a result, the algorithm will overestimate the remaining longevity during this phase. At all times, RRT, Elective Replacement Indication, and End of Service will accurately display on programmers – even if the software update has not yet been installed.

Software updates are now available for Medtronic model 2090, Model 29901 Encore programmers, and SmartSync to correct this programmer display issue (see Table 1 below).

Medtronic 2090 and Encore Programmer Software Update	Medtronic SmartSync Software Update	
Azure™/Astra™ (SW030) v 8.2	Azure™/Astra™ (D00U003) v 4.0	
Percepta™/Serena™/ Solara™ (SW040) v 8.4	Percepta™/Serena™/ Solara™ (D00U004) v 4.0	

Table 1: Software updates by device family and programmer

As of 26 March 2021, Medtronic CareLink network has been updated and will display correct longevity estimates for devices affected by this issue. Azure IPG and Percepta/Serena/Solara CRT-P patients remotely monitored via the MyCareLink Heart $^{\text{TM}}$  mobile app will automatically receive an updated longevity estimate on their mobile app with their next scheduled transmission or within 92 days of their last longevity update, whichever occurs first.

No change in patient management is necessary. Per labeling, the RRT notification can be used to identify when device replacement should be scheduled. There is no need to schedule patients to come in before their next regularly scheduled follow-up visit. The corrective fix for this error is implemented in programmers and the CareLink network. The patient's implanted device does not require an update.

Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel will assist with installing software on programmers in your account. Until all SmartSync and Model 2090 and Encore programmers are updated, a difference in longevity estimates may be displayed between programmers and the CareLink network due to this software error.

# Potential for Shortened RRT-to-EOS in Subset of ICDs and CRT-Ds

#### Subset of ICDs and CRT-Ds

Original Date of Communication: February 2021

#### **STATUS UPDATE - JUNE 2022**

As of June 9, 2022, approximately 264,132 devices susceptible to this issue are estimated to still be active worldwide. Observed rate of occurrence is 0.11% and projected rate for the affected population of devices remains 0.22%. Devices with higher pacing outputs and high pacing percentages (e.g., CRT-D devices) have the lowest probability of occurrence (refer to Appendix A of the original communication for further details – see below). No permanent patient harms have been reported due to this issue.

#### **ORIGINAL COMMUNICATION - FEBRUARY 2021**

In February 2021, Medtronic informed physicians of a potential issue for a subset of Implantable Cardioverter Defibrillators (ICDs) and Cardiac Resynchronization Therapy Defibrillators (CRT-Ds). Medtronic has identified that a small percentage of implanted cardiac devices, from a well-defined subset, may experience a shortened Recommended Replacement Time (RRT) to End of Service (EOS) interval following an earlier-than-expected RRT observation. The subset of ICDs and CRT-Ds affected by this issue were last implanted in February 2019 and manufactured with a specific battery design that is no longer being distributed.

We have received no reports of permanent harm to patients as a result of this issue.

Approximately 339,900 devices susceptible to this issue are estimated to still be active worldwide. Through 4 January 2021, confirmed events (observed rate 0.07%) have involved a rapid drop in battery voltage ranging from days to months, with unexpected RRT as one of the primary reported observations. For those devices in which RRT triggered earlier than expected, the median time from RRT to the EOS observation was 14 days. In a small number of the cases, no output/no telemetry was reported prior to device replacement. Medtronic projects approximately 0.22% of the affected device population may experience this issue during their service life.

The rapid depletion is caused by a latent shorting mechanism involving lithium plating, resulting from a thermal gradient between the anode and cathode components of the battery. **Devices with higher pacing outputs and high pacing percentages (e.g. CRT-D devices) have the <u>lowest probability of occurrence (refer to Appendix A – see below)</u>. Conversely, devices with low current drain (evidenced by a longer overall service time from implant to RRT) have a higher probability of experiencing this issue. Importantly, the probability of this issue developing is constant after approximately three years of service time.** 

#### **Patient Management Guidance**

We realize that each patient requires unique clinical considerations. In consultation with our Independent Physician Quality Panel (IPQP), Medtronic **recommends** the following:

- Continue normal follow-up per local clinical protocol.
  - o Recognize that patients who require significant pacing support and high voltage therapy have the lowest risk for this issue See Appendix A for additional details.
  - Where possible, take advantage of the CareLink™ home monitoring system and the wireless low battery voltage CareAlert.
  - The low battery voltage audible alert is shipped On with high-urgency tones; Remind
    patients to contact their clinic if they hear an audible alert, particularly since patients may be
    opting to delay clinic visits due to COVID-19 guidance.
  - o Inform a Medtronic Representative of any unexpected device behaviors.
  - Be aware that the inability to interrogate the device, or to transmit data, may be an indicator that the device has experienced this issue.
- If unexpected RRT is observed, prompt replacement of the device should occur commensurate with the underlying clinical situation of the patient:
  - For non-pacing dependent patients or for primary prevention ICD patients, replacement within 1 week of an unexpected RRT notification is recommended.
  - For pacing dependent patients, immediate replacement is recommended following an unexpected RRT notification.

Note: For all patients, this issue can also manifest as an unexpected change in the remaining longevity estimate that cannot be attributed to programming changes, or changes in use conditions.

Medtronic medical staff in consultation with the IPQP <u>recommends against prophylactic replacement</u> due to the low rate of occurrence and the low potential for permanent harm when prompt replacement occurs in response to an unexpected RRT.

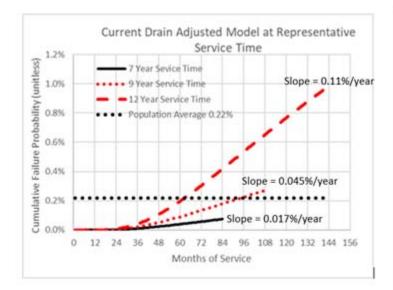
Patients and clinicians may determine if a specific device is affected by looking up the serial number on Medtronic's Product Performance website: http://wwwp.medtronic.com/productperformance/

#### **APPENDIX A**

The table below provides a comparison of sample use conditions and their associated, projected service time (Implant to Recommended Replacement Time), along with their cumulative and per-year risk of encountering rapid depletion due to a latent shorting mechanism in the battery. Devices with higher pacing outputs and high pacing percentages have the lowest probability of occurrence. There have been no reports of permanent harm to patients as a result of this issue.

# Probability (Risk per Year) of Rapid Depletion due to this Issue as a Function of Service Time

Projected Service Time * (based on sample programmed settings and use conditions)	Projected Risk per Year & Total Cumulative Risk at end of service time++	Notes/Example
12-year service time	0.11% per year, 0.98% cumulative	VR ICD patient with 0% pacing and no shocks delivered
10.25-year service time	0.070% per year, 0.50% cumulative	VR ICD patient with 50% pacing history and two (2) or fewer shocks per year
9-year service time	0.045% per year, 0.27% cumulative	DR ICD patient with little-to-no pacing history (e.g. 10%AP, 25%VP, and two (2) or fewer shocks per year)
8.25-year service time	0.033% per year, 0.18% cumulative	DR ICD patient with complete heart block (10% AP and 100% VP, and two (2) or fewer shocks per year)
7-year service time	0.017% per year, 0.075% cumulative	CRT-D patient with 15% AP, 90% RVP, 100% LVP, and two (2) or fewer shocks per year
* Assumes current drain remains stable throughout life of device (i.e.	++ Per annum risk of issue becomes constant after approximately 3 years	A output = 1.5V, 0.4ms, 500 ohms
No change in remaining longevity due to reprogramming or changes in use	e of service time. Cumulative risk = early risk plus annual risk over the projected service time.	RV output = 2.0V, 0.4ms, 500 ohms
conditions)		LV output = 2.5V, 0.4ms, 500 ohms
		Average pacing rate = 75 bpm



Cumulative Probability is the expected risk for a device to experience this issue between implant and end of service. When risk is evaluated for a device that has reached a service life beyond 3 years, the remaining risk can be estimated based on the yearly risk value shown.

The Population Average (0.22%) is the cumulative probability for the full subset of devices susceptible to this issue. This value takes into account expected longevity and patient mortality. Not all devices with projected service time of 12 years will be in service all 12 years.

#### Key Points:

Slope of the curve reflects the risk per year based on sample service times of 7, 9, and 12 years.

Slope (risk per year) is constant after approximately 3 years of service time.

# Device Programming Information - Setting VF ATP During Charging Therapy

# Cobalt™ XT, Cobalt and Crome™ ICDs and CRT-Ds

Original Date of Communication: September 2020

#### **STATUS UPDATE - JUNE 2022**

As of 10-Jun-2022, Medtronic has received 18 complaints (out of 82,261 devices sold worldwide) related to this issue. No serious adverse events have been reported.

This advisory has been addressed through release of new software to correct for this programming error. After installing software application D00U005 version 6.0.3 (or higher) on all SmartSync tablets in your facility, this behavior will no longer occur. Clinicians may update their SmartSync App by connecting their tablet to the internet and accepting the update. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating SmartSync tablets in your account. Refer to the original communication (below) for additional details.

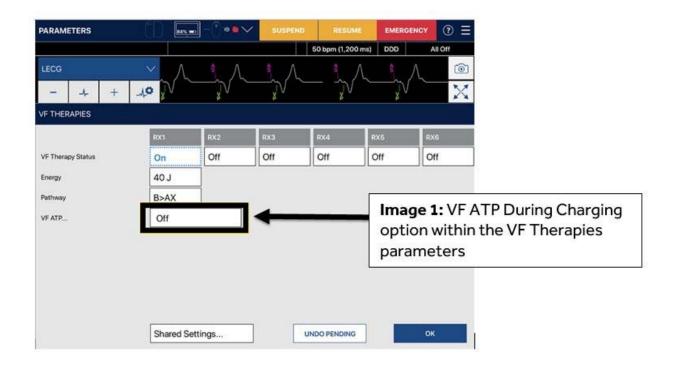
#### **ORIGINAL COMMUNICATION - SEPTEMBER 2020**

This communication provides information about the programming of *Ventricular Fibrillation Antitachycardia Pacing (VF ATP) During Charging*. When enabled, *VF ATP During Charging* allows the device to simultaneously deliver ATP therapy while charging to deliver a high-voltage VF therapy, if needed.

For Cobalt and Crome ICD and CRT-D devices, clinicians should confirm that the *VFATP* parameter has been set to the desired value. Depending on pre-implant programming sequences, the *VFATP* parameter may not be automatically enabled and may require manual programming (see Image 1 below). In prior generations of Medtronic devices, the *VFATP* parameter was automatically enabled with all VF therapies.

As of 21-Sept-2020, Medtronic has received one (1) complaint (out of 3,237 devices sold worldwide) related to this issue. No serious adverse events have been reported.

These devices will deliver all programmed high-voltage therapies as expected, regardless of the *VF ATP* parameter setting. Likewise, all device functions will operate as programmed. If the *VF ATP* is not enabled, there is risk for a high-voltage therapy to be applied for a Fast VT arrhythmia in the VF detection zone, which could have been treated with *ATP During Charging*.



#### **Clinician Actions**

We realize that each patient requires unique clinical considerations. With deference to those considerations, Medtronic recommends physicians follow normal clinical practices, including:

- At implant, as described in labeling, confirm the appropriate selection has been programmed for the VF ATP parameter.
- At routine follow-up, confirm that the *VF ATP* parameter is programmed to the desired setting for each patient.

# CFx Longevity Estimator Software Error - Software Updates Available June 2020

Subset of IPG, ICD, CRT-P, CRT-D, and Micra TPS devices - June 2020

Original Date of Communication: June 2020

#### **STATUS UPDATE - JUNE 2022**

This advisory has been addressed through release of several new software updates. The complete list of software applications available are listed in the table below. Medtronic representatives will work with local clinic and hospital staff to update programmers. Once a programmer has been updated with the version of software indicated in the table (or higher), the correct longevity estimate for the affected devices will be displayed.

Note that as of September 2020 the Medtronic CareLink Network was updated for this advisory. All longevity estimates displayed on CareLink reflect accurate estimates (based on programmed settings and use conditions recorded by the device).

Phase 1 – June 2020	Phase 2 – January 2021
Azure™/Astra™ (SW030) v 8.1	Viva™/Brava™/ Evera (SW016) v8.4
Serena <sup>™</sup> / Solara <sup>™</sup> / Percepta <sup>™</sup> (SW040) v 8.3  Visia AF <sup>™</sup> / Visia AF <sup>™</sup> MRI (SW035) v 8.2  Claria <sup>™</sup> / Amplia <sup>™</sup> / Compia <sup>™</sup> (SW034) v 8.4 (US	Evera <sup>™</sup> MRI/ Primo <sup>™</sup> MRI/ Mirro <sup>™</sup> MRI(SW033) v8.5 Micra <sup>™</sup> VR TPS (SW033) v8.2
Only)	Claria <sup>™</sup> / Amplia <sup>™</sup> / Compia <sup>™</sup> (SW034) v 8.5

Table 1:Device family updates by phases

Note: The availability of software releases is specific to countries that follow FDA and CE Mark approvals. Release timing may differ for other geographies. Check with your local Medtronic representative.

As of June 29, 2022, there have been 796 total complaints received related to the software displaying a lower-than-expected longevity estimate. Within the 796 complaints reported, no patient harm was reported, and 19 devices were prematurely explanted after observing an inaccurate longevity estimate.

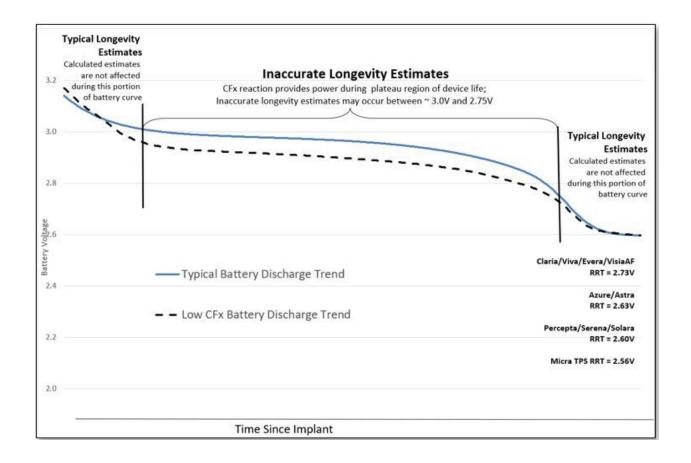
#### **ORIGINAL COMMUNICATION - JUNE 2020**

In October 2019, Medtronic identified the potential for Medtronic programmer and remote monitoring software applications to display an inaccurate remaining longevity estimate for a subset of implanted cardiac device models. This issue does not impact device functionality. Furthermore, the Recommended Replacement Time (RRT) remains an accurate indicator for device replacement.

Through September 18, 2019 there have been three (3) reported complaints and there have been no (0) serious adverse events or deaths.

The inaccurate longevity estimation is limited to a well-defined subset of devices manufactured between October 2018 and April 2019, and only occurs in the middle (plateau) phase of the device life, as illustrated in the graph below. Approximately 53,100 devices worldwide, out of 1.23 million distributed or sold from the identified device families, are susceptible to displaying inaccurate longevity.

The cause of the inaccurate longevity estimate is a slightly lower-than-typical discharge voltage during the plateau phase of the battery depletion curve (dashed line), compared to a typical voltage plateau (solid line), as illustrated in the graph below. During this plateau period, the Carbon Monofluoride (CFx) in the battery cathode is powering the device. Note, longevity estimates early after implantation and later in the device life are unaffected, as shown below. The battery remains within operating specifications.



The Independent Physician Quality Panel recommends routine follow up in accordance with standard practice for these devices, as RRT function is normal and the battery longevity is unaffected. There is no need to schedule patients to come in outside of their planned, scheduled visits due to this issue. The corrective fix is implemented in programmers, CareLink, and other systems which display device longevity. The patient's device does not require an update. Follow the steps below as applicable to your clinic or hospital. A local Medtronic Representative can assist in updating Model 2090/Encore programmers and SmartSync Device Managers in your facilities.

• Model 2090 and Encore™ Programmers

These programmers will require new software to be installed to correct the displayed longevity estimator error. The software applications and version are listed in Table 1 above and can be installed via Medtronic Software Distribution Network (SDN) or via secure USB.

• SmartSync™ Device Managers

These tablet-based programmers will require a software update to be installed via the internet - refer to Appendix A (below) for detailed instructions on how to download and install the updated application software.

Completion of programmer updates may be delayed due to COVID 19 pandemic-related facility restrictions. Based on your facility's needs and accessibility, Medtronic Representative or authorized personnel will work with your facility as requested to complete the updates. Customers with Paceart systems should contact their support team to ensure the latest device update is applied.

Note: Once a programmer is updated, the correct longevity estimate will display at the patient's next regularly scheduled clinic visit. Until all SmartSync Device Managers and Model 2090 and Encore programmers are updated, a difference in longevity estimates between programmers and CareLink Network-displayed longevitymay be observed.

#### APPENDIX A – UPDATING SMARTSYNC™ DEVICE MANAGER

Until all SmartSync Device Managers and Model 2090 and Encore programmers are updated, you may observe a difference in longevity estimates between these programmers and CareLink-displayed longevity.

## Updating Medtronic SmartSync™ Device Managers:

- 1) Connect tablet to internet and open the SmartSync App
  - The SmartSync App automatically checks for available updates each time it is opened.
- 2) If your tablet does not contain the most recent software, you will automatically receive a notification that a new version of the SmartSync App is available (3.2.01):
  - If pop-up messages appear with the option to "cancel" or to "update", select "update".

- Medtronic Managed Tablets: If the App closes, find the Medtronic App Catalog, and select "Install" to initiate the download.
- Customer Owned Tablets: If the App closes, navigate to the AirWatch App Catalog or App Store and select "Install" to initiate the download.
- If you do not receive a notification that a new version of the SmartSync App is available, skip to Step 3.
- 3) Once you confirm the newest version of the SmartSync App is on your tablet, re-open the SmartSync App.
  - The app will automatically provide pop-up notifications informing you if there are new versions of *device* software applications that must be installed (see table below).
    - Select CONTINUE for each pop-up window that appears. If you do not receive any pop-up notifications when you open the SmartSync App, then your tablet contains the most recent versions of all available software.

Device Family	SmartSync Application SW Version
Azure™/Astra™ DR and SR	D00U003, Version 3.2.02
Percepta™/Serena™/Solara™	D00U004, Version 3.2.02

# SmartSync Device Manager Telemetry Issue – Software Updates Available June 2020

Azure<sup>™</sup> pacemakers, and Percepta<sup>™</sup>, Serena<sup>™</sup>, Solara<sup>™</sup> CRT-pacemakers

Original Date of Communication: June 2020

#### **STATUS UPDATE - JUNE 2022**

As of 10 June 2022, Medtronic has received thirty-six (36) complaints due to this issue. No adverse events or patient harm have been reported.

This advisory has been addressed through release of new software. As described in the original advisory communication (June 2020), updates are available for the CareLink SmartSync Device Manager to address this issue. The SmartSync Device Manager software version 3.2.01 (or higher) can be obtained by connecting the tablet to the internet and accepting all application updates if/when prompted. A local Medtronic Representative can assist or advise your staff on the SmartSync update process as needed.

#### **ORIGINAL COMMUNICATION - JUNE 2020**

This communication provides notice on software updates available for CareLink SmartSync<sup>TM</sup> Device Managers supporting Medtronic Azure<sup>TM</sup> pacemakers, and Percepta<sup>TM</sup>, Serena<sup>TM</sup>, Solara<sup>TM</sup> cardiac resynchronization therapy pacemakers (CRT-P).

This update addresses a rare communication sequence during the first device interrogation with a SmartSync Device Manager that may result in the temporary suspension of some device features (i.e., battery measurements, Capture Management™, Atrial Lead Position Check™, EffectivCRT™ algorithms, and AdaptivCRT™). This rare interaction results in temporary suspension of automatic threshold testing and output adjustments, and suspension of autooptimization of CRT therapy. The issue is unlikely to result in clinical impact to the patient, and features are restored upon next programmer device interrogation or presence of a magnet.

As of 8 May 2020, Medtronic has received sixteen (16) complaints due to this issue. The predicted rate of occurrence for this issue is 0.03% on first interrogation of an Azure, Percepta, Serena, or Solara device with a SmartSync programmer. No adverse events or patient harm have been reported. Based on consultation with the Independent Physician Quality Panel and considering that the issue is unlikely to result in clinical impact to the patient, routine patient follow-up in accordance with standard practice is recommended.

Updates are available for the CareLink SmartSync Device Manager to address this issue. The SmartSync Device Manager software version 3.2.01 update can be obtained by connecting the tablet to the internet and requesting all

application downloads. The software update will modify the SmartSync Device Manager to prevent this issue from occurring; no patient actions are required.

A local Medtronic Representative can assist or advise your staff on the SmartSync update process as needed.

# Azure S DR Atrial Lead Position Check (ALPC) Incorrectly Enabled – Software Update Available June 2020

Subset of Azure™ S DR pacemakers

Original Date of Communication: June 2020

#### **STATUS UPDATE - JUNE 2022**

As of 10 Jun 2022, there have been eight (8) complaints reported due to the ALPC feature being enabled and over 45,000 devices distributed. No serious adverse events or patient harm have been reported.

This advisory has been addressed through release of new software to correct for the issue. Software application SW030 version 8.1 (or higher) must be installed on Model 2090 and Encore programmers to correct for this issue. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating programmers in your account. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - JUNE 2020**

This communication provides notice on a software update available for a subset of Azure™ S DR pacemakers manufactured prior to February 2020 to addresses an issue in which the Atrial Lead Position Check (ALPC) was incorrectly enabled in a subset of this device model. ALPC is intended to operate as an optional feature in device models that offer atrial anti-tachy pacing therapies (ATP). Model Azure S DR does not offer atrial ATP. This update will ensure that ALPC is inactivated in all Azure S DR devices. Device therapies and battery performance are not affected by this issue.

As of 11 May 2020, there have been seven (7) complaints reported due to the ALPC feature being enabled and over 45,000 devices distributed. ALPC has the potential to pace at the programmed pacing rate for approximately 5 minutes at high output during its nightly assessment. No serious adverse events or patient harm have been reported.

Currently, updates are available for CareLink SmartSync<sup>™</sup> Device Manager for this issue. The SmartSync Device Manager may receive software version 3.2.01 update by connecting the tablet to the internet. As of 4 June 2020, software application SW030 version 8.1 will be available via Medtronic Software Distribution Network (SDN) for Model 2090 and Encore programmers. In mid-June 2020, software application SW030 version 8.1 will be available via secure USB for Model 2090 and Encore programmers.

Completion of programmer updates may be delayed due to COVID 19 pandemic-related facility restrictions. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel will assist with installing software on programmers in your account. Once a programmer is updated, the ALPC feature will be automatically inactivated at the patient's next regularly scheduled interrogation if the device is in scope of this issue. There is no need to schedule patients to come in outside of their planned, scheduled visits due to this issue.

# Potential for Partial Reset During Programmer Interrogation

## Claria MRI, Amplia MRI, Compia MRI and CRT-Ds

Original Date of Communication: March 2020

#### Model

CareLink™ 2090 Programmer with Software Application SW034 versions 8.3 and 8.4

CareLink™ 29901 Programmer with Software Application SW034 versions 8.3 and 8.4

#### **STATUS UPDATE - JUNE 2022**

Medtronic has identified two versions of software that are susceptible to the one-time partial reset during programming interrogation – software applications SW034 version 8.3 and version 8.4. As documented in the original communication (March 2020), the risk for a partial reset on first interrogation with a programmer is approximately 2%. As of June 10, 2022, there are 451 complaints received due to this issue and zero (0) adverse events reported as a result of this behavior.

This advisory has been addressed through release of new software to correct for the issue. Software application SW034 version 8.5 (or higher) must be installed on Model 2090 and Encore programmers to correct for this issue. Based on your facility's needs and accessibility, a Medtronic Representative or authorized personnel may assist with updating programmers in your account. Refer to the original communication (below) for additional details.

#### **ORIGINAL COMMUNICATION - MARCH 2020**

This notice provides information regarding the potential for a one-time loss of diagnostic information due to a partial electrical reset that may occur for patients implanted with a Medtronic Claria MRI, Amplia MRI or Compia MRI Cardiac Resynchronization Defibrillator (CRT-D). Based on data available as of March 2020, the calculated occurrence rate of this one-time partial reset is approximately 2%. **Device therapy and programmed settings are not affected by a partial electrical reset.** 

A patient with a Claria MRI, Amplia MRI, or Compia MRI may experience a partial electrical reset when the patient has their device interrogated with a programmer that has been updated to software application SW034 version 8.3, and it is the **first** interrogation with this new software.

#### **Background Information**

Medtronic analysis identified that the 2% risk for a partial electric reset during the interrogation process is due to an uncommon scenario when a software update is installed simultaneously with routine critical memory scans. Should a reset occur, the clinician will be prompted to "Clear" the reset condition on the programmer (guidance to clear a partial reset is documented in the Instructions for Use for the above-named devices). When the "Clear" option is

selected, the programmer will automatically interrogate the device again, and will successfully write the software enhancement to the device memory. Importantly, 98% of download attempts will successfully complete without an electrical reset. Once the software update has been successfully installed into the device, the potential for a future partial reset due to this interaction no longer exists.

#### **Additional Details**

As documented in the Instructions for Use, a partial electrical reset will result in the loss of stored diagnostic information and episodes. The device longevity estimator will show an "initializing" status for the next seven (7) days, and Recommended Replacement Time (RRT) status will continue to function as normal. Device programmed parameters, and all functions including detection and therapies are maintained. All Claria MRI, Amplia MRI and Compia MRI CRT-D devices are updated with the new software when interrogated for the first time by a programmer with software application SW034 version 8.3.

Medtronic recommends continued routine management of your patients. We recognize that a one-time loss of stored device information may limit your ability to assess your patient's clinical status – particularly when an audible alert, symptoms or VF shock delivery has been reported. Please work with your Medtronic Representative to identify data management options that may be available to your clinic.

Performance Note: Potential for no output/no telemetry condition in subset of IPG and CRT-P products due to ceramic capacitor leakage pathway

Azure<sup>™</sup> and Astra<sup>™</sup> pacemakers, and Percepta<sup>™</sup>, Serena<sup>™</sup> and Solara<sup>™</sup> CRT-P

Original Date of Communication: May 2019

#### **STATUS UPDATE - JUNE 2022**

As of 10 June 2022, there have been a total of 25 confirmed events worldwide associated with this failure mode. No additional deaths, beyond the two deaths previously disclosed\*, have been reported since the October 2020 update. Confirmed events included reports of no output, premature depletion and electrical reset that reverted to VVI 65 operation. The range of events have occurred between 2 and 30 months post-implant.

Medtronic's ongoing monitoring of these failures have allowed us to improve long-term modeling projections for future device failures. The overall projected lifetime rate of occurrence for the remaining active devices manufactured with the original low voltage capacitor is projected to be 0.025%.

All products in distribution are unaffected. The specific low voltage capacitor susceptible to this issue was last used in manufacturing 01 June 2019. Patient management recommendations remain unchanged from the original posting (refer to the May 2019 text below).

\*Assessment for cause of death determined loss of pacing therapy could not be ruled out as a contributing factor.

#### **ORIGINAL COMMUNICATION - MAY 2019**

Medtronic has identified a rare but potentially serious failure mode in a population of Azure<sup>TM</sup> and Astra<sup>TM</sup> pacemakers, and Percepta<sup>TM</sup>, Serena<sup>TM</sup> and Solara<sup>TM</sup> cardiac resynchronization therapy pacemakers (CRT-P), manufactured with a specific multilayer ceramic capacitor. These devices continue to perform within reliability projections.

While inherently very reliable, a known failure mode of these capacitors is the potential for internal cracking that can be caused by thermal-mechanical stress during manufacturing. Under rare conditions, internal cracking within a capacitor may result in the development of a leakage pathway, causing high current drain and leading to rapid battery depletion. While the issue presents as rapid battery depletion, this is not a battery performance issue.

As of April 26, 2019, three complaints out of  $\sim$ 266,700 devices distributed worldwide since February 2017, have been received that included a no output /no telemetry scenario resulting from rapid battery depletion. Battery depletion due to this issue can range from several days to several weeks. One of these reported events contributed to a patient death. The three confirmed failures occurred within 9 months post implant. The projected rate for this issue is

0.0028%, with the most susceptible period for a leakage pathway to develop in the capacitor being the first 12 months post implant.

Based on the low predicted rate of failure and the recent implementation of process and component enhancements, Medtronic expects few, if any, additional events to occur. Medtronic, in consultation with our Independent Physician Quality Panel, does not recommend device replacement. Physicians should continue normal patient follow-up in accordance with standard practice, and where possible, continue to utilize the low battery voltage wireless CareAlert<sup>TM</sup> (shipped ON), together with remote monitoring via CareLink<sup>TM</sup> home monitor or the MyCareLink Heart<sup>TM</sup> mobile app. Per the instructions for use, at each follow-up, verify the status of the implanted system as well as the clinical effectiveness of the device. Pay attention to any unexpected changes in remaining longevity estimates or the inability to interrogate the device and/or transmit data.

Contact Medtronic Technical Services if you have concerns on a specific patient.

Brady Technical Services | rs.techservices@medtronic.com | 800-505-4636

## **Dual Chamber IPG Circuit Error**

## Adapta, Versa, Sensia, Relia, Attesta, Sphera, and Vitatron A, E, G, Q series

Original Date of Communication: January 2019

#### **STATUS UPDATE - JUNE 2022**

- In September 2019 Medtronic released several software updates to correct for this issue. These software applications are:
  - o For Adapta/Versa/Sensia IPGs Software model SW003 v8.2
  - o For Relia IPGs SW010 v8.2
  - o For Attesta/Sphera IPGs SW043 v8.2
  - o For Vitatron IPGs VSF20 v8.2 and FSF21 v8.2
- Once a device is interrogated by a programmer with the above-indicated software version or higher, any
  pacemaker programmed to a non-susceptible pacing mode, specifically to avoid a circuit error, may be
  reprogrammed to any pacing mode.
- Once a device is updated (update is installed onto devices via interrogation by a programmer with one of the above software applications), if the circuit error were to occur, the pacing cycle will automatically reset; this may be observed as a single dropped beat.
- As of June 10, 2022, 81,000 devices remain active out of an original population of 156,957 devices worldwide.

· ·	Number of Confirmed Advisory Related Events	•	Current Malfunction Rate (confirmed malfunctions over total population)
<b>156,957</b> Worldwide	<b>37</b> Worldwide	81,000 Worldwide	0.02% Worldwide

#### **ORIGINAL COMMUNICATION - JANUARY 2019**

#### **Product**

A subset of Medtronic dual chamber pacemakers distributed worldwide between 10 March 2017 and 7 January 2019 under the brand names Adapta<sup>™</sup>, Versa<sup>™</sup>, Sensia<sup>™</sup>, Relia<sup>™</sup>, Attesta<sup>™</sup>, Sphera<sup>™</sup>, and Vitatron<sup>™</sup> A, E, G, Q series may experience a circuit error that affects device functionality. Please note that not all devices within these brand names are affected by this recall. You may use the "Search for Information by Serial Number" tool on home page of this web site to determine if a specific device is affected.

#### **Advisory**

Devices in the affected subset, when programmed to a dual chamber mode with atrial-sensing, may experience a circuit error that affects device functionality. See Table 1 for modes that are susceptible to this circuit error. For this error to occur, a unique combination of events must take place while the device is processing an atrial-sensed event. If this error occurs, the device will be unable to provide pacing until a ventricular-sensed event (VS) is detected. Once a VS is detected, normal pacing functionality is restored immediately. If a VS is not detected, the device will withhold both atrial and ventricular pacing. In addition, until a VS is detected, the device will be unable to initiate a session with a programmer, initiate a session with a CareLink<sup>TM</sup> remote monitor, or respond to a

magnet. Single chamber and dual chamber pacing modes that do not sense atrial activity are not susceptible to this circuit error (see Table 1).

Table 1:Identification of modes susceptible/not susceptible to circuit error

Modes susceptible to circuit error	Modes NOT susceptible to circuit error
DDD, DDDR	VVI, VVIR
DDI, DDIR	DVI, DVIR
VDD	AAI, AAIR
ADI, ADIR	VOO, VOOR
VDI, VDIR	AOO, AOOR
ODO	DOO, DOOR
OAO	OVO
MVP - when operating in DDD, DDDR,	VVT, AAT
DDI or DDIR mode	

Through 4 January 2019, Medtronic is aware of four (4) reported occurrences in two (2) patients where a pause in pacing therapy was clinically apparent due to this circuit error. These reported events occurred in three (3) devices from a total of 156,957 devices sold worldwide. No deaths have been reported as a result of this issue.

Patient risk is determined by the patient's underlying cardiac rhythm and whether the device is in a susceptible pacing mode as described above. Through our analysis of this issue, Medtronic estimates that on average, a device in a susceptible pacing mode has a 2.8% chance per month of experiencing a pacing pause of 1.5 seconds or longer. Risk is minimized in patients who have an escape rhythm adequate to prevent syncope during a loss of ventricular pacing, since a VS restores full device functionality. No risk of a pause due to this circuit error exists for patients programmed to a non-susceptible pacing mode.

The root cause for this issue is related to a design change to an integrated circuit in a subset of devices that were distributed between 10 March 2017 and 7 January 2019.

Medtronic is developing a software update that can be installed into affected devices to correct this issue. Medtronic estimates submission of this software update to regulatory agencies by the 2<sup>nd</sup> half of 2019. Upon subsequent regulatory approval, Medtronic will notify customers of its availability. Until that time, Medtronic is providing the patient management recommendations described below and depicted in Appendix A.

#### **Patient Management Recommendations**

We realize that each patient requires unique clinical considerations. In consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic recommends programming to a non-susceptible pacing mode as the primary mitigation for patients implanted with an affected device until the software update has been installed. Specific patient risk assessment and programming recommendations are outlined below and provided in Appendix A.

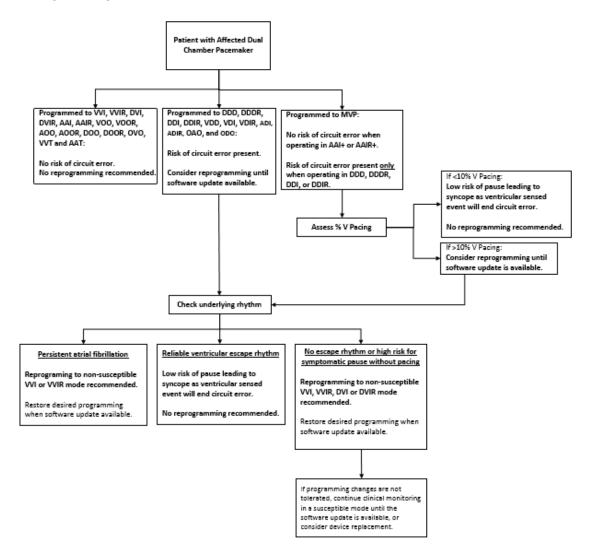
- For patients whose device is programmed to a non-susceptible mode (see Table 1), no action is needed at this time. Continue routine clinical monitoring.
- For patients whose device is programmed to a susceptible mode and are continually in persistent atrial
  fibrillation, reprogramming the device to the non-susceptible VVI or VVIR mode is recommended to
  eliminate risk due to this issue until the software update has been installed. Continue routine clinical
  monitoring.

- For patients whose device is programmed to a susceptible mode and either: have no underlying ventricular
  escape rhythm; or are at risk for a symptomatic pause until a ventricular escape beat occurs, programming
  to a non-susceptible mode is recommended to eliminate risk due to this issue until the software update
  has been installed. Continue routine clinical monitoring.
- For patients who do not tolerate programming to a non-susceptible pacing mode and either: have no
  underlying ventricular escape rhythm; or are at risk for a symptomatic pause until a ventricular escape beat
  occurs, continue clinical monitoring in a susceptible mode until the software update is available, or
  consider device replacement.
  - The estimated per patient mortality risk due to this issue is 0.021% when programmed to a susceptible pacing mode over the estimated time until the software update becomes available. This risk is comparable to the Medtronic estimated per-patient mortality risk associated with a device replacement (0.027%) \*.
  - o If a patient reports symptoms consistent with a pacing pause, and you would like assistance assessing whether a patient had a pause due to this issue, contact your Medtronic representative.
- Advise patients remaining in a susceptible mode to seek immediate medical attention if they experience new or unexpected symptoms consistent with a pacing pause.
- Other than reprogramming to a non-susceptible pacing mode, no additional programming options have been identified to mitigate this issue.

Meditronic Data on File. MD 12260884-CRHF CIED infection Report; MRC5: MD 12260884, Version 2.0, 11702/2015.	

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## Appendix A: Programming decision flow chart



## Potential Loss of Device Functionality Lower Risk Subset

## Amplia, Claria, Compia, and Viva CRT-D, and Evera and Visia ICD

Original Date of Communication: March 2018

#### **STATUS UPDATE - JUNE 2022**

Within the 752 lower-risk devices, there have been zero confirmed failures (0%) through June 10, 2022. An estimated 378 devices remain active.

Initial Affected Population	Number of	Estimated	Current
	Confirmed	Remaining Active	Malfunction Rate
	Advisory Related	Population	(confirmed
	Events		malfunctions over
			total population)
<b>752</b> Worldwide (all in USA, Puerto Rico or US	0	378	0% Worldwide
Virgin Islands.)			

#### **ORIGINAL COMMUNICATION - MARCH 2018**

#### **Product**

In January 2018, Medtronic completed notification to physicians about a subset of 48 Medtronic Cardiac Resynchronization Therapy Defibrillators (CRT-Ds) and Implantable Cardioverter Defibrillators (ICDs) underwent a specific sequence of manufacturing processes that could result in an unexpected loss of device functionality, including high-voltage therapy.

Within this Lower-Risk Subset of 752 devices, if the device delivered the maximum number of shocks until battery depletion, we estimate 0.5% of these devices would experience arcing during high voltage charging, with failure occurring within the first two (2) high-voltage charges in 0.18% of the devices. See table below for comparison of device subsets.

Through 8 March 2018, there had been zero (0) complaints related to internal arcing in these 752 devices. While the risk for failure is lower in this group of devices, it is not possible to identify which of these 752 devices may fail or when they may fail. Successful delivery of previous high-voltage therapy does not ensure future performance.

You may use the "Search for Information by Serial Number" tool on <u>home</u> page of this web site to determine if a specific device is affected.

#### Table - Device Subsets

January 2018	March 2018
48 Implanted Higher-Risk Devices	752 Lower-Risk Devices
One field failure has been observed with no deaths reported	No field failures have been observed
7.7% of these devices are projected to fail during the first two	0.18% of these devices are projected to fail
high-voltage charges	during the first two high-voltage charges
Medtronic communicated a recommendation to strongly consider prophylactic replacement in these devices.	Patient management recommendations follow below.

#### Patient Management Recommendations - Lower Risk Subset

We realize that each patient requires unique clinical considerations. In consultation with Medtronic's Independent Physician Quality Panel (IPQP), Medtronic provides the following recommendations to physicians for patients who have been implanted with one of the identified devices:

- Prophylactic device replacement should be considered for patients at higher risk, including patients
  whose clinical history indicates prior need for high-voltage therapy and/or for pacemaker-dependent
  patients.
- Physicians should carefully weigh the risks and benefits of device replacement. The estimated per patient risk for mortality due to this issue is 0.02% to 0.04% considering the risk of device failure and the likelihood of a patient requiring high voltage therapy. This is comparable to the estimated per patient mortality risk of complications associated with a device replacement (0.04%)[i],[ii].
- For patients in whom it is determined that replacement is not warranted:
  - Consider programming changes to reduce the potential for high-voltage charges
    associated with arrhythmia detection and therapies, such as enabling ATP before charging
    for fast ventricular rhythms or programming a separate fast VT via VF zone with ATP. For
    assistance with patient-specific programming needs, contact Medtronic Technical Services
    at 800-723-4636.
  - Continue three-month in-clinic or remote follow-ups to verify device functionality. Inability to interrogate a device or a failed remote monitoring transmission may be an indication that internal arcing has occurred. Devices that have failed will not send an alert as telemetry and all device functionality is immediately lost if internal arcing occurs.

 Advise patients to seek medical attention immediately if they experience new or unexpected symptoms suspicious for a ventricular arrhythmia.

[i]Medtronic Data on File. MDT2260884-CRHF CIED Infection Report; MRCS: MDT2260884, Version 2.0, 11/02/2015. [ii]Birnie, D et al. Complications associated with defibrillation threshold testing: The Canadian experience. Heart Rhythm, Volume 5, Issue 3, Pages 387-390.

# Potential Rapid Battery Depletion Due To Circuit Component

### Viva™ CRT-D and Evera™ ICD

Original Date of Communication: August 2016

#### **STATUS UPDATE - JUNE 2022**

Within the 78 devices, there have been 10 confirmed failures (13%) through June 10, 2022. Medtronic modeling predicts an additional three (3) failures may occur in the remaining active population. An estimated 19 devices remain active.

Initial Affected Population	Number of Confirmed Advisory Related Events	Estimated Remaining Active Population	Current Malfunction Rate (confirmed malfunctions over total population)
<b>78</b> Worldwide	10 Worldwide	19 Worldwide	13% Worldwide

#### **ORIGINAL COMMUNICATION - AUGUST 2016**

#### **Product**

A specific subset of 78 VivaCRT-D and Evera ICD may experience rapid battery depletion due to a low resistance path developing within a circuit component. You may use the "Search for Information by Serial Number" tool on home page of this web site to determine if a specific device is affected.

#### **ADVISORY**

Devices in the affected population may experience rapid battery depletion due to a low resistance path developing within a circuit component. This is not related to a failure within the battery.

Development of a low resistance path in the circuit component in some cases has been reported to cause battery depletion in seven (7) days or less and may present clinically during a patient follow-up visit as:

- One or more electrical resets, which will display as an observation on the programmer.
- No pacing or defibrillation therapy output.
- No telemetry.
- Programmer screen display of "SERIOUS DEVICE MEMORY FAILURE."

Patient audible alerts and CareAlerts™ may not reliably notify the patient or clinician, due to this issue.

Reported complications have included shortness of breath, pocket heating, low heart rate, and early device explant.

#### **Patient Management Recommendations**

We realize that each patient requires unique clinical consideration and we support your judgment in caring for your patients. After consultation with Medtronic's Independent Physician Quality Panel, Medtronic offers the following options for managing patients implanted with an affected device:

Advise patients to seek medical attention immediately if they experience symptoms (e.g., fainting or lightheadedness) or if the audible patient alert sounds.

For pacemaker-dependent patients or those at a higher risk of Ventricular Tachycardia (VT) or Ventricular Fibrillation (VF):

Physicians should consider device replacement.

For patients where the physician does not believe device explant is the best course of action, Medtronic offers these additional options:

- Program the audible alerts for "Low Battery Voltage RRT" to "On-High". It is possible that alerts may not sound if the battery is depleted. Therefore physicians should also consider one of the following:
  - o Provide a handheld magnet to patients to frequently check device status.
    - Requires one or more audible alerts be programmed ON.
    - Device operation may be monitored frequently (e.g., daily) by patients placing the magnet over the device for 1-2 seconds and then removing the magnet. If the device is functional, a steady tone will sound for approximately 10 seconds. If no tone or an oscillating high/low tone is heard, advise patients to seek care immediately.
  - o Prescribe either a CareLink™ transmission be performed by the patient, or a maintenance transmission by the clinic, on a more frequent basis (e.g., weekly or daily) based on the unique patient considerations. The clinic should review these transmissions upon receipt.
    - If the transmission is unsuccessful the patient should be brought into the clinic for immediate follow-up as this may be an indication that the device battery has depleted to a level where it can no longer support telemetry.
    - Review transmissions for any signs of this issue (e.g., one or more electrical resets, or notification that a device alert has occurred).
    - Each transmission will decrease battery longevity by approximately one day.

## Potential Conductor Wire Fracture

## 6930, 6931, 6948, 6949 Sprint Fidelis Defibrillation Leads

Original Date of Communication: October 2007

#### **STATUS UPDATE - JUNE 2022**

As of June 10, 2022, of the initial implant population of 205,600 in the United States, approximately 28,000 remain implanted. According to Product Surveillance Registry results, lead survival is estimated to be 63.6% (+6.7/-6.0%) at 168 months. As the implanted population ages and the sample size increases for each time interval, the accuracy of the estimated survival probability will increase as shown by tighter confidence intervals.

Initial Affected Population	Number of Confirmed Advisory Related Events	Estimated Remaining Active Population
<b>279,500</b> Worldwide ( <b>205,600</b> United States)	<b>7,303</b> Worldwide <b>(5,221</b> United States)	<b>38,000</b> Worldwide ( <b>28,000</b> United States)

#### **ORIGINAL COMMUNICATION - OCTOBER 2007**

**PRODUCT** 

All Model 6930, 6931, 6948, and 6949 implantable defibrillation leads

**ADVISORY** 

There are two primary locations where chronic conductor fractures have occurred on Sprint Fidelis leads: 1) the distal portion of the lead, affecting the anode (ring electrode) and 2) near the anchoring sleeve tie-down, predominantly affecting the cathode (helix tip electrode), and occasionally the high voltage conductor. These two locations account for approximately 90% of the chronic fractures identified in Returned Product Analysis (RPA). The remaining 10% of chronic fractures occurred in the DF-1 connector leg and the proximal portion of the RV coil. High voltage conductor fractures could result in the inability to deliver defibrillation therapy. Anode or cathode conductor fractures (at either location) may present clinically as increased impedance, oversensing, increased interval counts, multiple inappropriate shocks, and/or loss of pacing output.

#### PATIENT MANAGEMENT RECOMMENDATIONS (UPDATED APRIL 2011)

The Lead Integrity Alert (LIA) provides three days advance notice prior to inappropriate therapy to 76% of patients with lead fractures<sup>1</sup>. As a result, we strongly recommend that all Sprint Fidelis patients who have the ability to upgrade to Lead Integrity Alert do so promptly. Also ensure that high voltage lead impedance alerts (maximum of 100 ohms) are programmed. When a lead fracture is suspected or confirmed, immediate patient attention is strongly recommended. Physicians should inform their patients to seek medical attention without delay if they experience unexpected shocks.

- If a Fidelis lead fracture of any type has occurred, we recommend implanting a new high voltage lead with or without extraction of the Fidelis lead.
- In patients with normal device function and no manifestation of lead fracture, no action is recommended. The risk of prophylactic intervention appears to be greater than serious injury resulting from lead fracture even for pacemaker dependent patients, except in select individual patient circumstances as determined by the physician.
- In the event of a device change-out or upgrade procedure, with no manifestation of lead fracture, consider the patient age and lead model data above, as well as patient life expectancy, co-morbidities, ease of extraction related to implant time, patient preference, etc., for the following options:
  - Leave a properly performing lead intact.
  - o Implant a new ICD lead without extraction of the existing lead.
  - Carefully consider all factors before prophylactic placement of a pace-sense lead. Data shows an increased risk of high voltage conductor fracture if a pace-sense conductor fracture has previously occurred. This data is available here.
  - Individual patient circumstances may warrant extracting and implanting a new ICD lead. If warranted, Medtronic's Independent Physician Quality Panel recommends the lead extraction procedure be performed by a physician with extensive lead extraction experience.<sup>2</sup>

#### Footnotes:

- 1: Swerdlow C, Gunderson, B, et al. "Downloadable Algorithm to Reduce Inappropriate Shocks Caused by Fractures of Implantable Cardioverter-Defibrillator Leads", Circulation, November 2008, 118: 2122-2129.
- 2: "Transvenous Lead Extraction: Heart Rhythm Society Expert Consensus on Facilities, Training, Indications, and Patient Management", Heart Rhythm, Vol 6, No 7, July 2009.

# Mailer Kits Available for Returning Product

Medtronic urges all physicians to return explanted products and to notify Medtronic when a product is no longer in use, regardless of reason for explant or removal from use. The procedures for returning products vary by geographic location.

Mailer kits with prepaid US postage are available for use within the United States to send CRT, ICD, IPG, and leads to Medtronic's CRM Returned Product Analysis Lab. These mailers are sized to accommodate the devices and leads from a single patient or clinical event and are designed to meet US postal regulations for mailing biohazard materials.

If the product being returned is located outside the United States, please contact your local Medtronic representative for instructions.

Medtronic also requests the return of devices from non-clinical sources, such as funeral homes, and will assume responsibility

for storage and disposal of the product once received.

Mailer kits can be obtained by contacting the Returned Product Lab.

CRM Returned Product Analysis Laboratory
Phone: 1 (800) 328-2518, ext. 44800
Email: crdm.returnedproduct@medtronic.com

For questions related to returning explanted product from outside the United States, please contact your local Medtronic Representative.



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Tel: (763) 514-4000 Fax: (763) 514-4879 Toll-free:1 (800) 328-2518 (24-hour technical support for physicians and medical professionals)

